



# 2014 Annual Report







## Our Mission

Threshold is a community of individuals united through wealth, and a progressive foundation mobilizing money, people and power to create a more just, joyful and sustainable world.

## Our Purpose

To be a powerful and visible model of conscious, effective philanthropy.

To create a fertile training ground that supports the full and authentic expression of our passions and purpose.

To be a dynamic forum for learning about social issues and the people working to address them.

To cultivate and continually renew the heart and soul of our community.

To be a vibrant, growing and diverse multi-generational membership organization.

To transform wealth into an instrument of change.



## About Threshold

Threshold provides a place where people with significant financial resources, a commitment to social change and an interest in their own emotional, psychological, and spiritual development can come together to scheme, dream, learn, work, play and see what happens. We have observed that social change flows from personal growth so we work on our inner lives and social responsibility simultaneously.

Threshold meetings are an ongoing experiment — an evolving form designed to encourage members to discover their most meaningful work and purpose, and engage in the world from that place.

Threshold Foundation serves the social change movement through collaborating with and funding innovative national and international nonprofit organizations and individuals working towards social justice, environmental sustainability, humane economic systems and peaceful coexistence.

Member volunteers administer the Foundation with the assistance of Tides Foundation which is located in San Francisco. Granted funds are raised annually with almost all donations coming from the membership. New members join Threshold Foundation, primarily through a personal relationship with an existing member or by referral from a membership committee.

Information about submitting a grant request to Threshold Foundation can be found on page 24 of this report and at [www.thresholdfoundation.org](http://www.thresholdfoundation.org).



Letter from the President

This was the year of sharing passions, inspiring each other to expand in all ways and being nourished by the puddle of love this vibrant community creates. For the first time, two of our funding circles led the content of our conferences beginning with Thriving Resilient Communities. Joanna Macy encouraged us to see that our work in the Great Turning must be to create the future. Larry Harvey, one of the founders of Burning Man, shared the new mission of the thriving community he has been part of growing since 1986. Stepping out of the old patterns into new creations, solutions, experiments and possibilities had us inspired all year. So often we come together around giving and learning that is tightly focused on the 'world that calls itself the world' that it was nice this year to drop in and share our personal passions and loves.

Last year our Justice and Democracy committee modeled giving with community partners so effectively that Sustainable Planet is now adding partners to their committee. These committees serve to both find the most effective and cutting edge organizations that are leading change to fund and to create a grantmaking university for our members. Our grantmaking work is a deep and time consuming process, but one that educates the whole community on issues we care about regardless of how intimately involved they are with the process.

This year, Election Integrity achieved their mission by convening the field of donors and experts to report on the last 5 years of funding and inspiring another generation of leaders. The entire community is profoundly proud of all this funding circle has done to expose the needs in this area and mobilize a larger base of support. In their place, a new and robust funding circle was formed which immediately attracted dozens of members and major funding. With fiery passion and seasoned leadership, the Food and Farming funding circle emerged and is leading a growing agriculture movement. Food and Farming led the content of our summer conference which included a rich pre-planning gathering at a former Threshold President's farm in Missouri.



In all of our grantmaking work, the importance and value of story has always been clear. This respect and appreciation for narrative led to another funding circle— High Impact Documentary Films. We partnered with The Sundance Institute to help us find the edgiest and most inspiring stories to fund. The first films we funded are “Shadow World” and “The Hunting Ground”.

When I took on the responsibility of President, I had three goals. 1) To move all of our money—where it is, what it is doing and how radically it was engaged to create change. 2) To move the leadership of Threshold to the next generation and have every member engaged in co-creating the community. 3) To spend more time exploring the mystery school of life; embracing the idea that building relationships with what is hidden in plain site affects what we see and how we are in the world.

I am thrilled to move on and have those goals fulfilled. The incoming leadership is not only under 40, but the fully engaged community includes three generations of families. Our summer meeting was facilitated by an 18- and 24-year-old and we had a class of 9 youth under 18 with programs designed for them including their own grantmaking program. Our money and our time are much more fully invested in the changes this world needs, with deeper wisdom and understanding of the mystery and complexity of what it is to be human in 2014. The world is changing fast and we are building the relational and resilient muscle to keep pace with it, while learning the skills of making puddles of love where ever we engage. I bow in gratitude for the opportunity to serve in this way and look forward to learning from the next generation and their leadership.

