

- Our mission is to create workplaces free from bias and unlawful discrimination by harnessing the synergies between human resource functions and promoting affirmative action and equal employment regulatory compliance —

Appendix A Accompanying Technical Advisory Committee Report on Best Practices in Adverse Impact Analyses

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1. Which of the following best describes your primary area of expertise?			
		Response Percent	Response Count
Industrial and Organizational Psychology		37.5%	24
Labor Economics		15.6%	10
Employment Law		26.6%	17
HR Compliance		14.1%	9
HR Statistics		4.7%	3
Other (please specify)		1.6%	1
	answere	ed question	64
	skippe	ed question	0

2. Which of the following best describes your current employment position?				
		Response Percent	Response Count	
Internal Practitioner		17.2%	11	
External Consultant		45.3%	29	
Private Research		1.6%	1	
Attorney		18.8%	12	
Academic		14.1%	9	
Government		0.0%	0	
Other (please specify)		3.1%	2	
	answere	ed question	64	
	skippe	ed question	0	

3. Which of the following best describes the highest educational degree you have obtained?			
		Response Percent	Response Count
ВА		3.1%	2
BS		3.1%	2
MA		3.1%	2
MS		0.0%	0
MBA		0.0%	0
JD		25.0%	16
PhD		60.9%	39
PsyD		0.0%	0
Other (and if multiple advanced degrees please describe here)		4.7%	3
	answere	ed question	64
	skippe	ed question	0

4. How many years of experience do you have working on projects where adverse impact was an important consideration?		
	Response Count	
	64	
answered question	64	
skipped question	0	

5. In your experience working with adverse impact, which of the following roles have you been involved in? Note that you may choose more than one response.				
		Response Percent	Response Count	
On behalf of an EEO Agency		28.1%	18	
On the plaintiff side of litigation/audit		32.8%	21	
On the defendant side of litigation/audit		85.9%	55	
For an organization proactively		81.3%	52	
For the court as independent expert		10.9%	7	

12.5%

answered question

skipped question

8

64

0

Other (please specify)

6. Which of the following best describes your work involving adverse impact?				
		Response Percent	Response Count	
In the Public Sector		6.3%	4	
In the Private Sector		34.4%	22	
Both		57.8%	37	
Other (please describe)		1.6%	1	
	answer	ed question	64	
	skipp	ed question	0	

7. What statutes concerning adverse impact do you work with most? Note that you can choose more than one response.			
		Response Percent	Response Count
Title VII		95.3%	61
EO 11246		67.2%	43
ADEA		40.6%	26
Other (please specify)		3.1%	2
	answer	ed question	64
	skipp	ed question	0

8. What is your gender?			
		Response Percent	Response Count
Male		64.1%	41
Female		28.1%	18
Prefer Not to Respond		7.8%	5
	answere	ed question	64
	skippe	ed question	0

9. What is your race/ethnicity?			
		Response Percent	Response Count
Hispanic or Latino		4.7%	3
White (Not Hispanic or Latino)		79.7%	51
Black or African American (Not Hispanic or Latino)		4.7%	3
Native Hawaiian or Other Pacific Islander (Not Hispanic or Latino)		0.0%	0
Asian (Not Hispanic or Latino)		3.1%	2
American Indian or Alaska Native (Not Hispanic or Latino)		0.0%	0
Two or More Races (Not Hispanic or Latino)		0.0%	0
Prefer Not to Respond		7.8%	5
	answere	ed question	64
	skippe	ed question	0

10. This last question will help the TAC Survey sub-committee interpret the results of the survey and prepare for the in-person meeting in December. In your opinion, what PERCENTAGE (0% to 100%) of experts must respond in the same way to a question on this survey for us to conclude that there is 'consensus' regarding an issue?		
		Response Count
		62
	answered question	62
	skipped question	2

11. Do you have extensive expertise/experience in working with applicant flow data for adverse impact analysis?			
		Response Percent	Response Count
Yes		85.2%	52
No		14.8%	9
answered question		ed question	61
skipped question		3	

12. One of the most important decisions related to an adverse impact analysis is whether a person should be include analysis or not (i.e., is an applicant). There are a variety of reasons why a person could be excluded from the analysis grounds that he/she was not an applicant (e.g., not basically qualified, not eligible, did not show up for an interview Additionally, applicants included in the analysis can be treated in multiple ways (e.g., rejected, hired, offered a job, of following section presents a variety of scenarios that may affect whether a person is considered an applicant or not, whether that person is included in the adverse impact analysis. Although it would be tempting to respond "it depend of the following scenarios, we ask that you select whether, in most cases, that person should be included in an adverse analysis as an applicant. Two different drop down menus are presented; both ask whether a person should be included adverse impact analysis as an applicant. The first menu asks whether that person should be included in an adverse i analysis as an applicant in a bottom line analysis (applicant to hire). The second menu asks about whether that person be included in analyses as an applicant in a 'step analysis' that looks at each stage of the selection process (e.g., em test, interview, etc.). Thus, for each scenario, you can respond to both drop down menus concerning applicant statu are not familiar with a step analysis, only respond to the bottom line analysis. Eligibility Issues: Who should be includered impact analysis?

