



C & J Wealth Advisors
9717 Cogdill Road, Suite 202
Knoxville, TN 37932

Telephone: (865) 481-0385
Facsimile: (865) 483-7930
www.cjwealth.com

Jeffrey Mark King, CFP®
Investment Advisor Representative
Chief Manager

Form ADV Part 2B
Brochure Supplement
June 1, 2022

This brochure provides information about Mark King that supplements the C & J Wealth Advisors Form ADV Part 2A firm brochure. You should have received a copy of that brochure. Please contact our firm at (865) 481-0385 if you did not receive the firm's Form ADV Part 2A brochure or if you have any questions about the contents of this supplement. Additional information about Mark King is available on the Securities and Exchange Commission's (SEC) website at www.adviserinfo.sec.gov. Coulter & Justus Financial Services, LLC operates under the marketing name C & J Wealth Advisors.

Item 2: Educational Background and Business Experience

Regulatory guidance requires the firm to disclose relevant post-secondary education and professional training for each principal executive and associate of the firm, as well as their business experience for at least the most recent five years.

Jeffery Mark King

Year of Birth CRD Number

1969 3039028

Educational Background

CERTIFIED FINANCIAL PLANNER™ Practitioner, CFP®,¹ Certified Financial Planner Board of Standards, Inc. (2003)

Completed three years of coursework toward a Bachelor's degree in Finance, University of Tennessee; Knoxville, TN (1989-1991)

Coursework toward a Bachelor's degree in Finance, Roane State Community College, Oak Ridge, TN (1988-1989)

Coursework toward a Bachelor's degree in Finance, Austin Peay State University; Clarksville, TN (1988)

Business Background

C & J Wealth Advisors

Chief Manager (2016-Present)

Investment Advisor Representative (2005-Present)

Knoxville, TN

Investment Planning Services, Inc.

Investment Advisor Representative (2003-2005)

Oak Ridge, TN

Securities America, Inc.

Registered Representative (2003-2010)

Oak Ridge, TN

CUNA Brokerage Services, Inc. (2000-2003)

Registered Representative

Oak Ridge, TN

First Tennessee Brokerage Services, Inc. (2000)

Registered Representative

Knoxville, TN

Massachusetts Mutual Life Insurance Company/MML Investors Services, Inc.

Insurance Agent/Registered Representative (1998-2000)

Knoxville, TN

Item 3: Disciplinary Information

Registered investment advisors are required to disclose certain material facts about their associated personnel regarding any legal or disciplinary events, including criminal or civil action in a domestic, foreign or military court, or any proceeding before a state, federal or foreign regulatory agency, self-regulatory organization, or suspension or sanction by a professional association for violation of its conduct rules, that would be material to your evaluation of each officer or a supervised person providing investment advice. There are no reportable events applicable to Mr. King.

Item 4: Other Business Activities

Mr. King is a licensed insurance agent in the State of Tennessee. He is able to offer annuities, life, health, or long-term care insurance via various unaffiliated insurance companies through our firm's affiliated insurance agency, CJIS, LLC, and he may receive a commission on a client's purchase of the insurance contract. Therefore, Mr. King may perform in a role as licensed insurance agent or investment advisor representative and is required to disclose (in advance of a transaction or agreement) the capacity in which he is serving a client, to include the potential or actual conflict of interest the role or service to be provided may incur.

Mr. King is not registered, nor has an application pending to register, as a registered representative of a broker/dealer or associated person of a futures commission merchant, commodity pool operator, or commodity trading advisor. He does not receive commissions, bonuses or other compensation based on the sale of securities, including that as a registered representative of a broker/dealer or the distribution or service ("trail") fees from the sale of mutual funds. Neither he nor our advisory firm has a material relationship with the issuer of a security.

Item 5: Additional Compensation

Mr. King is not compensated for advisory services involving performance-based fees. Our firm also prohibits employees from accepting or receiving additional economic benefit, such as sales awards or other prizes, for providing advisory services to its clients.