Onward to peace, justice and equality,  
Jodie Evans



# 2014 Grant List

Threshold Foundation is a membership organization comprised of individuals with significant financial resources who contribute their time, money and energies to support progressive social change through volunteer-based philanthropy. Grant funds are derived from a combination of annual member contributions and payout from our endowment.

We serve the social change movement by funding innovative national and international non-profit organizations working to further the causes of social justice, environmental preservation, humane economic systems and the peaceful coexistence of individuals, communities and cultures.

The Justice and Democracy and Sustainable Planet Committees are the more permanent, institutional fixtures in Threshold's philanthropic constellation. Funding Circles are authorized in a yearly charter process and remain in the foundation's orbit for a more limited scope of work or length of time. For more information about current Core Committee and Funding Circle guidelines and funding criteria, please visit the Threshold Foundation website at [www.thresholdfoundation.org](http://www.thresholdfoundation.org).



A hummingbird with iridescent green and brown feathers is shown in mid-flight, hovering near a cluster of bright pink, tubular flowers. The background is a soft, out-of-focus green, suggesting a natural outdoor setting. The text is overlaid on the left side of the image.

# Justice and Democracy

\$609,500

Threshold Foundation's Justice & Democracy Committee is  
a donor-based fund with a two-fold focus for our  
2013-2014 grants cycle

## Incarceration Reform

We support organizations working to protect the rights of incarcerated, formerly incarcerated, and convicted people and their families, inside and outside of prison.

We fund organizations aimed at reforming the criminal justice system either directly through civic action and legislative initiatives or through the development and implementation of re-entry and rehabilitation programs and alternatives to incarceration. This includes organizations working to reform policies that negatively impact youth (for example, harsh juvenile sentencing and systems enabling a “school to prison pipeline”).

---

All of Us or None	\$38,500
-------------------	----------

---

American Indian Prison Project Working Group	\$38,500
--	----------

---

Boys and Girls Club of the Northern Cheyenne Nation	\$38,500
---	----------

---

Communities United for Restorative Youth Justice	\$100,000
--	-----------

---

Fuerza Unida	\$70,000
--------------	----------

---

Homies Unidos	\$38,500
---------------	----------

---

## Civic Participation

We support organizations aimed at expanding political awareness, rights and participation for those who live in current and historically disenfranchised communities.

We fund organizations incorporating a sustainable, bottom-up model of electoral power building led by and for underrepresented constituencies. Strategies include leadership development, community organizing, coalition building, and voter participation.

---

NC A Philip Randolph Institute	\$100,000
--------------------------------	-----------

---

Project South - The Institute for the Elimination of Poverty and Genocide	\$38,500
---	----------

---

Rescue, Release and Restore, Inc. NFP	\$38,500
---------------------------------------	----------

---

Thunder Valley Community Development Corporation	\$38,500
--	----------

---

Union of Minority Neighborhoods	\$70,000
---------------------------------	----------

---

## All of Us or None

\$38,500 - General Support

Los Angeles, CA / [allofusornone.org](http://allofusornone.org)

All of Us or None is a national organizing initiative that seeks to build a movement to win full restoration of the human and civil rights of people with past convictions. Co-founded in 2003, All of Us or None gives collective voice to people with criminal records in the policies that affect them. A New Way of Life is the convener and coordinator of All of Us or None's Los Angeles chapter.

## American Indian Prison Project Working Group

\$38,500 - American Indian / Alaska Native -  
Alternatives to Detention program  
St. Paul, MN

American Indian Prison Project Working Group works to empower American Indian and Alaska Native communities with the skills and resources to significantly reduce the detainment and incarceration rate of youth and adult tribal members in federal, state and tribal prisons.

## Boys and Girls Club of the Northern Cheyenne Nation

\$38,500 - General Support

Lame Deer, MT / [www.ncbgclub.org](http://www.ncbgclub.org)

The Boys & Girls Club of the Northern Cheyenne Nation is a youth guidance organization dedicated to promoting healthy lifestyles, social, educational, vocational, cultural, character and leadership development. It strives to help young people to improve their lives by building self-esteem and development of values and skills during critical periods of growth. The club endeavors to achieve its goals through collaborative efforts with others.