Bottom Line Analysis

	Include	Exclude
Did not meet basic qualifications for the specific job opening	15.8% (9)	84.2% (48)
Not considered because an internal applicant was chosen prior to reviewing external applications	20.8% (11)	79.2% (42)
Was a former employee who did not meet criteria for rehire (e.g., had been terminated)	20.0% (11)	80.0% (44)
Job seeker did not answer one or more critical questions or sections on the application (e.g., questions used to determine basic qualifications)	16.4% (9)	83.6% (46)
Job seeker met basic qualifications but did not answer 'non-critical' questions or sections on the application (e.g., hobbies)	94.4% (51)	5.6% (3)
Applicant did not sign application signature page as required by company policy	34.6% (18)	65.4% (34)
Did not apply for a specific position but WAS considered for a specific position	94.2% (49)	5.8% (3)
Did not apply for a specific position		

and was NOT considered for a specific position	4.0% (2)	96.0% (48)
Did not properly follow application process	24.5% (12)	75.5% (37)
Not legal to hire (e.g., lacks required visa/work papers)	13.0% (7)	87.0% (47)
Application or resume could not be read (i.e., poor penmanship, not in English)	28.6% (14)	71.4% (35)
Job seeker applied after a selection decision was made	0.0% (0)	100.0% (55)
Job seeker's resume demonstrates that they meet the basic qualification but later found to be untruthful (i.e. did not meet the BQ's)	27.8% (15)	72.2% (39)
Job seeker is in the middle of the selection process, when the data are extracted for analysis purposes	33.3% (17)	66.7% (34)

Step Analysis

	Include for all steps	Include for all steps in which the job seeker participated in	Exclude from all steps
Did not meet basic qualifications for the specific job opening	2.0% (1)	32.7% (16)	65.3% (32)
Not considered because an internal applicant was chosen prior to reviewing external applications	8.7% (4)	13.0% (6)	78.3% (36)
Was a former employee who did not meet criteria for rehire (e.g., had been terminated)	2.1% (1)	29.2% (14)	68.8% (33)
Job seeker did not answer one or more critical questions or sections on the application (e.g., questions used to determine basic qualifications)	2.1% (1)	31.9% (15)	66.0% (31)
Job seeker met basic qualifications but did not answer 'non-critical' questions or sections on the	25.0% (12)	72.9% (35)	2.1% (1)

application (e.g., hobbies)			
Applicant did not sign application signature page as required by company policy	17.8% (8)	28.9% (13)	53.3% (24)
Did not apply for a specific position but WAS considered for a specific position	20.0% (9)	77.8% (35)	2.2% (1)
Did not apply for a specific position and was NOT considered for a specific position	0.0% (0)	7.3% (3)	92.7% (38)
Did not properly follow application process	2.4% (1)	26.8% (11)	70.7% (29)
Not legal to hire (e.g., lacks required visa/work papers)	4.3% (2)	17.4% (8)	78.3% (36)
Application or resume could not be read (i.e., poor penmanship, not in English)	9.5% (4)	21.4% (9)	69.0% (29)
Job seeker applied after a selection decision was made	0.0% (0)	4.3% (2)	95.7% (44)
Job seeker's resume demonstrates that they meet the basic qualification but later found to be untruthful (i.e. did not meet the BQ's)	2.1% (1)	41.7% (20)	56.3% (27)
Job seeker is in the middle of the selection process, when the data are extracted for analysis purposes	2.3% (1)	68.2% (30)	29.5% (13)
		Please add addition	onal comments as necessary
			answered question
			skipped question

13. Withdrawal Issues: Who should be included in the adverse impact analysis?

Bottom Line Analysis

	Include	Exclude
Indicated that they were no longer interested in the position	11.1% (6)	88.9% (48)
Did not show for scheduled interview	13.0% (7)	87.0% (47)
Did not show for a scheduled employment test	13.0% (7)	87.0% (47)
Completed at least one employment test but dropped out of the process	13.2% (7)	86.8% (46)
Was unable to work required shift or meet required start date	19.2% (10)	80.8% (42)
Job seeker was unwilling to perform job-related travel	15.4% (8)	84.6% (44)
Salary requirements too high for position in question	26.9% (14)	73.1% (38)
Cannot contact at all (e.g., no or incorrect phone number)	11.3% (6)	88.7% (47)
Did not return company call/email (one attempt)	43.1% (22)	56.9% (29)
Did not return company calls/emails (multiple attempts)	9.4% (5)	90.6% (48)

Step Analysis

	Include for all steps	Exclude from steps subsequent to notification of no interest	Exclude from all steps
Indicated that they were no longer interested in the position	2.2% (1)	78.3% (36)	19.6% (9)
Did not show for scheduled interview	0.0% (0)	84.8% (39)	15.2% (7)

Did not show for a scheduled employment test	0.0% (0)	82.6% (38)	17.4% (8)
Completed at least one employment test but dropped out of the process	0.0% (0)	87.0% (40)	13.0% (6)
Was unable to work required shift or meet required start date	11.1% (5)	55.6% (25)	33.3% (15)
Job seeker was unwilling to perform job-related travel	6.7% (3)	57.8% (26)	35.6% (16)
Salary requirements too high for position in question	11.1% (5)	51.1% (23)	37.8% (17)
Cannot contact at all (e.g., no or incorrect phone number)	4.3% (2)	58.7% (27)	37.0% (17)
Did not return company call/email (one attempt)	16.7% (7)	59.5% (25)	23.8% (10)
Did not return company calls/emails (multiple attempts)	2.2% (1)	67.4% (31)	30.4% (14)
Please add additional comments as necessary			
			answered question
			skipped question

14. Consideration Issues: Who should be included in an adverse impact analysis? Note that step analysis responses are not applicable in this context.

Bottom Line Analysis

	Include	Exclude	Response Count
Job seeker applied for a specific position but a recruiter never reviewed the resume	40.4% (21)	59.6% (31)	52
A resume appeared after a search of basic qualifications, but the resume was not opened or reviewed	32.7% (17)	67.3% (35)	52
Recruiter runs a search of the basic qualifications which net 100 resumes. The recruiter makes a decision to review resumes until 10 meet the basic qualifications. This results in 40 resumes being reviewed. Should the 60 unreviewed resumes be included?	37.3% (19)	62.7% (32)	51
Job seeker met basic qualifications but was not considered because of a data management technique (e.g., an algorithm that randomly selects applicants from a larger sample)	25.9% (14)	74.1% (40)	54
	Please ad	ld additional comments as necessary	19
		answered question	54
		skipped question	10