Item 6: Supervision

We supervise Mr. King by requiring that he adhere to our processes and procedures as described in our firm's Code of Ethics and procedural guidelines. We monitor the advice that Mr. King provides clients by performing the following ongoing reviews:

- Account opening documentation when the relationship is established,
- Daily review of account transactions,
- Annual oversight of your financial situation, objectives, and investment needs,
- A review of client correspondence on an as needed basis, and
- Periodic office reviews.

Ms. Huskey serves as our firm's Chief Compliance Officer and Mr. King's supervisor. Questions relative to our firm, its services, or this Form ADV Part 2B may be made to the attention of Ms. Huskey at (865) 481-0385. Additional information about the firm, other advisory firms, or associated investment advisor representatives, including Mr. King is available on the Internet at www.adviserinfo.sec.gov. A search of this site for firms or their associated personnel can be accomplished by name or a unique firm identifier.

Mr. King's CRD number is 3039028 and the IARD number for our firm is 133293. The business and disciplinary history, if any, of an investment advisory firm and its representatives may also be obtained by calling a state securities administrator's office.

¹The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively the "CFP® marks") are professional certification marks granted in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services and attain a bachelor's degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning.
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances.
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 per year); and
- Ethics – Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to the CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.



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Jeffrey (Jeff) A. Loos, ChFC®, LUTCF, RICP®, CLU®
Investment Advisor Representative

Form ADV Part 2B
Brochure Supplement
March 1, 2023

This brochure provides information about Jeff Loos that supplements the C & J Wealth Advisors Form ADV Part 2A firm brochure. You should have received a copy of that brochure. Please contact our firm at (865) 481-0385 if you did not receive the firm's Form ADV Part 2A brochure or if you have any questions about the contents of this supplement. Additional information about Jeff Loos is available on the Securities and Exchange Commission's (SEC) website at www.adviserinfo.sec.gov. Coulter & Justus Financial Services, LLC operates under the marketing name C & J Wealth Advisors.

Item 2: Educational Background and Business Experience

Regulatory guidance requires the firm to disclose relevant post-secondary education and professional training for each principal executive and associate of the firm, as well as their business experience for at least the most recent five years.

Jeffery A. Loos

Year of Birth CRD Number

1966 2223102

Educational Background

Bachelor of Science in Finance, University of Tennessee; Knoxville, TN (1989)
Chartered Financial Consultant (ChFC®)¹, The American College; Bryn Mawr, PA (2002)
Life Underwriter Training Council Fellow (LUTCF)², The American College; Bryn Mawr, PA (1992)
Retirement Income Certified Professional (RICP®)³, The American College, Bryn Mawr, PA (2018)
Chartered Life Underwriter (CLU®)⁴, The American College, Bryn Mawr, PA (2021)

Business Background

C & J Wealth Advisors (2010-Present)
Investment Advisor Representative
Knoxville, TN

Massachusetts Mutual Life Insurance Company/MML Investors Services, Inc. (2007-2010)
Insurance Agent/Registered Representative/Investment Advisor Representative
Knoxville, TN

First Tennessee Brokerage Services, Inc. (1998-2007)
Registered Representative
Knoxville, TN

MML Investors Services, Inc. (1992-1998)
Registered Representative
Knoxville, TN

Massachusetts Mutual Life Insurance Company (1989-1998)
Insurance Agent
Knoxville, TN

Item 3: Disciplinary Information

Registered investment advisors are required to disclose certain material facts about their associated personnel regarding any legal or disciplinary events, including criminal or civil action in a domestic, foreign or military court, or any proceeding before a state, federal or foreign regulatory agency, self-regulatory organization, or suspension or sanction by a professional association for violation of its conduct rules, that would be material to your evaluation of each officer or a supervised person providing investment advice. Mr. Loos has not been the subject of any such event.

