



## Affidavit of Lost Share Certificate & Request for Replacement

Country: \_\_\_\_\_  
State or Province: \_\_\_\_\_  
County or Region: \_\_\_\_\_ } ss.:

The undersigned, being first duly sworn upon oath (or affirmation), deposes and states from personal knowledge of the facts as follows:

**1 Shareholder.** The following identifying information regarding the shareholder ("Holder") is true and correct:

Shareholder Reference No. <sup>1</sup> :		
Holder Name(s) <sup>2</sup> :		
Holder's Address	Street:	
	City/Region:	
	State/Province:	
	ZIP/Postal Code:	
	Country:	
US Social Security No. or EIN <sup>3</sup> :		OR <input type="checkbox"/> NOT US-Person <sup>4</sup>
Holder Type <sup>5</sup> : <input type="checkbox"/> Individual(s)   <input type="checkbox"/> Entity   <input type="checkbox"/> Trustee   <input type="checkbox"/> Other:		

**Holder's Authorized contact person (for resolution of any issues with these instructions):**

Name <sup>6</sup> :	
Telephone <sup>7</sup> :	
Email address:	

**2 Certificate.** The following information regarding the Holder's shares ("Certificate") is all true and correct:

Issuer:	PowerFilm, Inc., common stock par value US\$0.01
Certificate Number(s) <sup>8</sup> :	
Issue Date:	
Number of Shares <sup>9</sup> :	

**3 Representations of Authority.** The undersigned is the current registered Holder or a duly authorized representative of the Holder. If the undersigned is not the registered Holder and is acting in a special capacity as an authorized representative (executor, administrator, guardian, conservator, custodian, trustee or successor trustee, beneficiary, agent under power-of-attorney, or other fiduciary) or as an officer or other designated representative of the Holder, the undersigned verifies that the undersigned is duly authorized to act in such special capacity and has provided a true statement below of such special capacity and that there is provided herewith an affidavit or certificate (made under penalty of perjury) of authority or that there is accompanying this record duly prepared letters of official character issued by the proper authority having jurisdiction of the undersigned in such special capacity or the duly authenticated proceedings (e.g., resolution or minutes) of the Holder identifying the persons authorized to act for the Holder.

**4 Representations of Loss.** To the best knowledge and belief of the undersigned, the circumstances preventing the Holder from presenting the Certificate to the Issuer or its appointed transfer agent as follows are all true and correct:

4.1 The nature of the loss and the general circumstances are (check one of the following most applicable):

☐ Missing/Misplaced – The Holder and/or the undersigned has made a diligent search of all of the Holder’s records and places of safekeeping of important documents or valuable property and has been unable to find the Certificate. To a reasonable degree of certainty, the Certificate has been inadvertently lost or destroyed.

☐ Mutilated/Destroyed – The Holder and/or the undersigned is personally aware that the Certificate has been permanently and irrevocably destroyed such that the Certificate cannot be presented or the available remains are provided with this affidavit.

☐ Theft/Dispossession – The Holder and/or the undersigned is personally aware that a person or persons, known or unknown, have taken possession of the Certificate from the lawful Holder. The whereabouts of the Certificate and circumstances are further described herein below or in a dated and signed attachment incorporated herein by reference. Reports made by law enforcement, if any, are attached.

☐ Other – The whereabouts of the Certificate and circumstances of the loss are further described herein below or in a dated and signed attachment incorporated herein by reference.

4.2 The status of the endorsement (Holder’s signature appearing on the Certificate) or on any separate assignment thereof is (check only one of the following):

☐ Not endorsed – The Holder verifies that the Certificate were not endorsed.

☐ Unknown – The Holder is unable to verify whether the Certificate are endorsed.

☐ Endorsed in blank – The Holder verifies that the Certificate were or are endorsed “in blank” with no named assignee. The circumstances are further described below or on a dated signed attachment incorporated herein by reference.

☐ Endorsed to named assignee – The Holder verifies that the Certificate were or are endorsed to an assignee. The circumstances are further described below or on a dated signed attachment incorporated herein by reference.