## Communities United for Restorative Youth Justice

\$100,000 - General Support

Los Angeles, CA / [homiesunidos.org](http://homiesunidos.org)

The mission of Communities United for Restorative Youth Justice (CURYJ, pronounced courage) is to interrupt the cycles of violence and poverty by motivating and empowering young people that have been impacted directly and indirectly by the criminal justice system to make positive changes in their lives and prepare them to become the community leaders of today.



## Fuerza Unida

\$70,000 - General Support

San Antonio, TX / [lafuerzaunida.org](http://lafuerzaunida.org)

Fuerza Unida Inc's mission is to empower and educate women worker's to become advocates for social change and organizers for economic justice. Their purpose is to ensure the rights of workers in general from their community. They provide guidance and accessible information to areas of limited services and resources. They promote the awareness and education of environmental and occupational health and safety standards . Their goals are to develop leadership, educate the community, redefine the working environment and to encourage dialogue between workers. Their programs include workshops, leadership development, training and networking, in addition to a sewing cooperative and food bank.

---

## NC A Philip Randolph Institute

\$100,000 - General support

Raleigh, NC

The mission of the North Carolina A. Philip Randolph Institute is to improve the quality of life for economically disadvantaged families by providing the necessary resources that improve their standard of living, foster self improvement, self empowerment and self sufficiency. The agency is dedicated to those disenfranchised by situation, circumstance or indifference.

---

## Homies Unidos

\$38,500 - General support

Los Angeles, CA / [www.homiesunidos.org](http://www.homiesunidos.org)

Homies Unidos is a nonprofit gang violence prevention and intervention organization. Its mission is to provide youth and communities positive and productive opportunities that permit the reduction and prevention of violence and allow for personal growth. It is a community-based organization committed to developing creative alternative to violence and drugs through access to alternative education, leadership development, self-esteem building, and health education programs. These include: Violence Prevention and Intervention, Strengthening Families, Alternative Education, Arts Program, and a Health Care Education Referral Program.

---

## Project South - The Institute for the Elimination of Poverty and Genocide

\$38,500 - Southern Movement Participation Project  
Atlanta, GA / [projectsouth.org](http://projectsouth.org)

Project South is a community-based membership institute that develops and conducts popular political and economic education and action research for organizing and liberation. It organizes and develops popular educators from grassroots and scholarly backgrounds, bringing them together on the basis of equality to join in the process of understanding and transforming society and other state and local positions.

---

## Rescue, Release and Restore, Inc. NFP

\$38,500 - Project R.E.S.T.O.R.E  
Riverdale, IL / [rescuereleaserestore.org](http://rescuereleaserestore.org)

By rescuing gifts, releasing potential and restoring the promise of our youth within their communities, Rescue, Release and Restore's mission transforms the self worth and empowers at risk youth through leadership training, artistic expression and mentorship. It aims to establish a year-round camp facility under RRR's leadership to help youth and youth-based organizations replicate and sustain the RRR philosophy.

---

## Thunder Valley Community Development Corporation

\$38,500 - Thunder Valley Regenerative  
Community Engagement Project  
Porcupine, SD / [thundervalley.org](http://thundervalley.org)

Empowering Lakota youth & families to improve the health, culture and environment of our communities, through the healing and strengthening of cultural identity.

---

## Union of Minority Neighborhoods

\$70,000 - General support  
Jamaica Plain, MA  
[unionofminorityneighborhoods.org](http://unionofminorityneighborhoods.org)

Union of Minority Neighborhoods works across Boston and across Massachusetts to ensure that communities of color can effectively organize around the issues facing them. UMN trains and empowers people of color to address the problems in their neighborhoods, in their regions, and in their country, and to work successfully for change.



# Sustainable Planet

\$446,000

Threshold's Sustainable Planet Committee supports organizations and programs that focus on ensuring the long-term healthy functioning of our planet and the maintenance of its biodiversity.



## Meeting the Needs

Threshold's Sustainable Planet Committee meets the needs of people now without compromising the needs of future generation and by bringing human activities into harmony with nature for the benefit of all beings.

This implies transforming human activity, culture and technology to live within the physical limits of local and global ecosystems, and protecting threatened ecosystems and species.

## Grants

Our grants address global ecological issues such as climate change, habitat destruction, water quality and supply, and biodiversity conservation. They contribute to: empowering local and indigenous communities, creating strong energy policies, deploying new clean technologies, and developing effective communication strategies and messages that increase support for protecting and enhancing the natural environment at local, regional and global levels.