Item 4: Other Business Activities

Mr. Loos is a licensed insurance agent in Tennessee. He is able to offer annuities, life, health or long-term care insurance via various unaffiliated insurance companies through our firm's affiliate insurance agency, CJIS, LLC, and he may receive a commission on a client's purchase of the insurance contract. Mr. Loos may perform in a role as licensed insurance agent or investment advisor representative and is required to disclose (in advance of a transaction or agreement) the capacity in which he is serving a client, to include the potential or actual conflict of interest the role or service to be provided may incur.

Mr. Loos is not registered, nor has an application pending to register, as a registered representative of a broker/dealer or associated person of a futures commission merchant, commodity pool operator, or commodity trading advisor. He does not receive commissions, bonuses or other compensation based on the sale of securities, including that as a registered representative of a broker/dealer or the distribution or service ("trail") fees from the sale of mutual funds. Neither he nor our advisory firm has a material relationship with the issuer of a security.

Item 5: Additional Compensation

Mr. Loos is not compensated for advisory services involving performance-based fees. Our firm also prohibits employees from accepting or receiving additional economic benefit, such as sales awards or other prizes, for providing advisory services to its clients.

Item 6: Supervision

We supervise Mr. Loos by requiring that he adhere to our processes and procedures as described in our firm's Code of Ethics and procedural guidelines. We monitor the advice that Mr. Loos provides clients by performing the following ongoing reviews:

- Account opening documentation when the relationship is established,
- Daily review of account transactions,
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- Periodic office reviews.

Ms. Huskey serves as our firm's Chief Compliance Officer and Mr. Loos' supervisor. Questions relative to our firm, its services or this Form ADV Part 2B brochure supplement may be made to the attention of Ms. Huskey at (865) 481-0385. Additional information about the firm, other advisory firms, or associated investment advisor representatives, including Mr. Loos is available on the Internet at www.adviserinfo.sec.gov. A search of this site for firms or their associated personnel can be accomplished by name or a unique firm identifier. Mr. Loos' CRD number is 2223102 and the IARD number for our firm is 133293. The business and disciplinary history, if any, of an investment advisory firm and its representatives may also be obtained by calling a state securities administrator's office.

¹The **Chartered Financial Consultant® (ChFC®)** designation has been a mark of excellence for more than 30 years and currently requires eight college-level courses. Average study time to earn the ChFC® exceeds 450 hours. Required courses cover extensive education and application training in financial planning, income taxation, investments, and estate and retirement planning. Additional electives are chosen from such topics as macroeconomics, financial decisions for retirement, and executive compensation. ChFC® designees must meet experience requirements and adhere to continuing education and ethical standards. The credential is awarded by The American College®, a non-profit educator with a 95+ year heritage and the highest level of academic accreditation.

²The **Life Underwriter Training Council Fellow (LUTCF)** educational program includes required ethical training and five elective courses chosen from such topics as meeting client needs, serving personal markets, essentials of business insurance, annuities, long-term care, life insurance products, and retirement, investment, and estate planning. An LUTCF has studied the fundamental skills required for an insurance agent and must adhere to an ongoing ethical standard when serving clients. The designation is offered by The College for Financial Planning, in association with the National Association of Insurance and Financial Advisors (NAIFA).

³ **The Retirement Income Certified Professional (RICP®)** designation currently requires completion of 3 online courses and an exam. RICP® designees must already hold a financial planning credential such as ChFC®, CFP® or CLU® or 3 years commensurate work experience and adhere to continuing education and ethical standards. The credential is awarded by The American College®, a non-profit educator with a 95+ year heritage and the highest level of academic accreditation.

⁴ The **Chartered Life Underwriter (CLU®)** designation currently requires completion of 8 courses and corresponding exams. CLU® designees must adhere to continuing education and ethical standards. The credential is awarded by The American College®, a non-profit educator with a 95+ year heritage and the highest level of academic accreditation.