5 **Circumstances of Loss.** Following is a brief narrative of the details and circumstances of the loss and further explaining the information provided herein above:

Approximate date of loss:	
Reported to law enforcement:	<input type="checkbox"/> Yes   <input type="checkbox"/> No   <input type="checkbox"/> Not applicable

6 **Representations of Ownership Status.** The Holder and/or the undersigned represents and warrants for the reliance of the Issuer and the Issuer’s appointed transfer agent and all of their respective successors, assigns, officers, directors, shareholders, members, employees, agents, and attorneys all of the following:

6.1 Neither the Certificate nor the shares represented thereby have been pledged, sold, delivered, transferred, assigned, deposited under any agreement, hypothecated, or otherwise disposed of.

6.2 No person, entity, firm, corporation, or government agency, other than the Holder, has asserted any right, title, claim, equity, or interest in or to the Certificate or the shares represented thereby.

6.3 In the event of the recovery of the Certificate (or in the case of a mutilated Certificate, any additional remains of the same), at any time, the Holder will cause the same to be immediately returned to the Issuer or its appointed transfer agent for cancellation, without consideration or cost to the Issuer or the transfer agent.

**Request for Replacement of Lost Share Certificate.** This affidavit is made by the undersigned as the Holder or party duly authorized to act on behalf of the Holder and freely and voluntarily given to the Issuer and the Issuer’s transfer agent for the purpose of inducing the Issuer and the Issuer’s transfer agent to place a stop transfer order upon the Certificate and to reissue the shares represented by the Certificate to the Holder or the Holder’s assignee thereof.

**Hold-Harmless & Indemnity.** *THE HOLDER (Indemnitor) HEREBY AGREES TO INDEMNIFY AND HOLD HARMLESS THE ISSUER AND ITS TRANSFER AGENT, AND ALL OF THEIR RESPECTIVE SUCCESSORS, ASSIGNS, OFFICERS, DIRECTORS, SHAREHOLDERS, MEMBERS, EMPLOYEES, AGENTS, AND ATTORNEYS (Indemnitee) as to*

*all claims asserted and suits prosecuted against Indemnitee by third parties for injuries and damages to persons or property arising out of acts or omissions of Indemnitor, Indemnitor's relationship to Indemnitee, the loss of the Certificate, the replacement or reissuance of the shares, or their reliance upon any of the statements in this affidavit. An Indemnitee shall have the sole right and authority to select counsel of their choosing and to direct the defense of said Indemnitee. The hold-harmless and indemnification herein provided shall include, but not be limited to, any judgment amount awarded by a court, arbiter, or other tribunal plus interest, whether accrued or accruing, a negotiated or mediated settlement amount, fines, penalties, experts, court or arbitration or other tribunal fees, mediation fees, and attorney fees, and other costs incurred by an Indemnitee of defending against such claims and suits. The Iowa District Court for Story County or the United States District Court for the Southern District of Iowa shall have sole jurisdiction over Indemnitor and Indemnitee with respect to this hold-harmless and indemnity agreement. The consideration provided between Indemnitor and Indemnitee for the replacement of a Certificate, the warranties made, and indemnities given is acknowledged and agreed to be adequate, full, and sufficient for such purposes.*

**Acknowledgements.** I understand and acknowledge that: (1) this Affidavit of Lost Share Certificate & Request for Replacement is subject to review by the Issuer and the Issuer's transfer agent and that replacement or reissue is not guaranteed by reason of providing this record; (2) the Issuer or the Issuer's transfer agent may require additional information to their satisfaction; (3) the replacement request will not be deemed complete and in good form unless all requested information and attachments are provided along with any fees or sureties that the Issuer or the Issuer's transfer agent may require; and (4) by action of the Issuer's board of directors taken on August 27, 2024, and pursuant to section 158 of the Delaware General Corporation Law, all shares of the Company issued after that date shall be held by book entry only, and no further certificates shall be issued.

