



## PRIVACY NOTICE

| Facts        | What Does ORFG Do With Your Personal Information?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|--------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Why?</b>  | <p>Olde Raleigh Financial Group (“ORFG” or “Adviser” or “Firm”) collects information about you to assist us in providing services and products to help you meet your financial goals and objectives and provide high standards of client service. Additionally, information is obtained from you in order to help us fulfill our legal and regulatory requirements. Information collected may vary depending on the products and services requested and the scope of your engagement with us.</p> <p>Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand what we do. We will provide a revised notice if our privacy practices change materially, at the start of our relationship, and at least annually thereafter.</p> |
| <b>What?</b> | <p>The types of personal information we collect can include:</p> <ul style="list-style-type: none"> <li>• Social Security number</li> <li>• Income</li> <li>• Assets</li> <li>• Personal information (e.g., address, phone numbers, date of birth, net worth, income)</li> <li>• Account numbers and information</li> <li>• Financial Information</li> </ul> <p>When you are no longer our client, we will continue to hold your information and share it as described in this notice.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <b>How?</b>  | <p>All financial companies need to share clients’ personal information to run their everyday business. In the section below, we list the reasons why financial companies can share their clients’ personal information, the reasons ORFG chooses to share personal information and whether you can limit this sharing.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |

| Reasons We Can Share Your Personal Information                                                                                                                                     | Does ORFG Share? | Can You Limit This Sharing? |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|-----------------------------|
| For our everyday business purposes, such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus. | Yes              | No                          |
| For our marketing purposes to offer our products and services to you.                                                                                                              | Yes              | No                          |
| For joint marketing with other financial companies.                                                                                                                                | No               | N/A                         |
| For our affiliates’ everyday business purposes—information about your transactions and experiences.                                                                                | No               | N/A                         |
| For non-affiliates’ everyday business purposes to assist us in obtaining business or providing account maintenance or client service to your account(s).                           | Yes              | No                          |
| For our affiliates to market to you—to offer new products or services to you.                                                                                                      | No               | N/A                         |
| For non-affiliates to market to you—we do not sell, share, or disclose your nonpublic personal information to non-affiliated third-party marketing companies.                      | No               | N/A                         |

| Who We Are                           |                                                                                                                                                                                                  |
|--------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Who is providing this notice?</b> | <p>Olde Raleigh Financial Group<br/> 3110 Edwards Mill Road, Suite 340<br/> Raleigh, NC 27612<br/> <a href="https://www.olderaleighfinancial.com/">https://www.olderaleighfinancial.com/</a></p> |

## What We Do

### How does ORFG protect my personal information?

ORFG recognizes the need to prevent unauthorized access to the information we collect, including information held in electronic format, and we protect your personal information in the following ways:

- We maintain physical, electronic, and procedural safeguards, including computer safeguards and secure files and systems
- We only grant access to your personal information to parties with whom we have executed confidentiality/nondisclosure agreements and who need that information to serve you or to assist us in conducting our operations.
- We have physical and electronic safeguards in place to ensure that we comply with our own policy, industry practices, and federal and state regulations.
- Our employees are trained in the proper handling of sensitive information.
- If you decide to close your account(s) or become an inactive client, we will adhere to the privacy policies and practices as described in this notice.

### How does ORFG collect my personal information?

We collect your personal information, for example, when you:

- Open an account
- Enter into an investment advisory agreement
- Seek investment advice
- Deposit or transfer funds
- Communicate with us
- Use our services

We may also collect your personal information from others such as custodians, broker-dealers, other financial institutions or service providers.

### Why can't I limit all sharing?

Federal law gives you the right to limit only certain types of information sharing. Sharing for everyday business purposes, information reporting, and certain marketing activities is permitted by law. State laws and individual companies may give you additional rights to limit sharing.

## Questions?

- Call us at (919) 861-8212
- Email us at [info@olderaleighgroup.com](mailto:info@olderaleighgroup.com)

## Definitions

|                        |                                                                                                                                                                                                                                                  |
|------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Affiliates</b>      | Companies related by common ownership or control. They can be financial and non-financial companies.                                                                                                                                             |
| <b>Non-Affiliates</b>  | Companies not related by common ownership or control. They can be financial and non-financial companies.                                                                                                                                         |
| <b>Joint Marketing</b> | A formal agreement between non-affiliated financial companies that together market financial products or services to you. This may include banks, credit unions, or other financial institutions with which we have a joint marketing agreement. |

## Other Important Information

### Incident Response and Notification

ORFG maintains policies and procedures designed to detect, respond to, and recover from unauthorized access to or use of client information. If we determine that sensitive client information has been accessed or used without authorization and such access could pose a risk of harm or inconvenience, we will notify the affected individuals and regulatory authorities as required by applicable law and SEC regulations.