



derwent

Executive Brief

Australian Securities and Investments Commission

Deputy Chair

September 2026

The information contained within this document is privileged and confidential and is intended for use of the intended recipient only. This document remains the property of Derwent, and you are hereby notified that any disclosure, reproduction, alteration, distribution or other use of this document is strictly prohibited. The information contained within is not warranted or guaranteed by Derwent and any comment or opinions expressed are supplied on a strictly privilege & confidential basis.

PRIVATE AND CONFIDENTIAL

Advertisement

Deputy Chair Australian Securities and Investments Commission

The Australian Securities and Investments Commission (ASIC) is seeking exceptional candidates to fill the role of Deputy Chair.

ASIC is Australia's integrated corporate, markets, financial services, and consumer credit regulator. By ensuring transparent, fair, and efficient financial markets, ASIC contributes to the economic prosperity and financial well-being of all Australians.

The Deputy Chair supports the Chair in leading ASIC, contributing to the regulation of Australian companies and financial markets, engaging strategically with stakeholders, and may act as Chair when required.

We are seeking candidates who have experience at senior levels leading complex organisations through change, and a proven ability to achieve results in challenging environments.

An ideal candidate will have significant experience in business, financial markets, financial services, law, economics, accounting, or regulation, with digital, data, and large-scale technology transformations highly desirable. A working understanding of government would be helpful but it is not a requirement.

This full-time role offers competitive remuneration as set by the Remuneration Tribunal and may be based in any capital city in Australia. The role will involve extensive travel.

For more information and to apply, please log on to Derwent Search at <https://www.derwentsearch.com.au/> and click on "Search Jobs" to download the brief.

For a confidential discussion about the position, call Derwent Partner, Emma Alberici on 0419 683 660.

Applications close at 11:59 AEDT, 4 October 2026.

About ASIC

The Australian Securities and Investments Commission (ASIC) is Australia's integrated corporate, markets, financial services and consumer credit regulator.

ASIC makes a critical contribution to Australia's economy by ensuring transparent, fair and efficient financial markets that attract investment and foster innovation. Its regulation of corporate conduct and consumer protection builds trust and stability. By enabling better financial decision-making and supporting inclusion and innovation in financial markets, ASIC helps create a resilient foundation for Australia's long-term economic performance.

ASIC is an independent Australian Government statutory authority. Its role, functions and powers are set out in the Australian Securities and Investments Commission Act 2001 (ASIC Act).

The 2024-25 Annual Report "year at a glance" illustrates the scope and importance of ASIC's work.



About the Role

The Deputy Chair plays a pivotal leadership role in supporting the ASIC Chair to uphold ASIC's mandate of market integrity, consumer protection, and enforcement of corporate and financial services laws. Acting as Chair when required, the Deputy Chair contributes to ASIC's strategic direction and governance, ensuring the organisation remains responsive to emerging risks and evolving market dynamics.

The Deputy Chair helps shape a financial system that fosters investor and consumer confidence, economic stability, and long-term national prosperity. This includes oversight of regulatory and enforcement functions, performance monitoring, and accountability frameworks. The Deputy Chair engages with government, industry and public stakeholders, representing ASIC in high-level forums and public discourse with authority and transparency.

ASIC members are appointed for a period of up to five years, with the possibility of reappointment. Remuneration is determined by the Remuneration Tribunal and is subject to the Remuneration Tribunal Act 1973.

Position Details

Position title	Deputy Chair, Australian Securities and Investments Commission
Classification	Total remuneration as set by the Remuneration Tribunal
Number of positions	One Deputy Chair position
Location	Any capital city in Australia
Working arrangements	Full-time
Job type	Statutory appointment. Under the Australian Securities and Investments Commission Act 2001 (ASIC Act), members may be appointed for a maximum term of five years and are eligible for reappointment.
Eligibility	The successful applicant must meet the statutory requirements set out in the ASIC Act and will need a security clearance. The appointment is made by the Governor-General on advice from the Treasurer.