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Robert Scott Smith, CIMA®
Investment Advisor Representative

Form ADV Part 2B
Brochure Supplement
June 1, 2022

This brochure provides information about Scott Smith that supplements the C & J Wealth Advisors Form ADV Part 2A firm brochure. You should have received a copy of that brochure. Please contact our firm at (865) 481-0385 if you did not receive the firm's Form ADV Part 2A brochure or if you have any questions about the contents of this supplement. Additional information about Scott Smith is available on the Securities and Exchange Commission's (SEC) website at www.adviserinfo.sec.gov. Coulter & Justus Financial Services, LLC operates under the marketing name C & J Wealth Advisors.

Item 2: Educational Background and Business Experience

Regulatory guidance requires the firm to disclose relevant post-secondary education and professional training for each principal executive and associate of the firm, as well as their business experience for at least the most recent five years.

Robert Scott Smith

Year of Birth CRD Number

1979 4651913

Educational Background

Certified Investment Management AnalystSM (CIMA[®]),¹ Investment & Wealth Institute (2012)
Bachelor of Science in Finance, University of Tennessee; Knoxville, TN (2001)

Business Background

C & J Wealth Advisors (2008-Present)
Investment Analyst (2008-Present)
Investment Advisor Representative (2010-Present)
LLC Member (2016-Present)
Knoxville, TN

Securities America, Inc. (2008-2010)
Registered Representative
Oak Ridge, TN

Irvin Smith Realtors (2004-2007)
Investment Manager
Jackson, TN

Volunteer/English Teacher (2003-2004)
Sao Paulo, Brazil

International Management Associates, LLC (2001-2003)
Analyst
Atlanta, GA

Item 3: Disciplinary Information

Registered investment advisors are required to disclose certain material facts about their associated personnel regarding any legal or disciplinary events, including criminal or civil action in a domestic, foreign or military court, or any proceeding before a state, federal or foreign regulatory agency, self-regulatory organization, or suspension or sanction by a professional association for violation of its conduct rules, that would be material to your evaluation of each officer or a supervised person providing investment advice. Mr. Smith has not been the subject of any such event.

Item 4: Other Business Activities

Mr. Smith is not registered, nor has an application pending to register, as a registered representative of a broker/dealer or associated person of a futures commission merchant, commodity pool operator, or commodity trading advisor. He does not receive commissions, bonuses or other compensation based on the sale of securities, including that as a registered representative of a broker/dealer or the distribution or service ("trail") fees from the sale of mutual funds. Neither he nor our advisory firm has a material relationship with the issuer of a security. Mr. Smith has no other reportable business activities.

Item 5: Additional Compensation

Mr. Smith is not compensated for advisory services involving performance-based fees. Our firm also prohibits employees from accepting or receiving additional economic benefit, such as sales awards or other prizes, for providing advisory services to its clients.

Item 6: Supervision

We supervise Mr. Smith by requiring that he adhere to our processes and procedures as described in our firm's Code of Ethics and procedural guidelines. We will monitor the advice that Mr. Smith may provide clients by performing the following ongoing reviews:

- Account opening documentation when the relationship is established,
- Daily review of account transactions,
- Annual oversight of your financial situation, objectives, and investment needs,
- A review of client correspondence on an as needed basis, and
- Periodic office reviews.

Ms. Huskey serves as our firm's Chief Compliance Officer and Mr. Smith's supervisor. Questions relative to our firm, its services, or this Form ADV Part 2B brochure supplement may be made to the attention of Ms. Huskey at (865) 481-0385.

Additional information about the firm, other advisory firms, or associated investment advisor representatives, including Mr. Smith is available on the Internet at www.adviserinfo.sec.gov. A search of this site for firms or their associated personnel can be accomplished by name or a unique firm identifier. Mr. Smith's CRD number is 4651913 and the IARD number for our firm is 133293. The business and disciplinary history, if any, of an investment advisory firm and its representatives may also be obtained by calling a state securities administrator's office.