We often assist organizations and programs that have been neglected by other funders for a variety of reasons, and highly encourage organizations that implement creative and innovative solutions for resolving the environmental crises that threaten life on Earth.

---

Borealis Eco Strategies	\$38,000
-------------------------	----------

---

Carbon Tax Center	\$25,000
-------------------	----------

---

Conversations for Responsible Economic Development	\$25,000
--	----------

---

Dogwood Alliance	\$30,000
------------------	----------

---

Earthworks	\$30,000
------------	----------

---

Environmental Justice League of Rhode Island	\$30,000
--	----------

---

Fondo Acción Solidaria, A.C.	\$37,000
------------------------------	----------

---

Lost Light Projects	\$21,000
---------------------	----------

---



---

National Fisheries Conservation Center	\$20,000
--	----------

---

Oregon Environmental Council	\$25,000
------------------------------	----------

---

Other Worlds	\$25,000
--------------	----------

---

Partnership for Policy Integrity	\$25,000
----------------------------------	----------

---

Public Employees for Environmental Responsibility	\$30,000
---	----------

---

R.A.V.E.N	\$30,000
-----------	----------

---

Sightline Institute	\$25,000
---------------------	----------

---

Tides Foundation	\$30,000
------------------	----------

---

---

## Borealis Eco Strategies

\$38,000 - General Support

Sointula, VON 3EO, Canada / [borealiscentre.org](http://borealiscentre.org)

Borealis Eco Strategies, with its partner entity Borealis Centre for Environment and Trade Research in Canada, is a leading international research organization for the non-profit sector focusing on environmental impacts through the chain of production, as well as financial and political mechanisms. Borealis provides research and related services to NGOs, with emphasis on unique trade flow and chain of custody research, environmental impact research, markets analysis and many other areas of information gathering and dissemination primarily for the non-profit sector.

---

## Carbon Tax Center

\$25,000 - General Support

New York, NY / [carbontax.org](http://carbontax.org)

Carbon Tax Center (CTC) is the sole U.S. organization focused on advancing a federal carbon tax as the central and essential policy to slash U.S. greenhouse gas emissions equitably, effectively, and quickly, and to provide both a model and economic incentives for other nations to follow suit. Through the website, papers and blog posts, economic modeling, and indefatigable and strategic networking, CTC educate citizens, NGO's and public officials and help them advocate effectively for carbon taxing at the federal and state level.

---

## Conversations for Responsible Economic Development

\$25,000 - Research, education, organizing energy and pipeline development in British Columbia  
Vancouver, Canada / [credbc.ca](http://credbc.ca)

Conversations for Responsible Economic Development is a collection of professionals and business leaders from the tourism, real estate, tech, health, creative and other service-based sectors who are committed to participating in informed dialogue about long-term prosperity on Canada's west coast.

---

## Dogwood Alliance

\$30,000 - Our Forests Aren't Fuel Campaign  
Asheville, NC / [dogwoodalliance.org](http://dogwoodalliance.org)

Dogwood Alliance is a growing regional network of fifty-three organizations engendering and uniting broad-based, mainstream support to protect the native forests of the southeast from the increased threat of industrial clearcutting.

---

## Environmental Justice League of Rhode Island

\$30,000 - General Support  
Providence, RI / [ejlri.org](http://ejlri.org)

The Environmental Justice League of Rhode Island (EJ League) is an alliance of individuals and organizations working together to promote environmental justice in Rhode Island through advocacy, education, networking, organizing, and research. Its mission is to build power in the communities most affected by environmental burdens by developing leaders to take action to promote safe and healthy environments for all; for a healthy place to live, work, and play regardless of race, ethnicity, or income.

---

## Lost Light Projects

\$21,000 - General Support  
Brooklyn, NY / [insideclimatenews.org](http://insideclimatenews.org)

Lost Light Projects' InsideClimate News is a Pulitzer prize-winning, non-profit, non-partisan news organization that covers clean energy, carbon energy, nuclear energy and environmental science—plus the territory in between where law, policy and public opinion are shaped. Its mission is to produce clear, objective stories that give the public and decision-makers the information they need to navigate the heat and emotion of climate and energy debates. It publishes investigations, daily stories, posts on two dedicated blogs, two headline aggregations every day, a weekly newsletter, ebooks and documentary video collaborations with larger media entities (This American Land, The Weather Channel, Vice).