15. Performances Issues: Who should be included in the adverse impact analysis?

Bottom Line Analysis

	Include	Exclude
Failed one or more employment tests	83.6% (46)	16.4% (9)
Passed all employment tests	100.0% (55)	0.0% (0)
Met basic qualifications but was not the best candidate	100.0% (55)	0.0% (0)
Unfavorable interview	100.0% (55)	0.0% (0)
Current employee with performance or attendance issue	81.8% (45)	18.2% (10)

Step Analysis

	Include for all steps	Include for all steps in which the job seeker participated in	Exclude from all steps
Failed one or more employment tests	6.3% (3)	93.8% (45)	0.0% (0)
Passed all employment tests	25.0% (12)	75.0% (36)	0.0% (0)
Met basic qualifications but was not the best candidate	27.1% (13)	72.9% (35)	0.0% (0)
Unfavorable interview	16.7% (8)	83.3% (40)	0.0% (0)
Current employee with performance or attendance issue	18.8% (9)	68.8% (33)	12.5% (6)

Please add additional comments as necessary

answered question
skipped question

16. Conditional/Post Offer Issues: How should the following applicant scenarios be treated in the adverse impact ana

Bottom Line Analysis

	Selected	Rejected	Withdrawn
Was offered the job but declined	76.9% (40)	0.0% (0)	23.1% (12)
Accepted a job offer but did not show up for work	77.8% (42)	0.0% (0)	22.2% (12)
Accepted a job offer but did not show to post offer exam	68.5% (37)	3.7% (2)	27.8% (15)
Accepted a job offer and reported for work	100.0% (54)	0.0% (0)	0.0% (0)
Was conditionally offered but failed drug test	50.0% (27)	42.6% (23)	7.4% (4)
Was conditionally offered but failed physical ability test	37.0% (20)	55.6% (30)	7.4% (4)
Was conditionally offered but failed the background or credit check	35.2% (19)	57.4% (31)	7.4% (4)
Was conditionally offered but failed the medical exam	42.6% (23)	50.0% (27)	7.4% (4)
Failed a background / credit check but had not been given a conditional offer of hire	5.6% (3)	83.3% (45)	11.1% (6)
Failed drug test but had not been given a conditional offer to hire	9.3% (5)	79.6% (43)	11.1% (6)
Failed physicial ability test but had not been given a conditional offer of hire	5.6% (3)	83.3% (45)	11.1% (6)
Failed medical exam but had not been given a conditional offer of hire	9.3% (5)	79.6% (43)	11.1% (6)
Step Analysis			
	Include for all steps	Include for all steps in which the job seeker participated in	Exclude from all steps
Was offered the job but declined	36.2% (17)	57.4% (27)	6.4% (3)

Accepted a job offer but did not show up for work	42.6% (20)	51.1% (24)	6.4% (3)
Accepted a job offer but did not show to post offer exam	26.1% (12)	67.4% (31)	6.5% (3)
Accepted a job offer and reported for work	57.4% (27)	42.6% (20)	0.0% (0)
Was conditionally offered but failed drug test	19.6% (9)	78.3% (36)	2.2% (1)
Was conditionally offered but failed physical ability test	17.4% (8)	82.6% (38)	0.0% (0)
Was conditionally offered but failed the background or credit check	15.2% (7)	84.8% (39)	0.0% (0)
Was conditionally offered but failed the medical exam	15.2% (7)	84.8% (39)	0.0% (0)
Failed a background / credit check but had not been given a conditional offer of hire	8.7% (4)	91.3% (42)	0.0% (0)
Failed drug test but had not been given a conditional offer to hire	4.3% (2)	95.7% (44)	0.0% (0)
Failed physicial ability test but had not been given a conditional offer of hire	4.3% (2)	95.7% (44)	0.0% (0)
Failed medical exam but had not been given a conditional offer of hire	4.3% (2)	95.7% (44)	0.0% (0)
		Please add additi	onal comments as necessary
			answered question
			skipped question

17. In an adverse impact analysis I offer?	how do you usually treat applicants that were offered a	job but declin	ed the
		Response Percent	Response Count
The same as a hire		75.0%	39
As a withdrawal		21.2%	11
As a rejection		3.8%	2
Other (please specify)		ease specify)	19
	answe	red question	52
	skipp	ed question	12

18. In situations where internal applicants are only competing against other internal applicants, should they be included in external applicant flow data for adverse impact analyses?			
		Response Percent	Response Count
Yes		7.7%	4
No		92.3%	48
Comments:		13	
answered question		52	
	skippe	ed question	12

- 1	plicants are competing against both internal and externa ant flow data for adverse impact analyses?	al applicants,	should
		Response Percent	Response Count
Yes		84.6%	44
No		15.4%	8
		Comments:	16
	answere	ed question	52
	skippe	ed question	12

20. What is the proper way to handle an applicant who has applied to the same 'one-time' requisition multiple times?

		Response Percent	Response Count
Count the person as an applicant for as many applications as they submit		7.5%	4
Only count the applicant once per requisition and treat the remaining applications as withdrawals		17.0%	9
Only count the applicant once, and delete duplicate applications		75.5%	40
Do not count the person as an applicant at all		0.0%	0
		Comments:	15
	answer	ed question	53
	skippe	ed question	11

21. What is the proper way to handle an applicant who has applied to the same 'open-and-continuous' requisition multiple times?

		Response Percent	Response Count
Count the person as an applicant for as many applications as they submit		29.8%	14
Only count the applicant once per requisition and treat the remaining applications as withdrawals		17.0%	8
Only count the applicant once, and delete duplicate applications		53.2%	25
Do not count the person as an applicant at all		0.0%	0
		Comments:	21
	answere	ed question	47
	skippe	ed question	17

22. Consider the situation in which there are 12 separate requisitions for a given job title in one year and a job seeker submits an application to each of the 12 requisitions. The person was not hired for any of the 12 requisitions. The organization aggregates data across requisition and conducts adverse impact analyses at the job title level. What is the proper way to handle this scenario?