¹ Certified Investment Management AnalystSM (CIMA[®])

The CIMA certification signifies that an individual has met initial and on-going experience, ethical, education, and examination requirements for investment management consulting, including advanced investment management theory and application. Prerequisites for the CIMA certification are three years of financial services experience and an acceptable regulatory history. To obtain the CIMA certification, candidates must pass an online Qualification Examination, successfully complete a one-week classroom education program provided by a Registered Education Provider at an AACSB accredited university business school and pass an online Certification Examination. CIMA designees are required to adhere to the Investments & Wealth Institute Code of Professional Responsibility, Standards of Practice, and Rules and Guidelines for Use of the Marks. CIMA designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification. The designation is administered through the Investments & Wealth Institute.



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Daniel Stephen Reller
Investment Advisor Representative

Form ADV Part 2B
Brochure Supplement
June 1, 2022

This brochure provides information about Daniel Reller that supplements the C & J Wealth Advisors Form ADV Part 2A firm brochure. You should have received a copy of that brochure. Please contact our firm at (865) 481-0385 if you did not receive the firm's Form ADV Part 2A brochure or if you have any questions about the contents of this supplement. Additional information about Daniel Reller is available on the Securities and Exchange Commission's (SEC) website at www.adviserinfo.sec.gov. Coulter & Justus Financial Services, LLC operates under the marketing name C & J Wealth Advisors.

Item 2: Educational Background and Business Experience

Regulatory guidance requires the firm to disclose relevant post-secondary education and professional training for each principal executive and associate of the firm, as well as their business experience for at least the most recent five years.

Daniel Stephen Reller

Year of Birth CRD Number

1994 7468093

Educational Background

Master of Business Administration, Carson-Newman University; Jefferson City, TN (2017)

Bachelor of Science in Finance & Accounting, Carson-Newman University; Jefferson City, TN (2016)

Business Background

C & J Wealth Advisors (2021-Present)

Investment Advisor Representative (2022-Present)

Knoxville, TN

Coulter & Justus, P.C. (2016-2021)

Accounting & Assurance Associate (2016 – 2018)

Accounting & Assurance Senior (2018 – 2021)

Knoxville, TN

Item 3: Disciplinary Information

Registered investment advisors are required to disclose certain material facts about their associated personnel regarding any legal or disciplinary events, including criminal or civil action in a domestic, foreign or military court, or any proceeding before a state, federal or foreign regulatory agency, self-regulatory organization, or suspension or sanction by a professional association for violation of its conduct rules, that would be material to your evaluation of each officer or a supervised person providing investment advice. Mr. Reller has not been the subject of any such event.

Item 4: Other Business Activities

Mr. Reller is not registered, nor has an application pending to register, as a registered representative of a broker/dealer or associated person of a futures commission merchant, commodity pool operator, or commodity trading advisor. He does not receive commissions, bonuses or other compensation based on the sale of securities, including that as a registered representative of a broker/dealer or the distribution or service ("trail") fees from the sale of mutual funds. Neither he nor our advisory firm has a material relationship with the issuer of a security. Mr. Reller has no other reportable business activities.

Item 5: Additional Compensation

Mr. Reller is not compensated for advisory services involving performance-based fees. Our firm also prohibits employees from accepting or receiving additional economic benefit, such as sales awards or other prizes, for providing advisory services to its clients.

Item 6: Supervision

We supervise Mr. Reller by requiring that he adhere to our processes and procedures as described in our firm's Code of Ethics and procedural guidelines. We will monitor the advice that Mr. Reller may provide clients by performing the following ongoing reviews:

- Account opening documentation when the relationship is established,
- Daily review of account transactions,
- Annual oversight of your financial situation, objectives, and investment needs,
- A review of client correspondence on an as needed basis, and
- Periodic office reviews.

Ms. Huskey serves as our firm's Chief Compliance Officer and Mr. Reller's supervisor. Questions relative to our firm, its services or this Form ADV Part 2B brochure supplement may be made to the attention of Ms. Huskey at (865) 481-0385.

Additional information about the firm, other advisory firms, or associated investment advisor representatives, including Mr. Reller is available on the Internet at www.adviserinfo.sec.gov. A search of this site for firms or their associated personnel can be accomplished by name or a unique firm identifier. Mr. Reller's CRD number is 7468093 and the IARD number for our firm is 133293. The business and disciplinary history, if any, of an investment advisory firm and its representatives may also be obtained by calling a state securities administrator's office.