---

## Fondo Acción Solidaria, A.C.

\$37,000 - Work to strengthen grassroots groups in Mexico working to advance the social, economic, and environmental sustainability of their communities

La Paz, Mexico / [fasol-ac.org](http://fasol-ac.org)

Fondo Acción Solidaria, A.C. (FASOL – The Action in Solidarity Fund) is an independent, charitable, Mexican grantmaking organization that provides grant support for grassroots groups working on the critical social and environmental issues that confront their communities. Through this initiative FASOL strengthens grassroots groups in Mexico that are working to advance the social, economic and environmental sustainability of their communities.

---

## National Fisheries Conservation Center

\$20,000 - Global Ocean Health Program  
Ojai, CA / [nfcc-fisheries.org](http://nfcc-fisheries.org)

National Fisheries Conservation Center supports collaborative efforts to improve marine resource management and conservation. It focuses on problems where progress is impeded by controversy and polarized discourse, or by lack of access to helpful information and tools.



---

## Oregon Environmental Council

\$25,000 - Pacific Northwest Laboratory:  
Putting a Price on Carbon project  
Portland, OR / [oeconline.org](http://oeconline.org)

Oregon Environmental Council (OEC) safeguards what Oregonians love about Oregon – clean air and water, an unpolluted landscape and healthy food produced by local farmers. Since 1968, OEC has helped forge Oregon's reputation as a national leader in environmental protection. OEC's current programs focus on reducing greenhouse gases that contribute to global warming and pollution control and prevention, especially with regard to toxic chemicals.

---

## Partnership for Policy Integrity

\$25,000 - General Support  
Pelham, MA / [pfpi.net](http://pfpi.net)

The Partnership for Policy Integrity (PFPI) uses science, policy analysis and strategic communications to promote sound energy policy. PFPI provides science and legal support so that citizen groups, environmental organizations, and policymakers can better understand energy development impacts on air quality, ecosystems, and the climate. Its current work focuses on biomass energy.

---

## Other Worlds

\$25,000 - General Support  
Victoria, British Columbia / [raventrust.com](http://raventrust.com)

Other Worlds is a multi-national, multi-media, multi-lingual education and organizing collaborative that works to inspire hope and knowledge that another world is possible, and to help build it. Other Worlds compiles and brings to light political, economic, cultural, social, and gender alternatives that are flourishing throughout the world, and inspires and helps the public throughout the Americas open up new pathways to adapt and replicate them.

---

## RAVEN (Respecting Aboriginal Values and Environmental Needs)

\$30,000 - Work to assist First Nations peoples within Canada to protect and restore their traditional lands and resources  
Victoria, Canada / [raventrust.com](http://raventrust.com)

The mission of RAVEN is to assist Aboriginal peoples within Canada in protecting or restoring their traditional lands and resources and addressing critical environmental challenges such as global warming by strategically enforcing their Constitutional rights through the courts in response to unsustainable settlement or industrial exploitation supported by the State.

---

## Public Employees for Environmental Responsibility

\$30,000 - Defending Climate Science  
and Scientists program  
Washington, DC / [peer.org](http://peer.org)

Public Employees for Environmental Responsibility (PEER) is a national alliance of local state and federal resource professionals. PEER's environmental work is solely directed by the needs of its members. PEER works nation-wide with government scientists, land managers, environmental law enforcement agents, field specialists and other resource professionals committed to responsible management of America's public resources.

---

## Sightline Institute

\$25,000 - Pacific Northwest:  
Putting a Price on Carbon  
Seattle, WA / [sightline.org](http://sightline.org)

Sightline Institute (formerly Northwest Environment Watch) is a not-for-profit research and communication center--a think tank--based in Seattle. Founded in 1993, Sightline's mission is to bring about sustainability--a healthy, lasting prosperity grounded in place. Nonpartisan and wholly independent, Sightline's only ideology is commitment to the shared values of strong communities, fair markets, and responsible stewardship.

