



## Transfer Instructions and Assignment of Stock

### Current Holder:

Shareholder Reference No. <sup>1:</sup>		
Holder Name(s) <sup>2:</sup>		
Holder Mailing Address:		
Holder Addr. City/Region:		
Holder Addr. Country:		
US Social Security No. or EIN <sup>3:</sup>	OR <input type="checkbox"/> NOT US-Person <sup>4</sup>	
Holder Type <sup>5:</sup>	<input type="checkbox"/> Individual(s) <input type="checkbox"/> Entity <input type="checkbox"/> Trustee <input type="checkbox"/> Other:	

### Holder's Authorized contact person (for resolution of any issues with these instructions):

Name <sup>6:</sup>	
Telephone <sup>7:</sup>	
Email address:	

### Holdings:

Issuer:	PowerFilm, Inc., common stock par value US\$0.01
Certificate Number(s) <sup>8:</sup>	
OR Book Entry UUID <sup>9:</sup>	
Issue Date:	
Number of Shares <sup>10:</sup>	
Certificate Status <sup>11:</sup>	A. <input type="checkbox"/> I certify that the original certificate(s) has been provided herewith. B. <input type="checkbox"/> I certify that: (a) diligent search has been made for the original certificate(s); (b) the original certificate(s) has (or have) been lost or destroyed; and (c) the required missing certificate affidavit and bond premium have been provided herewith.

### Consideration:

Payment for Shares assigned <sup>12:</sup>	
OR No consideration paid <sup>13:</sup>	<input type="checkbox"/> Gift <input type="checkbox"/> Other:

### Assignee:

Assignee Name <sup>14:</sup>	
Assignee Mailing Address:	
Assignee Addr. City/Region:	
Assignee Addr. Country:	
Assignee Email Address <sup>15:</sup>	<input type="checkbox"/> Opt for electronic notices <sup>16</sup>
US Social Security No. or EIN <sup>17:</sup>	OR <input type="checkbox"/> NOT US-Person <sup>18</sup>
Number of Shares Assigned <sup>19:</sup>	
Assignee Type <sup>20:</sup>	<input type="checkbox"/> Individual(s) <input type="checkbox"/> Entity <input type="checkbox"/> Trustee <input type="checkbox"/> Other:
Assignee Ownership <sup>21:</sup>	<input type="checkbox"/> Joint with right of survivorship <input type="checkbox"/> Transfer on death <input type="checkbox"/> Other:
Beneficial Owner (if any) <sup>22:</sup>	
Transfer on death beneficiary <sup>23:</sup>	

**Assignment:** For the Consideration, the registered Current Holder identified herein irrevocably transfers and assigns to the Assignee identified herein from the Holdings identified herein, standing in the name of the Holder as shown upon the books of the Issuer. I hereby irrevocably constitute and appoint New Point Law Firm, plc, or its designee, as the Holder's agent and attorney-in-fact to transfer the number of shares assigned as stated herein above of the said stock of the Issuer from the Holdings identified herein on the books of the Issuer with full power of substitution in the premises. If the

number of shares assigned herein is less than the number of shares represented in the Holdings shown herein, the difference shall be reserved to the registered Current Holder.

**Authorization:** I, the undersigned, certify under penalty of perjury and pursuant to the laws of the State of Iowa that I am the registered Current Holder or that I am a duly authorized representative of the Current Holder. If the undersigned is not the registered Current Holder and is acting in a special capacity as an authorized representative (executor, administrator, guardian, conservator, custodian, trustee or successor trustee, beneficiary, agent under power-of-attorney, or other fiduciary) or as an officer or other designated representative of the Current Holder, I further certify that I am duly authorized to act in such special capacity and have provided a true statement below of such special capacity and that there is provided herewith an affidavit or certificate (made under penalty of perjury) of authority or that there is accompanying this record duly prepared letters of official character issued by the proper authority having jurisdiction of the undersigned in such special capacity or the duly authenticated proceedings (e.g., resolution or minutes) of the Holder identifying the persons authorized to act for the Holder.