Professional Experience

Qualifications and experience relevant to ASIC's mandate include:

- Credibility in the financial services industry and demonstrated success in senior roles within a related field, including financial services, regulatory bodies, or government, with a strong understanding of the strategic and operational demands of public sector leadership.
- Strong commercial acumen and knowledge of, or experience in, business, financial markets, law, economics, or accounting.
- Recognised expertise and presence to lead high-level discussions with industry leaders, government, other regulatory agencies and other stakeholders, earning respect through insight and professionalism.
- The ability to lead and manage engagement with domestic peer agencies and the Council of Financial Regulators.
- The ability to contribute to the leadership of an organisation of significant scale and complexity, and experience in managing organisational change.
- International perspectives and/or experience and the ability to engage in international fora is desirable.

Selection Criteria

Leadership

- Demonstrates strategic thinking aligned with ASIC's mission and priorities.
- Articulates a clear vision for the organisation.
- Supports innovation and prudent risk engagement within the regulatory framework.

Economic Insight

- Understands and promotes the balance between economic growth, financial system stability and efficient regulation.
- Capable of assessing market developments and regulatory responses to maintain public confidence.

Organisational Capability

- Experience in leading within complex organisations.
- Contributes to capability-building and supports transformational initiatives.
- Delivers outcomes with accountability and supports governance excellence.

Stakeholder Engagement

- Strong communicator, able to represent ASIC in national forums and contribute to international dialogue.
- Works collaboratively across diverse stakeholder groups and supports inclusive decision-making to achieve the best outcome.
- Builds trust and demonstrates an understanding of stakeholder concerns.

Judgement and Expertise

- Solid understanding of ASIC's regulatory tools and financial system operations.
- Applies analytical thinking and sound judgement to policy and operational matters.
- Familiar with commercial, regulatory, and policy environments.

Integrity and Accountability

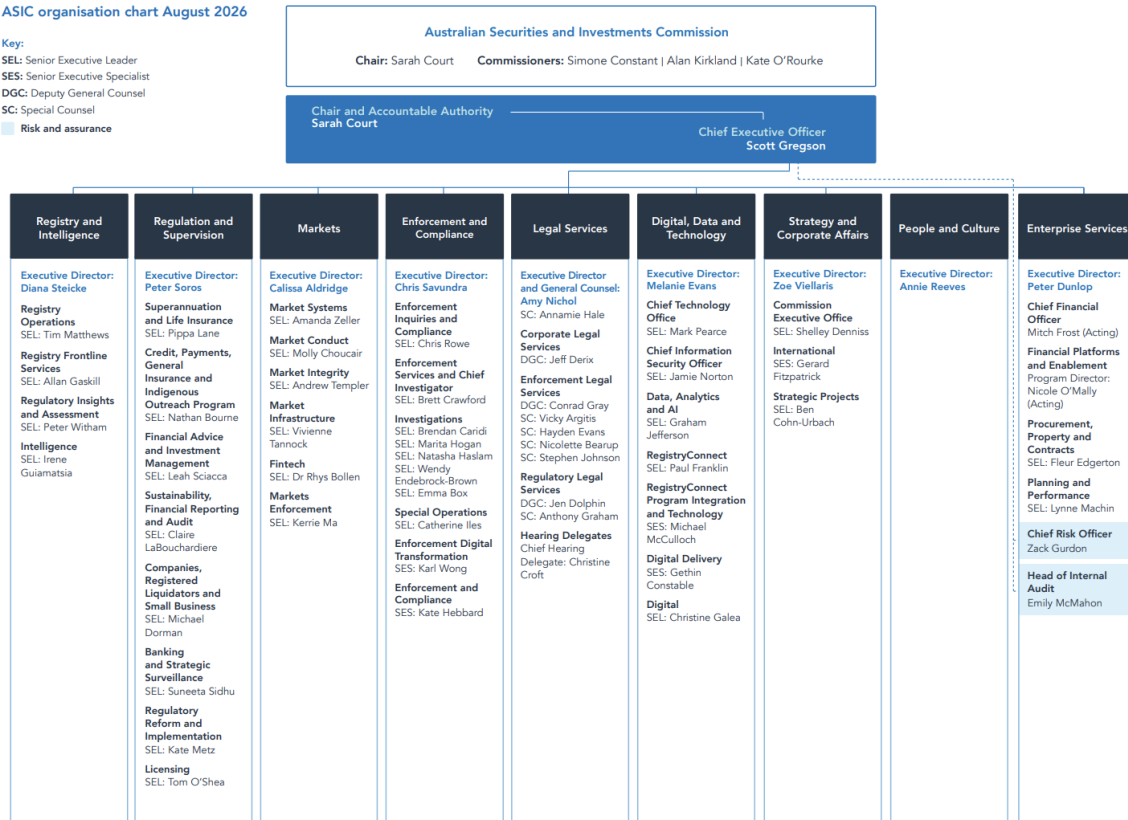
- Upholds transparency and ethical standards in leadership.
- Experienced in financial oversight within complex settings.
- Models integrity and supports a culture of accountability across ASIC.