		Response Percent	Response Count
Count the person as an applicant for as many applications as they submit		58.0%	29
Only count the applicant once and treat the remaining applications as withdrawals		0.0%	0
Only count the applicant once, and delete duplicate applications		42.0%	21
Do not count the person as an applicant at all		0.0%	0
		Comments:	19
	answere	ed question	50
	skippe	d question	14

23. Consider the situation in which there are 12 separate requisitions for a given job title in one year and a job seeker submits an application to each of the 12 requisitions. The person was hired for one of the 12 requisitions. The organization aggregates data across requisition and conducts adverse impact analyses at the job title level. What is the proper way to handle this scenario?

		Response Percent	Response Count
Count the person as an applicant for as many applications as they submit		38.8%	19
Only count the person once for the requisition in which they were hired		61.2%	30
Do not count the person as an applicant at all		0.0%	0
	Please provide additional detail a	as necessary	20
	answere	ed question	49
	skippe	ed question	15

24. A job seeker applies to a specific requisition, is considered, and meets the BQ's, but is moved to another requisition. Which of the following strategies would you use?

		Response Percent	Response Count
Only include them in the requisition in which they applied		1.9%	1
Only include them in the requisition in which they were moved to		24.5%	13
Include them in both of the requisitions		52.8%	28
Remove them from both of the requisitions		0.0%	0
Other (please specify)		20.8%	11
	answere	ed question	53
	skippe	ed question	11

25. Assume you are conducting an adverse impact analysis on 12 months of data from Jan 1-Dec 31 and there are three open requisitions at the end of the year that have not been filled. How do you count the applicants in those open requisitions for analyses?

		Response Percent	Response Count
Count all personnel activity in the year in which applications were received		7.7%	4
Count personnel activity in the year in which the employment decision was made or the requisition was closed		69.2%	36
Other (please describe)		23.1%	12
	answere	ed question	52
	skippe	ed question	12

26. If using application date to determine the time period of the adverse impact analysis, how do you handle pending applications?

		Response Percent	Response Count
Treat as rejections in that year		4.0%	2
Treat as withdrawals in that year		0.0%	0
Move applications to the year that an employment decision was made		76.0%	38
Other (please specify)		20.0%	10
	answei	red question	50
	skipp	ed question	14

27. Not all applicants self-identify their gender and/or race/ethnicity. All else being equal, what is the minimum self identification rate (0% to 100%) necessary for you to feel confident in interpreting adverse impact results?		
		Response Count
		46
	answered question	46
	skipped question	18

28. How do you handle applicants who do not self-identify during the application process for gender and/or race/ethnicity but subsequently provide that information if they are hired?			
		Response Percent	Response Count
Backfill from hires file only into the requisition to which they were hired, and keep as missing in any other requisitions they may have applied to		28.0%	14
Backfill from hires file into all requisitions they applied to		54.0%	27
Keep as missing in all requisitions that they applied to		8.0%	4
Other (please specify)		10.0%	5
	answere	ed question	50
	skippe	ed question	14

29. How do you handle applicants who were not hired but you have gender and/or race/ethnicity information from other sources (e.g., other applications where they may have self identified)?			
		Response Percent	Response Count
Backfill from other sources into all requisitions that they applied to		56.9%	29
Keep as missing in all requisitions that they applied to		25.5%	13
Other (please specify)		17.6%	9
	answere	ed question	51

skipped question

13

30. In general, do you provide a 'best guess' on missing gender information based on an applicant's name for those that did not self-identify?			
		Response Percent	Response Count
Yes		18.0%	9
No		82.0%	41
Please add additional detail as necessary			13
answered question		50	
	skippe	ed question	14

31. In general, do you provide a 'best guess' on missing race/ethnicity information based on an applicant's name for those that did not self-identify?			
		Response Percent	Response Count
Yes		3.9%	2
No		96.1%	49
Please provide additional detail as necessary			7
answered question		51	
skipped question		13	

32. For applicants who did not self-identify but attend an in-person interview, do you try to visually identify applicant gender/race/ethnicity?			
		Response Percent	Response Count
Yes		52.0%	26
No		48.0%	24
	Please provide more detail a	as necessary	12
	answere	ed question	50
	skippe	ed question	14

33. When applicant flow data do not exist or are too inaccurate/incomplete for analysis, what alternative analysis strategies have you used?			
			Response Count
Availability analyses (i.e., comparing workforce data to census data)		58.7%	27
Similarly situated groupings (i.e., proxy or constructed pools)		23.9%	11
None		32.6%	15
Other (please specify)		17.4%	8
	answere	ed question	46

34. Which of the following criteria should be considered as a promotion for purposes of adverse impact analysis? Note that you may select more than one. Also note that this does not include a complete re-evaluation of a job title containing multiple individuals.

skipped question

18

		Response Percent	Response Count
Increase in pay (outside of normal merit increase)		33.3%	17
Change in job title (without an increase in pay)		25.5%	13
Increase in pay (outside of normal merit increase) and in job title		80.4%	41
Other (please specify)		37.3%	19
	answere	ed question	51
	skippe	ed question	13

35. How do you generally define the pool for an adverse impact analysis of non-competitive promotions?		
		Response Count
		39
	answered question	39
	skipped question	25

36. Should voluntary and involuntary terminations be analyzed together in an adverse impact analysis?			
		Response Percent	Response Count
Yes		20.0%	10
No		80.0%	40
Please elaborate as necessary		as necessary	26
answered question		ed question	50
skipped question		14	

37. How do you generally define the pool for an adverse impact analysis of terminations (non-reduction in force situations)?		
		Response Count
		39
	answered question	39
	skipped question	25

