



iCM Overview	
Portfolios Available on SMarX/Vestmark/Smartleaf	
Website	www.icm-invest.com
Phone	888-426-4689

**iCM offers a quantitative asset management solution for the sophisticated financial advisor.**

Our objective is to deliver an investment solution, rooted in our valuation-centric process, that is both understandable and fundamentally sound, bringing clarity to a world that is driven by clichés and half-truths.

## Tactical Closed End Strategies

Portfolios that tactically allocate assets to CEFs, ETFs, & mutual funds in order to capture inefficiencies in asset & CEF markets, while providing an attractive level of income. Tactical Income Closed End (**TICE**) seeks to own attractively discounted CEFs, while Income Opportunities (**Inc Opps**) and TICE Alpha Opportunities (**TAO**) will combine CEFs with high conviction assets.

### Program Information

**Strategy Names:** Tactical Income Closed End (TICE) / TICE Alpha Opportunities (TAO)  
**Management Style:** Active/Tactical  
**Portfolio Uses:** Total Portfolio -or- Portfolio Sleeve  
**Strategist Fee:** 28 bps  
**Program:** Fund Strategist Portfolio (FSP) / Unified Managed Account (UMA)

### Risk Profiles

Income Opportunities (10/90)  
TAO 35/65  
Tactical Income Closed End (TICE) 40/60  
TAO 50/50  
TAO 60/40  
TAO 80/20  
\*Please reference fact sheets for strategy disclosures.\*

## Hybrid Strategies

Portfolios of mutual funds and ETFs that are managed utilizing iCM’s valuations-based global tactical asset allocation strategy. Hybrid strategies are available for both taxable and retirement accounts.

### Program Information

**Strategy Name:** Quantitative Innovations  
**Management Style:** Active/Tactical  
**Portfolio Uses:** Total Portfolio -or- Portfolio Sleeve  
**Strategist Fee:** 18 bps  
**Program:** Fund Strategist Portfolio (FSP) / Unified Managed Account (UMA)

### Risk Profiles

Capital Preservation (10/90)  
Income & Growth (35/65)  
Balanced (50/50)  
Conservative Growth (60/40)  
Dynamic Growth (80/20)  
\*Please reference fact sheets for strategy disclosures.\*

## ETF Strategies

Portfolios comprised of ETFs that are managed utilizing iCM’s valuations-based global tactical asset allocation strategy.

### Program Information

**Strategy Name:** Quantitative Innovations  
**Management Style:** Active/Tactical  
**Portfolio Uses:** Total Portfolio -or- Portfolio Sleeve  
**Strategist Fee:** 18 bps  
**Program:** Fund Strategist Portfolio (FSP) / Unified Managed Account (UMA)

### Risk Profiles

Capital Preservation (10/90)  
Income & Growth (35/65)  
Balanced (50/50)  
Conservative Growth (60/40)  
Dynamic Growth (80/20)  
\*Please reference fact sheets for strategy disclosures.\*