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Douglas L. Whitten
Investment Advisor Representative

Form ADV Part 2B
Brochure Supplement
June 1, 2022

This brochure provides information about Douglas Whitten that supplements the C & J Wealth Advisors Form ADV Part 2A firm brochure. You should have received a copy of that brochure. Please contact our firm at (865) 481-0385 if you did not receive the firm's Form ADV Part 2A brochure or if you have any questions about the contents of this supplement. Additional information about Douglas Whitten is available on the Securities and Exchange Commission's (SEC) website at www.adviserinfo.sec.gov. Coulter & Justus Financial Services, LLC operates under the marketing name C & J Wealth Advisors.

Item 2: Educational Background and Business Experience

Regulatory guidance requires the firm to disclose relevant post-secondary education and professional training for each principal executive and associate of the firm, as well as their business experience for at least the most recent five years.

Douglas Leonard Whitten

Year of Birth CRD Number

1995 7058392

Educational Background

Bachelor of Social Sciences in Business Management, Maryville College; Maryville, TN (2018)

Business Background

C & J Wealth Advisors (2022-Present)
Investment Advisor Representative (2022-Present)
Knoxville, TN

Advisor Group (2020-2022)
Trader
Knoxville, TN

Securities Service Network (2019-2020)
Trader
Knoxville, TN

Vanderbilt Mortgage & Finance (2018-2019)
Account Representative
Maryville, TN

Maryville College (2014-2018)
Student
Maryville, TN

Item 3: Disciplinary Information

Registered investment advisors are required to disclose certain material facts about their associated personnel regarding any legal or disciplinary events, including criminal or civil action in a domestic, foreign or military court, or any proceeding before a state, federal or foreign regulatory agency, self-regulatory organization, or suspension or sanction by a professional association for violation of its conduct rules, that would be material to your evaluation of each officer or a supervised person providing investment advice. Mr. Whitten has not been the subject of any such event.

Item 4: Other Business Activities

Mr. Whitten is not registered, nor has an application pending to register, as a registered representative of a broker/dealer or associated person of a futures commission merchant, commodity pool operator, or commodity trading advisor. He does not receive commissions, bonuses or other compensation based on the sale of securities, including that as a registered representative of a broker/dealer or the distribution or service ("trail") fees from the sale of mutual funds. Neither he nor our advisory firm has a material relationship with the issuer of a security. Mr. Whitten has no other reportable business activities.

Item 5: Additional Compensation

Mr. Whitten is not compensated for advisory services involving performance-based fees. Our firm also prohibits employees from accepting or receiving additional economic benefit, such as sales awards or other prizes, for providing advisory services to its clients.

Item 6: Supervision

We supervise Mr. Whitten by requiring that he adhere to our processes and procedures as described in our firm's Code of Ethics and procedural guidelines. We will monitor the advice that Mr. Whitten may provide clients by performing the following ongoing reviews:

- Account opening documentation when the relationship is established,
- Daily review of account transactions,
- Annual oversight of your financial situation, objectives, and investment needs,
- A review of client correspondence on an as needed basis, and
- Periodic office reviews.

Ms. Huskey serves as our firm's Chief Compliance Officer and Mr. Whitten's supervisor. Questions relative to our firm, its services or this Form ADV Part 2B brochure supplement may be made to the attention of Ms. Huskey at (865) 481-0385.

Additional information about the firm, other advisory firms, or associated investment advisor representatives, including Mr. Whitten is available on the Internet at www.adviserinfo.sec.gov. A search of this site for firms or their associated personnel can be accomplished by name or a unique firm identifier. Mr. Whitten's CRD number is 7058392 and the IARD number for our firm is 133293. The business and disciplinary history, if any, of an investment advisory firm and its representatives may also be obtained by calling a state securities administrator's office.