38. Would you include the following types of employees in your adverse impact analyses?			
	Include	Do not include	Response Count
Temporary workers hired from an outside firm	14.0% (7)	86.0% (43)	50
Temporary workers on the employer's payroll	47.8% (22)	52.2% (24)	46
Interns	34.8% (16)	65.2% (30)	46
Employees working outside the United States	22.2% (10)	77.8% (35)	45
Contract employees (i.e., under a 1099 provision)	19.6% (9)	80.4% (37)	46
	Please provide additional data as necessary		15
	answered question		50
	skipped question		14

39. How many unsuccessful attempts to contact the job seeker does an employer have to make before the employer can treat him/her as a withdrawal?		
	Response Count	
	48	
answered question	48	
skipped question	16	

40. Do you have extensive expertise/experience in conducting statistical analyses to assess adverse impact?			
		Response Percent	Response Count
Yes		69.6%	39
No		30.4%	17
	answered question		56
	skippe	ed question	8

41. In general, how useful do you think null hypothesis statistical significance testing (e.g., Z test, Fisher's exact test, etc.) is for assessing adverse impact?			
		Response Percent	Response Count
Not useful		4.0%	2
Somewhat useful		38.0%	19
Very useful		58.0%	29
Please describe the rationale behind your answer		your answer	23
answered question		ed question	50
	skippe	ed question	14

42. In general, how useful do you think the 4/5th rule is for assessing adverse impact?			
		Response Percent	Response Count
Not useful		26.9%	14
Somewhat useful		53.8%	28
Very useful		19.2%	10
Please describe the rationale behind your answer		your answer	28
	answered question		52
	skippe	ed question	12

43. In general, how useful do you think other measures of effect size (e.g., odds ratios) are for assessing adverse impact?			
		Response Percent	Response Count
Not useful		19.6%	9
Somewhat useful		50.0%	23
Very useful		30.4%	14
Please provide additional rationale as necessary		as necessary	20
	answered question		46
	skippe	ed question	18

44. In general, how useful do you think other practical significance measures (e.g., UGESP flip flop rule) are for assessing adverse impact?			
		Response Percent	Response Count
Not useful		25.5%	12
Somewhat useful		55.3%	26
Very useful		19.1%	9
Please provide additional rationale as necessary		as necessary	17
	answered question		47
	skippe	ed question	17

45. Which of the following perspectives would you generally recommend when assessing adverse impact?			
		Response Percent	Response Count
Using only statistical significance tests (e.g., Standard Deviation analysis)		26.0%	13
Using only the 4/5th rule		0.0%	0
Using both statistical significance tests and the 4/5ths		30.0%	15
Using statistical significance tests, the 4/5ths rule, and some other measure of practical significance (e.g., flip-flop)		26.0%	13
Other (please specify)		18.0%	9
	answere	ed question	50
	skippe	ed question	14

46. When conducting statistical significance tests, which of the following types of tests do you typically use?			
		Response Percent	Response Count
1-tailed significance tests		24.4%	11
2-tailed significance tests		75.6%	34
	Please describe any relevant context often used in making this decision		14
answered question		45	
	skippe	ed question	19

47. When conducting statistical significance tests, what significance criterion (i.e., alpha level) do you usually consider to be a meaningful difference in selection rates (or the ratio of those rates)?				
		Response Percent	Response Count	
alpha = .10		0.0%	0	
alpha = .05		87.8%	36	
alpha = .01		0.0%	0	
Other (please specify)		12.2%	5	
	answere	ed question	41	

skipped question

48. In general, which statistical significance sampling model (i.e., a binomial model or a hypergeometric model) is m appropriate for conducting adverse impact analyses on the following scenarios?

Sampling Model

	1 - Binomial	2 - Hypergeometric	Res Co
Hiring a fixed number of persons from an already existing applicant pool	34.6% (9)	65.4% (17)	
Hiring a fixed number of persons from an applicant pool that has not yet been defined	71.4% (15)	28.6% (6)	
Hiring an unknown number of persons from an applicant pool that has not yet been defined	76.5% (13)	23.5% (4)	
Hiring an unknown number of persons from an already existing applicant pool	47.1% (8)	52.9% (9)	
Making pass/fail decisions at a predetermined cut score from an already existing applicant pool	58.3% (14)	41.7% (10)	
Making pass/fail decisions at a predetermined cut score from an applicant pool that has not yet been defined	81.8% (18)	18.2% (4)	
Making terminated/not terminated decisions from a predetermined employee list	40.9% (9)	59.1% (13)	
	Please provide any additional ra	tionale concerning your above responses	
		answered question	
	skipped question		

49. Should data analyzed in the EEO context (e.g., Title VII litigation, OFCCP audits, etc.) be considered 'fixed' because it is retrospective in nature?			
		Response Percent	Response Count
Yes		57.6%	19
No		42.4%	14
	Please elaborate (if	fappropriate)	11
	answere	ed question	33
	skippe	ed question	31

50. How often do you use the follow	owing statistical significance tests to assess adverse impact?			
	Never	Sometimes	Often	Response Count
Z test for the difference in proportions (or uncorrected Chisquare)	13.9% (5)	47.2% (17)	38.9% (14)	36
Yates' continuity corrected Chi- square	54.3% (19)	31.4% (11)	14.3% (5)	35
Upton's continuity corrected Chi- square	97.1% (34)	2.9% (1)	0.0% (0)	35
Z test for the adverse impact ratio (different than 1.0)	54.5% (18)	27.3% (9)	18.2% (6)	33
Z test for the adverse impact ratio (different than 0.80)	66.7% (22)	27.3% (9)	6.1% (2)	33
Boschloo's unconditional exact test	94.1% (32)	2.9% (1)	2.9% (1)	34
Fisher's exact test (FET)	5.4% (2)	27.0% (10)	67.6% (25)	37
Mid-p correction to FET	73.5% (25)	17.6% (6)	8.8% (3)	34
	What other statistical significance tests have you used? How often?		7	
			answered question	37
			skipped question	27