Organisational Chart

ASIC organisation chart August 2026

Key:

SEL: Senior Executive Leader
SES: Senior Executive Specialist
DGC: Deputy General Counsel
SC: Special Counsel
Risk and assurance



Chair and Accountable Authority



Sarah Court

Sarah Court commenced as ASIC Chair on 1 June 2026.

One of Australia's most experienced regulators, Sarah has held senior statutory positions across key economic and corporate regulators for more than 18 years.

Prior to her appointment, Sarah was Deputy Chair of ASIC where she led an uplift in ASIC's enforcement approach to protect consumers and investors and safeguard Australia's financial system.

Sarah represents ASIC in key national economic and law enforcement forums including the Council of Financial Regulators, Serious Financial Crime Taskforce and the Australian Criminal Intelligence Commission. Internationally, Sarah participates in the International Organisation of Securities Commissions (IOSCO), Organisation for Economic Co-operation and Development (OECD) Committee for Financial Markets and Corporate Governance Committee.

Before joining ASIC, Sarah was a Commissioner at the Australian Competition and Consumer Commission (ACCC) for three terms, where she oversaw competition and consumer enforcement, and regulatory policy.

Sarah has also held senior positions at the Australian Government Solicitor, leading national teams across government litigation, including competition and consumer law, administrative law and enforcement matters.

Sarah has held board positions with the Law School and Centre for Regulation and Market Analysis at the University of South Australia.

Sarah holds a Bachelor of Arts (Jurisprudence) and a Bachelor of Laws (Honours) from the University of Adelaide, as well as a Graduate Diploma in Legal Practice from the Australian National University. She is a Fellow of the Australian Institute of Company Directors.

Application Process

Apply: Complete and submit a 'pitch' of no more than two pages, and a resume of no more than four pages.

Shortlist: Applicants are assessed on their written application against the position requirements.

Interview: Shortlisted applicants will be invited to an interview, held in person, by phone or by video. Interview panels are usually comprised of three to four members plus a scribe. Applicants should be prepared to discuss examples of past and present behaviour, detailing specific achievements and challenges faced.

Referees: Referees may be contacted for further assessment of suitability, and are usually only approached for applicants under consideration for the position. Nominated referees should be able to comment on and rate recent work performance.

Process complete: Following delegate approval, the Minister will nominate the preferred candidate to the Governor-General for final approval of the appointment. All applicants will be notified of the outcome.

Pitch Requirements

Applicants should prepare a pitch of no more than two pages, highlighting career achievements and describing how their skills and experience meet the requirements of the position, and how they would contribute to the work of ASIC.

Workplace Diversity

ASIC is committed to workplace diversity and to fostering an inclusive environment where all its people, stakeholders and communities are treated with fairness and respect.

The Search Process

ASIC has engaged Derwent Search on a retained and exclusive basis to conduct this appointment. Derwent will identify and assess candidates through a combination of: direct approach across peak body, corporate affairs and policy networks; network referral through Derwent's board, government and industry contacts; and targeted advertising to broaden reach to prospective candidates.

Indicative Timeline

- Weeks 1–3: Outreach and advertise.
- Weeks 4-5: Screen and shortlist.
- Weeks 6-7: Interview.
- Weeks 8-12: Offer and confirm.

Candidates are to be selected by late October and early November 2026. The commencement date is flexible, between December 2026 and February 2027, depending on the availability of the successful candidate.

Assessment and Probity

Shortlisted candidates will be assessed against the criteria above, together with psychometric assessment for the preferred candidate. Standard probity checks are conducted prior to offer, covering professional memberships, qualifications, national police history, and financial, regulatory and bankruptcy checks, together with referee reports.

Contact Us

Thank you for your interest in this opportunity with the Australian Securities and Investments Commission.

For a confidential conversation or to learn more, please reach out using the contact details below.



Emma Alberici

0419 683 660

ealberici@derwentsearch.com.au