MOSAIC
RETIREMENT PLANNING

Title: Financial Advisor

About Us

Mosaic Retirement Planning, LLC is a comprehensive financial planning and investment advisory firm. MRP offers financial planning, annuities, insurance, and investments to the public. MRP has adopted ethical processes and standards endorsed by the CFP Board, whom is widely regarded the gold standard for financial planning. MRP is an independent firm, and does not promote any products. MRP provides custom planning to every client. MRP is committed to its pursuit to becoming the standard of excellence in the region, for a reasonable cost. We work toward being good stewards and want others on our team to have the same passion. Visit www.mosaicretirementplanning.com for more information.

Position Summary

The Wealth Advisor aspires to be a CERTIFIED FINANCIAL PLANNER™ with their own client base and we will pay the WA to learn, until they can earn enough in production to replace their salary. As part of their learning, they will be provided part-time hourly compensation plus incentive pay structure, extensive on-the-job training and will be immersed in learning-by-doing every aspect of providing financial planning services, including but not limited to: Administration, Financial Planning, Investment Management, Business Development, Practice Management & Client Relations. The independent wealth advisor will eventually be given leads which he/she will be expected to convert into clients, and he/she is expected to contribute towards the creation of new lead sources through the development and execution of marketing initiatives at MRP. The associate will report to the President until they can become a self-sustaining financial advisor.

Duties and Responsibilities include, but not limited to:

Business Development and Marketing

- Organize & co-host various evening company events promoting the services of the firm.
- Network & build relationships with prospective clients with goal of getting hired as their advisor.
- Conduct outbound calls to primarily warm prospects & leads.
- Implement marketing campaigns, perform general operational duties, and other assignments as needed.
- Brainstorm new business generating activities and operationalize from idea to full implementation.
- Schedule & confirm appointments with clients, prospects, or strategic business relationships.
- Show an ability to effectively present financial strategies designed to compel prospects to hire you.

Client Onboarding, Financial Planning & Investment Advisory

- Learn & implement the firm's financial planning process.
- Refine presentation tools using various financial planning & investment advisory software.
- Effectively communicate investment & financial planning capabilities to prospective & current clients
- Oversee & assist in new business and ongoing service requests and tasks.
- Learn to scale the above duties and services without negatively impacting service.

Critical Skills Sought

- Entrepreneurial spirit – You must be a self-motivated, results-based problem solver.
- Sales skills – You must compel prospects to meet with and hire you.
- Strong Financial & Analytical skills
- Confidentiality: maintain all proprietary information, and client information in strictest confidence.
- Strong sense of professionalism & integrity.
- Ability to prioritize assignments, adapt to impromptu tasks, and the ability to work under pressure.
- Outgoing personality with the ability to create new relationships and work well with others internally.
- Loyalty – appreciation & support to the clients and the Firm who invests in shared success.
- Humility – Capable of constructively receiving feedback in order to grow.

- Adherence to the 7 Core Company values: Trust, Responsibility, Advocacy, Integrity, Teamwork, Dedication and Communication.

Preferred Qualifications

- College degree or equivalent required.
- 5 years of Sales experience required.
- 3 years of financial services experience required; investment, annuity and life products strongly preferred.
- 1 year experience with a firm whom is regulated by FINRA or the SEC is required.
- Must be willing to obtain series 65/66. Life/Health insurance licenses preferred. CFP® or ChFC designation a strong plus.
- Must be proficient in word processing, spreadsheet, and presentation software and generally very adept at technology applications and software.
- Must be able to pass an initial & ongoing drug screens.

Why Work at MRP

At Mosaic Retirement Planning (MRP), we believe you should have the freedom to build a fulfilling career as an independent wealth advisor yet have help from the beginning doing so. If you're passionate about helping people and discussing investments, we offer an exclusive opportunity to get paid to learn, as independent firms rarely offer new advisors both a starting pay and uncapped income from day one. At MRP, you'll enjoy all of these key benefits:

- Independence: Build a practice and client base that aligns with your professional values and vision.
- Support: Focus on what you love most—advising clients—while we handle the rest.
- Mentorship: Learn from a Certified Financial Planner (CFP®) who started from scratch and successfully transitioned from a high-paying corporate role to wealth management, whom is vested in your success.
- Warm Leads: Get a consistent supply of qualified prospects, so you don't have to start from zero.
- Immediate Income: We provide a bridge for your income as you learn to build your client base, making the transition seamless if you're leaving a good-paying job.
- Opportunity: No income limits, the sky is the limit. Small firm that provides rapid growth opportunities.
- Flexibility: Ability to work from home after 6 months, and choose your own hours. What you put into it is what you can expect to get out of it.

How to Apply

Send resume and cover letter to info@mosaicretirement.com. Cover letter should include the following:

- Please tell us why you are interested in this position. What skills make you a good candidate?
- Are you comfortable working within a small firm? Why or why not?
- This position requires you to be in the Centennial, CO office, are you able to meet this requirement?
- Are you comfortable with starting hourly wage then transitioning to commissions/fees eventually?

This description covers the major purpose and major functions of the job. It is not intended to give all details or a step-by-step account of the way each task is to be performed. Employees may receive other job-related instructions and be required to perform other job-related duties requested by their supervisor. All requirements are subject to possible modification to provide reasonable accommodation to qualified individuals with disabilities.

Mosaic Retirement Planning, LLC provides equal employment opportunities to all employees and applicants for employment and prohibits discrimination and harassment of any type without regard to race, color, religion, age, sex, national origin, disability status, genetics, protected veteran status, sexual orientation, or any other characteristic protected by federal, state or local laws.