51. In general, how appropriate are each of the following statistical significance tests to assess adverse impact? Response Not at all appropriate **Appropriate** Count Z test for the difference in proportions (or uncorrected Chi-7.1% (2) 28 92.9% (26) square) Yates' continuity corrected Chi-29.2% (7) 24 70.8% (17) quare Upton's continuity corrected Chi-36.8% (7) 63.2% (12) 19 square Z test for the adverse impact ratio 23.8% (5) 21 76.2% (16) (different than 1.0) Z test for the adverse impact ratio 22 50.0% (11) 50.0% (11) (different than 0.80) Boschloo's unconditional exact test 37.5% (6) 16 62.5% (10) Fisher's exact test (FET) 0.0% (0) 100.0% (31) 31 Mid-p correction to FET 26.3% (5) 19 73.7% (14) Do you use any other statistical significance tests? If yes, how confident do you feel in the statistical 11 adequacy of those tests?

answered question

skipped question

34

52. Of those statistical methods that you endorsed as appropriate, which method(s) do you consider to be the most appropriate in most cases?

		Response Percent	Response Count
Z test for the difference in proportions (or uncorrected Chisquare)		41.9%	13
Yates' continuity corrected Chi- square		6.5%	2
Upton's continuity corrected Chi- square		0.0%	0
Z test for the adverse impact ratio (different than 1.0)		9.7%	3
Z test for the adverse impact ratio (different than 0.80)		3.2%	1
Boschloo's unconditional exact test		3.2%	1
Fisher's exact test (FET)		83.9%	26
Mid-p correction to FET		9.7%	3
	Please provide additional detail a	as necessary	10
	answered question		31
	skippe	ed question	33

53. Given the context of adverse impact and the mechanics of statistical significance testing, which do you more appropriate to analyze?				
		Response Percent	Response Count	
Difference in selection rates		47.4%	18	
Ratio of selection rates		15.8%	6	
Both		36.8%	14	
	Please provide any additional rationale	if necessary	6	
	answered question		38	

skipped question

54. In general, how often do you use the following indices as 'practical significance' tests to assess adverse impact?

	Never	Sometimes	Very often	Response Count
4/5ths rule	22.0% (9)	29.3% (12)	48.8% (20)	41
4/5ths flip flop rule (from UGESP Q and A): does the highest selected group change if one selection is moved from one group to the other?	47.5% (19)	32.5% (13)	20.0% (8)	40
Statistical significance flip flop rule (e.g., Waisome v. Port Authority): How many highest selected group selection changes would it take for the disparity to become statistically non-significant?	42.1% (16)	44.7% (17)	13.2% (5)	38
Absolute difference in selection rates	46.2% (18)	33.3% (13)	20.5% (8)	39
Odds ratio	55.3% (21)	28.9% (11)	15.8% (6)	38
Phi coefficient (or other measures of association)	70.3% (26)	18.9% (7)	10.8% (4)	37
Shortfall based on a statistical significance test	18.9% (7)	48.6% (18)	32.4% (12)	37
Other shortfall calculations (e.g., shortfall to no violation of 4/5ths rule)	43.8% (14)	43.8% (14)	12.5% (4)	32
Are there any other indices you use as practical significance tests? How often do you use them?			6	
	answered question			41
			skipped question	23

55. In determining practical significance, how appropriate do you believe it is to use each of the following practical significance tests to assess adverse impact?

	Not at all appropriate	Appropriate	Response Count
4/5ths rule	36.6% (15)	63.4% (26)	41
4/5ths flip flop rule (from UGESP Q and A): does the highest selected group change if one selection is moved from one group to the other?	41.7% (15)	58.3% (21)	36
Statistical significance flip flop rule (e.g., Waisome v. Port Authority): How many highest selected group selection changes would it take for the disparity to become statistically non-significant?	26.5% (9)	73.5% (25)	34
Absolute difference in selection rates	28.1% (9)	71.9% (23)	32
Odds ratio	32.0% (8)	68.0% (17)	25
Phi coefficient (or other measures of association)	54.5% (12)	45.5% (10)	22
Shortfall based on a statistical significance test	18.8% (6)	81.3% (26)	32
Other shortfall calculations (e.g., shortfall to no violation of 4/5ths rule)	45.8% (11)	54.2% (13)	24
Do you use any other practical sig	nificance tests? How confident do yo	ou feel in the statistical adequacy of those tests?	8
		answered question	41
		skipped question	23

56. Of those practical significance methods that you endorsed as appropriate, which method(s) do you consider to be the most appropriate in most cases?

		Response Percent	Response Count
4/5ths rule		61.1%	22
4/5ths flip flop rule (from UGESP Q and A): does the highest selected group change if one selection is moved from one group to the other?		27.8%	10
Statistical significance flip flop rule (e.g., Waisome v. Port Authority): How many highest selected group selection changes would it take for the disparity to become statistically non-significant?		30.6%	11
Absolute difference in selection rates		13.9%	5
Odds ratio		13.9%	5
Phi coefficient (or other measures of association)		13.9%	5
Shortfall based on a statistical significance test		36.1%	13
Other shortfall calculations (e.g., shortfall to no violation of 4/5ths rule)		11.1%	4
	Please provide additional rationale a	as necessary	7
	answered question		36
	skippe	ed question	28

57. In general how useful are confidence intervals around effect sizes (e.g., impact ratio, odds ratio, etc.) for assessing adverse impact?			
		Response Percent	Response Count
Not at all useful		8.6%	3
Somewhat useful		51.4%	18
Very useful		40.0%	14
Please describe in more detail as necessary		as necessary	8
answered question		35	
	skippe	ed question	29

58. When should exact tests be preferred over estimator tests that are based on large sample theory?			
		Response Percent	Response Count
Never		5.7%	2
Only when samples are small (e.g., < 30)		25.7%	9
Only when expected values in a 2 by 2 table are small (e.g., less than 5)		2.9%	1
When samples are small (e.g., < 30) and expected values in a 2 by 2 table are small (e.g., less than 5)		17.1%	6
Always		48.6%	17
	Please provide additional rationale a	as necessary	9
	answere	ed question	35
	skippe	ed question	29

59. All else being equal, what are the minimum sample and cell size requirements necessary for you to feel comfortable conducting an adverse impact analysis?