**EACH REGISTERED SHAREHOLDER MUST SIGN**  
*(attach additional copies of this page as needed).*

Printed name of Shareholder ↗

Printed name of Shareholder ↗

Signature of Shareholder or Authorized Person ↗

Signature of Shareholder or Authorized Person ↗

Printed name of Signer ↗

Printed name of Signer ↗

Title or capacity of Authorized Person<sup>24</sup> ↗

Title or capacity of Authorized Person ↗

Date Signed ↗

Date Signed ↗

**Return completed assignments to:**

**Transfer agent:** New Point Law Firm, plc

**Physical Address:** 612 Kellogg Ave.  
 Ames, IA 50010  
 United States

**Mailing Address:** P.O. Box 847  
 Ames, IA 50010-0847  
 United States

**Telephone Inquiries:** +1 515 232 1761

**Email Inquiries:** [powerfilm@newpointlawfirm.com](mailto:powerfilm@newpointlawfirm.com)

***Notes and Instructions***

<sup>1</sup> Provide the shareholder reference number or SRN for the Holder, if available. A reference number (typically, a single letter followed by ten digits, e.g., C0000012345) is found on the face of the certificate in the top one-third of the certificate on the left side.

<sup>2</sup> Holder, as used herein, means and includes the plural, where applicable. List all legal owners of the shares, including any joint owner(s). Use attached additional sheets as necessary to include all owners. The name(s) shown should match the name(s) on the certificate or upon the book entry of the Issuer. If names on certificates differ, please provide one assignment for each certificate. If an individual Holder is deceased, complete an affidavit of domicile and separate transfer instructions for a deceased individual.

<sup>3</sup> For a US individual, provide a Social Security number, for all other US shareholders, provide an employer identification number. Also, provide a completed, signed [IRS Form W-9 \(instructions\)](#).

<sup>4</sup> Check the box if the registered Current Holder is not a US person (or entity) and exempt from US tax reporting. Also, provide a

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completed, signed IRS [Form W-8BEN](#) (individuals ([instructions](#))), [Form W-8BEN-E](#) (entities ([instructions](#))), or [Form W-8IMY](#) (certain pass-throughs ([instructions](#))), as applicable.

<sup>5</sup> Confirm whether the registered Current Holder is an individual person, an entity (e.g., corporation, partnership), or a trustee. If "other" is checked, please provide specific detail.

<sup>6</sup> Provide the name of a person authorized by the Holder to communicate with the transfer agent about this record.

<sup>7</sup> Provide the telephone number of the person authorized to communicate with the transfer agent and include the appropriate country code if outside of the United States.

<sup>8</sup> List the certificate number or numbers being assigned. The certificate number is found on the face of the certificate in the top one-third of the certificate next to the reference number.

<sup>9</sup> The UUID will be located on the Statement of Shareholdings provided.

<sup>10</sup> Enter the number of shares to be assigned and transferred to the assignee. Complete a separate assignment for each separate assignee.

<sup>11</sup> Check a box, either A or B, for the applicable statement. Complete separate assignments for surrendered certificates and lost certificates.

<sup>12</sup> Provide the amount of consideration paid or to be paid for the assignment of the shares, preferably in US Dollars.

<sup>13</sup> If no consideration has been or will be paid for the assignment of shares, including inheritance, indicate that the transaction is a gift.

<sup>14</sup> Provide the name of the assignee or assignees to whom the shares of the Issuer shall be issued.

<sup>15</sup> Provide the assignee's properly formed email address.

<sup>16</sup> Check the box to opt-into electronic delivery of shareholder notices and communications and to opt out of paper delivery of shareholder notices and other communications.

<sup>17</sup> For a US individual, provide a Social Security number, for all other US shareholders assignees, provide an employer identification number. Also, provide a completed, signed [IRS Form W-9 \(instructions\)](#).

<sup>18</sup> Check the box if the Assignee is not a US person (or entity) and exempt from US tax reporting. Also, provide a completed, signed IRS [Form W-8BEN](#) (individuals ([instructions](#))), [Form W-8BEN-E](#) (entities ([instructions](#))), or [Form W-8IMY](#) (certain pass-throughs ([instructions](#))), as applicable.

<sup>19</sup> If the number of shares held and the number of shares assigned do not match, the difference is reserved to the Current Holder unless the Current Holder submits multiple assignments that, combined, have a sum equal to the total shares assigned.

<sup>20</sup> Confirm whether the registered Current Holder is an individual person, an entity (e.g., corporation, partnership), a trustee, or provide detail of "other."

<sup>21</sup> Requests for registration of the security for other than sole ownership shall be accommodated if made in proper form and all questions and interpretations thereof shall be made in accordance with the laws of the State of Iowa.

<sup>22</sup> If the registered owner is a custodian, nominee, or similar holder and there is a beneficial owner, provide the name of the beneficial owner and mailing address if the Issuer is to provide information directly to the beneficial owner.

<sup>23</sup> Transfer on death beneficiary registration shall be accommodated if made in proper form and all questions and interpretations thereof shall be made in accordance with the laws of the State of Iowa

<sup>24</sup> If the shareholder is not an individual, for the non-person holder, insert the title or capacity in which the signer has executed this document.