



Integrated|Capital|Management

iCM Overview

Portfolios Available on LPL MWP

Website

www.icm-invest.com

Phone

888-426-4689

iCM offers a quantitative asset management solution for the sophisticated financial advisor.

Our objective is to deliver an investment solution, rooted in our valuation-centric process, that is both understandable and fundamentally sound, bringing clarity to a world that is driven by clichés and half-truths.

Tactical Closed End Strategies

Portfolios that tactically allocate assets to CEFs, ETFs, & mutual funds in order to capture inefficiencies in asset & CEF markets, while providing an attractive level of income. Tactical Income Closed End (TICE) seeks to own attractively discounted CEFs, while Income Opportunities (Inc Opps) and TICE Alpha Opportunities (TAO) will combine CEFs with high conviction assets.

Program Information

Strategy Names: Tactical Income Closed End (TICE) /

TICE Alpha Opportunities (TAO)

Management Style: Active/Tactical

Portfolio Uses: Total Portfolio -or- Portfolio Sleeve (UMA)

Strategist Fee: 28 bps

Program: Model Wealth Portfolios (MWP)

Risk Profiles

Income Opportunities (10/90)

TAO 35/65

Tactical Income Closed End (TICE) 40/60

TAO 50/50

TAO 60/40

TAO 80/20

Please reference fact sheets for strategy disclosures.

Hybrid Strategies

Portfolios of mutual funds and ETFs that are managed utilizing iCM's valuations-based global tactical asset allocation strategy. Hybrid strategies are available for both taxable and retirement accounts.

Program Information

Strategy Name: Quantitative Innovations

Management Style: Active/Tactical

Portfolio Uses: Total Portfolio -or- Portfolio Sleeve (UMA)

Strategist Fee: 18 bps

Program: Model Wealth Portfolios (MWP)

Risk Profiles

Income & Growth (35/65)

Balanced (50/50)

Conservative Growth (60/40)

Dynamic Growth (80/20)

Please reference fact sheets for strategy disclosures.

ETF Strategies

Portfolios comprised of ETFs that are managed utilizing iCM's valuations-based global tactical asset allocation strategy.

Program Information

Strategy Name: Quantitative Innovations

Management Style: Active/Tactical

Portfolio Uses: Total Portfolio -or- Portfolio Sleeve (UMA)

Strategist Fee: 18 bps

Program: Model Wealth Portfolios (MWP)

Risk Profiles

Capital Preservation (10/90)

Income & Growth (35/65)

Balanced (50/50)

Conservative Growth (60/40)

Dynamic Growth (80/20)

Please reference fact sheets for strategy disclosures.