		Response Percent	Response Count
Minimum total sample size		96.9%	31
Minimum number of observations in each subgroup		90.6%	29
Minimum number of observations in each employment decision category (e.g., # of hires)		84.4%	27
	answere	ed question	32
	skippe	ed question	32

60. When multiple comparisons are made across groups (e.g., comparing non-minority applicants to a total minority applicant group and conducting specific race/ethnicity applicant subgroup comparisons (e.g., White to Black, White to Hispanic) would you recommend that the statistical significance criterion be corrected to account for the number of statistical tests?

		Response Percent	Response Count
Yes		57.1%	16
No		42.9%	12
If yes, ho	w do you usually correct for this issue (e.g., Bonferroni corre	ection, etc.)?	13
	answere	ed question	28
	skippe	ed question	36

61. It is common practice to use selection rates for 4/5ths rule analyses of adverse impact stemming from selection procedures. Is it ever appropriate to use rejection rates for a 4/5ths rule analysis of adverse impact stemming from selection procedures?

		Response Percent	Response Count
Yes		29.0%	9
No		71.0%	22
	Please describe any rele	vant context	14
	answere	ed question	31
	skippe	ed question	33

62. The following section demonstrates different adverse impact results using multiple statistical methods. Importantly, an analyst's level of confidence in concluding meaningful adverse impact may depend on the continuum of evidence. How confident would you be in concluding meaningful adverse impact across the following scenarios? SD > 2.0 = Statistically significant result IR < .80 = 4/5ths rule violation PS = Other evidence of practical significance (e.g., UGESP flip flop rule does not change conclusion of results)

	Not confident	Somewhat confident	Very confident	Response Count
(1) SD > 2.0, (2) IR <.80, (3) PS	2.9% (1)	8.8% (3)	88.2% (30)	34
(1) SD > 2.0, (2) IR >.80, (3) PS	17.6% (6)	35.3% (12)	47.1% (16)	34
(1) SD > 2.0, (2) IR <.80, (3) No PS	14.7% (5)	41.2% (14)	44.1% (15)	34
(1) SD > 2.0, (2) IR >.80, (3) No PS	45.5% (15)	39.4% (13)	15.2% (5)	33
(1) SD < 2.0, (2) IR <.80, (3) PS	61.8% (21)	35.3% (12)	2.9% (1)	34
(1) SD < 2.0, (2) IR >.80, (3) PS	82.4% (28)	14.7% (5)	2.9% (1)	34
(1) SD < 2.0, (2) IR <.80, (3) No PS	91.2% (31)	8.8% (3)	0.0% (0)	34
(1) SD < 2.0, (2) IR >.80, (3) No PS	93.9% (31)	6.1% (2)	0.0% (0)	33
	Ple	ease provide additional ex	xplanation as necessary	10
			answered question	35
			skipped question	29

63. In determining the shortfall for an impacted group, which of the following is generally the most appropriate measure?

		Response Percent	Response Count
The number of hires needed to make the hiring ratios equal		43.6%	17
The number of hires needed to make the impacted group's selection rate 80% of the favored group's selection rate		15.4%	6
The number of hires needed to make the impacted group's selection rate 80% of the overall selection rate		5.1%	2
The number of hires needed to make the group difference not statistically significant		25.6%	10
Other (please specify)		10.3%	4
	answere	ed question	39
	skippe	ed question	25

64. If determining the shortfall by calculating the number of hires necessary to make the group difference not statistically significant, do you keep the marginal totals the same? In other words, do you "take away" selections from the favored group and add them to the disadvantaged group (as opposed to increasing the total number of selections and adding them to the disadvantaged group)?

		Response Percent	Response Count
Yes		63.0%	17
No		37.0%	10
	Please add additional comments a	as necessary	10
	answere	ed question	27
	skippe	ed question	37

65. The issue of data aggregation is often an important consideration for mirroring the reality of personnel selection. In general, how appropriate is data aggregation across the following strata?

	Never appropriate	Can be appropriate depending on the circumstances	Response Count
Physical organizational locations	8.5% (4)	91.5% (43)	47
Time period (e.g., Year)	2.1% (1)	97.9% (46)	47
Multiple jobs	17.4% (8)	82.6% (38)	46
A 'total minority' group consisting of multiple racial\ethnic groups	40.0% (18)	60.0% (27)	45
A combination of race/ethnicity and gender groups (e.g., Hispanic females)	26.7% (12)	73.3% (33)	45
The same selection device over multiple administrations (at the same location)	8.5% (4)	91.5% (43)	47
	Please elaborate on the issu	ue of data aggregation as necessary	9
		answered question	47
		skipped question	17

66. When considering whether to aggregate data, how frequently have you used the following methods?				
	Never	Sometimes	Often	Response Count
An uncorrected Mantel-Haenszel statistic	32.3% (10)	51.6% (16)	16.1% (5)	31
A continuity-corrected (e.g., .5) Mantel-Haenszel statistic	45.2% (14)	38.7% (12)	16.1% (5)	31
An uncorrected Breslow-Day statistic	50.0% (15)	50.0% (15)	0.0% (0)	30
A continuity-corrected (e.g., Tarone's adjustment) Breslow-Day statistic	61.3% (19)	32.3% (10)	6.5% (2)	31
A Multiple Events Exact Probability Analysis	51.6% (16)	32.3% (10)	16.1% (5)	31
		Please descri	be any relevant context	4
			answered question	31
			skipped question	33