**EACH REGISTERED SHAREHOLDER MUST SIGN**  
*(attach additional copies of this page as needed).*

\_\_\_\_\_  
Printed name of Shareholder ✎

\_\_\_\_\_  
Printed name of Shareholder ✎

\_\_\_\_\_  
Signature of Shareholder or Authorized Person ✎

\_\_\_\_\_  
Signature of Shareholder or Authorized Person ✎

\_\_\_\_\_  
Printed name of Signer ✎

\_\_\_\_\_  
Printed name of Signer ✎

\_\_\_\_\_  
Title or capacity of Authorized Person<sup>10</sup> ✎

\_\_\_\_\_  
Title or capacity of Authorized Person ✎

\_\_\_\_\_  
Date Signed ✎

\_\_\_\_\_  
Date Signed ✎

**ACKNOWLEDGMENTS***(attach additional copies of this page as needed).*

*A notary public or equivalent officer authorized by the law of the jurisdiction in which this affidavit is made to administer oaths must personally witness each signature and view the original authentication document a true copy of which must be attached to this record.*

This record was subscribed and sworn (with respect the affidavit) and acknowledged (with respect to any agreements and warranties) before me on the \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, by:

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Printed name of Signer ✍

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Printed name of Signer ✍

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Title or capacity of Authorized Person<sup>11</sup> ✍

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Title or capacity of Authorized Person ✍

for:

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Printed name of Shareholder ✍

and who has provided a government-issued identification record the original of which has been exhibited to me in person and a true copy of which is attached described as follows:

Authentication Document <sup>12</sup> :	
Unique Document Number:	
Document Issue Date:	
Document Expiration Date:	

 Place STAMP of Notarial Officer Below 

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Signature of NOTARY PUBLIC ✍

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Printed name of Notary ✍

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Commission Number ✍

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Date of Expiration of Commission ✍

**Return completed affidavit to:****Transfer agent:** New Point Law Firm, plc**Physical Address:** 612 Kellogg Ave.  
Ames, IA 50010  
United States**Mailing Address:** P.O. Box 847  
Ames, IA 50010-0847  
United States**Telephone Inquiries:** +1 515 232 1761**Email Inquiries:** powerfilm@newpointlawfirm.com

### *Notes and Instructions*

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- <sup>1</sup> Provide the shareholder reference number or SRN for the Holder, if available. A reference number (typically, a single letter followed by ten digits, e.g., C0000012345) is found on the face of the certificate in the top one-third of the certificate on the left side.
- <sup>2</sup> Holder, as used herein, means and includes the plural, where applicable. List all legal owners of the shares, including any joint owner(s). Use attached additional sheets as necessary to include all owners. The name(s) shown should match the name(s) on the certificate or upon the book entry of the Issuer. If names on certificates differ, please provide one assignment for each certificate. If an individual Holder is deceased, complete an affidavit of domicile and separate transfer instructions for a deceased individual.
- <sup>3</sup> For a US individual, provide a Social Security number, for all other US shareholders, provide an employer identification number. Also, provide a completed, signed [IRS Form W-9 \(instructions\)](#).
- <sup>4</sup> Check the box if the registered Current Holder is not a US person (or entity) and exempt from US tax reporting. Also, provide a completed, signed IRS [Form W-8BEN](#) (individuals ([instructions](#))), [Form W-8BEN-E](#) (entities ([instructions](#))), or [Form W-8IMY](#) (certain pass-throughs ([instructions](#))), as applicable.
- <sup>5</sup> Confirm whether the registered Current Holder is an individual person, an entity (e.g., corporation, partnership), or a trustee. If "other" is checked, please provide specific detail.
- <sup>6</sup> Provide the name of a person authorized by the Holder to communicate with the transfer agent about this record.
- <sup>7</sup> Provide the telephone number of the person authorized to communicate with the transfer agent and include the appropriate country code if outside of the United States.
- <sup>8</sup> List the certificate number or numbers being assigned. The certificate number is found on the face of the certificate in the top one-third of the certificate next to the reference number.
- <sup>9</sup> Enter the number of shares to be assigned and transferred to the assignee. Complete a separate assignment for each separate assignee.
- <sup>10</sup> If the shareholder is not an individual, for the non-person holder, insert the title or capacity in which the signer has executed this document.
- <sup>11</sup> If the shareholder is not an individual, for the non-person holder, insert the title or capacity in which the signer has executed this document.
- <sup>12</sup> Describe the document used to authenticate the person signing the affidavit, such as a passport, driver's license, military identification card. *ATTACH A COPY OF THE DOCUMENT* used for authentication.