67. In general, how appropriate are the following data aggregation analytic methods for adverse impact analyses?			
	Not at all appropriate	Appropriate	Response Count
An uncorrected Mantel-Haenszel statistic	15.0% (3)	85.0% (17)	20
A continuity-corrected (e.g., .5) Mantel-Haenszel statistic	10.0% (2)	90.0% (18)	20
An uncorrected Breslow-Day statistic	17.6% (3)	82.4% (14)	17
A continuity-corrected (e.g., Tarone's adjustment) Breslow-Day statistic	23.5% (4)	76.5% (13)	17
A Multiple Events Exact Probability Analysis	15.0% (3)	85.0% (17)	20
	Please	describe any other relevant context	5
		answered question	23
		skipped question	41

68. If a Breslow-Day test is statistically significant, suggesting a difference in odds ratios across strata, which approach would you generally recommend?			
		Response Percent	Response Count
Conducting the adverse impact analysis using an aggregation statistic like the Mantel-Haenszel		20.0%	5
Running separate adverse impact analyses by strata		68.0%	17
Running a single pool approach to adverse impact analyses (combining data and ignoring strata)		0.0%	0
Other (please specify)		12.0%	3
	answere	ed question	25
	skippe	ed question	39

69. Assume you are conducting an adverse impact analysis across multiple locations. All else being equal, which of the following would be the appropriate procedure if Mantel-Haenszel analyses and single pool analyses (e.g., one Z test) provide different conclusions?

		Response Percent	Response Count
Interpret the Mantel-Haenszel results		29.6%	8
Interpret the single pool results		7.4%	2
Use the Breslow-Day statistic to identify the more appropriate method		22.2%	6
Conduct a refined analysis location-by-location		18.5%	5
Other (please specify)		22.2%	6
	answere	ed question	27
	skippe	ed question	37

70. Have you conducted logistic re rates?	gression analyses in an attempt to explain statistical dif	ferences in s	election
		Response Percent	Response Count
Yes		66.7%	24
No		33.3%	12
	If yes, please describe rele	vant context	10
answered question		36	
	skippe	ed question	28

71. Which of the following sets of information have you used to build a logistic regression model to explain a group disparity in a dichotomous outcome via qualification measures? Note that you can choose more than one.

		Response Percent	Response Count
Model R-square		66.7%	12
Bivariate correlations between qualification and outcome		44.4%	8
Partial correlations between qualification and outcome after accounting for other qualifications		55.6%	10
Direction of the effect of a qualification on the outcome		44.4%	8
Other (please specify)		27.8%	5
	answere	ed question	18
	skippe	d question	46

72. Recent research from the personnel psychology literature has focused on the statistical power and error rates of various significance tests used for adverse impact analyses (e.g., Roth, Bobko, & Switzer, 2006; Collins and Morris, 2008). Are there any 'under-researched' research questions regarding adverse impact analyses that you would recommend to be studied in the scholarly literature?

	Response Count
	15
answered question	15
skipped question	49

73. In general, what are the most common data analytic flaws that you have observed in analyses of adverse impact?		
		Response Count
		26
	answered question	26
	skipped question	38

74. If you were going to advise an organization on how to conduct a 'best practice' adverse impact analysis, what would you tell them? For example, is there a particular data analytic process or chronology that you use when assessing adverse impact? If yes, what is it?		
		Response Count
		19
	answered question	19
	skipped question	45

75. Do you have extensive expertise/experience in legal and policy issues related to adverse impact analyses?			
		Response Percent	Response Count
Yes		59.3%	32
No		40.7%	22
answered question		54	
	skippe	ed question	10

76. Other than the Uniform Guidelines on Employment Selection Procedures (1978), are there any other technical authorities and/or data analytic references you treat with great deference? If yes, what are they and why do you treat them with great deference?

	Response Count
	30
answered question	30
skipped question	34

77. One recent trend in adverse impact litigation is aggregation of selection procedure results across multiple locations. One issue concerns the type of selection process being analyzed across location (assuming the same process across location). All else being equal, how appropriate do you view aggregating adverse impact results across location for the following selection procedures?

	Never appropriate	Can be appropriate depending on the circumstances	Response Count
Standardized Paper and Pencil Tests	2.2% (1)	97.8% (44)	45
Physical Ability Test	2.2% (1)	97.8% (44)	45
Unstructured Selection Processes (where there are no identifiable steps)	53.3% (24)	46.7% (21)	45
Interview Results (unstructured)	51.1% (23)	48.9% (22)	45
Interview Results (structured)	6.8% (3)	93.2% (41)	44
	Please pi	rovide additional detail as necessary	8
	answered question		45
		skipped question	19

78. From a statistical evidence perspective, should disparity analyses differ across pattern and practice theory (disparate treatment) and disparate impact theory cases? If yes, how should those analyses differ?

Response Percent Count

Yes 60.0% 18

Please provide additional rationale as necessary

answered question

skipped question

13

30

34

79. One important issue in adverse impact analyses concerns who the highest selected group is in a given analysis. Assume the following hypothetical scenario: In 2007, White applicants are the highest selected group and Black applicants are adversely impacted. In 2008, Black applicants are the highest selected group and there is adverse impact against Hispanic applicants. In 2009, Hispanic applicants are the highest selected group and White applicants are adversely impacted. Can these adverse impact results be used as evidence of discrimination in this scenario where the highest selected and impacted groups vary by year?

		Response Percent	Response Count
Yes		65.0%	26
No		35.0%	14
	Please provide additional rationale a	as necessary	23
	answere	ed question	40
	skippe	ed question	24

80. Would you consider there to be meaningful adverse impact when there is statistical impact at the total minority aggregate but not by any racial subgroup (i.e. African-American, Asian, etc.)? Response Response Percent Count Yes 43.2% 19 25 56.8% Please provide additional detail as necessary 17 answered question 44 skipped question 20

81. All else being equal, is it meaningful to analyze groups that cross race/ethnicity and gender subgroups? For example, could Hispanic Females be a disadvantaged group in an adverse impact analysis?

Response Percent

Yes

No

37.0%

Please provide additional detail as necessary

9

answered question

skipped question

46