---

## Tides Foundation

\$30,000 - Tides Foundation's Canopy Fund for  
Canopy Style: Fashion Loved by Forests  
San Francisco, CA / [tides.org](http://tides.org)

Tides Foundation partners with donors to increase and organize resources for social change. It facilitates effective grantmaking programs, creates opportunities for learning, and builds community among donors and grantees.



A close-up photograph of a small bird, likely a yellow warbler, perched on a brown branch. The bird has bright yellow plumage on its head and neck, with brown streaks on its wings and back. It is facing right, looking slightly down. The branch it sits on has several small, red, unopened flower buds. The background is a soft, out-of-focus green, suggesting foliage.

# Thriving Resilient Communities Funding Circle

\$162,500

A Thriving Resilient Community (TRC) is sustainable, just, and compassionate: a place where people can respond to any challenge and thrive in the process into the future.



## Thrivingresilience

There is a growing awareness that community resilience requires an integral approach to the challenges posed by climate, energy, and economic instability. An integral approach fosters compassion, inner resilience (healthy, caring relationships) and outer resilience (fair, sustainable sharing systems) within an eco-social region. What we call the “thrivingresilience” community movement (see thrivingresilience.org) includes place-based community initiatives with similar visions using a variety of names such as Healthy, Livable, Local, Peace, Resilient, Sustainable, Thrive, and Transition.

## Mission

The TRC Funding Circle’s mission is to build collective wisdom, map the field, and fund best practices and leading-edge work in community thrivingresilience. Priority will be given to capacity-building within and networking among regional and national thrivingresilience network hubs and to replicable local whole-systems toolkits and approaches. In addition to supporting capacity building projects within an organization, funders are particularly interested in catalyzing multi-organization collaborative projects.

---

Bay Localize	\$18,500
--------------	----------

---

Daily Acts	\$22,000
------------	----------

---

Generation Waking Up	\$22,000
----------------------	----------

---

Institute for Policy Studies	\$17,500
------------------------------	----------

---

Post Carbon Institute	\$16,000
-----------------------	----------

---

New Economics Institute	\$18,500
-------------------------	----------

---

New Economics Institute	\$18,500
-------------------------	----------

---

Thriving and Resilient Communities Collaboratory	\$20,000
---	----------

---

Transition US	\$28,000
---------------	----------

---



## Bay Localize

\$18,500 - Bay Localize's Map Your Future Project  
Oakland, CA / [baylocalize.org](http://baylocalize.org)

Bay Localize confronts the challenges of climate change and economic recession by boosting the Bay Area's capacity to provide for everyone's needs, sustainably and equitably. It achieves this equipping grassroots community leaders with flexible tools, models, and policies that strengthen their communities.

## Daily Acts

\$22,000 - General Support

Petaluma, CA / [dailyacts.org](http://dailyacts.org)

Daily Acts Organization's vision is to foster a healthy, just, and reverent culture. Its mission is to transform its communities through inspired action and education which builds leadership and local self-reliance.

## Generation Waking Up

\$22,000 - Generation Waking Up's  
Thrive Fellowship  
Oakland, CA / [movementgeneration.org](http://movementgeneration.org)

Generation Waking Up is a global campaign to ignite a generation of young people to bring forth a thriving, just, sustainable world. Their goals are to: (1) awaken in young people a clear sense of who they are as a generation, give them an understanding of the urgent global challenges and opportunities they face, and call them to take action; (2) empower young people with the training, mentoring, and support needed to thrive as global citizens, leaders, and change agents in the 21st century; and (3) mobilize young people locally and globally across issues, geography, and all lines of difference, unleashing the collaborative power of this generation.

## Institute for Policy Studies

\$17,500 - Jamaica Plains New Economy  
Transition project  
Boston, MA / [ips.org](http://ips.org)

Since 1963, Institute for Policy Studies (IPS) has been a leading progressive, multi-issue, analysis and advocacy organization. Its public scholars have crafted policies to help those in society with the least power and to give their advocates intellectual ammunition to make changes in public policy consistent with the values of demilitarization, social justice, economic, racial and gender equality, environmental sustainability, and democratic participation. The Institute has strengthened and linked social movements through articulation of root principles and fundamental rights, research and analysis on current events and issues, and connections to policymakers, academics, and activists at all levels.

## Post Carbon Institute

\$16,000 -Weaving, Gathering, & Sharing  
Amongst the Thriving/Resilience and New Economy  
Santa Rosa, CA / [postcarbon.org](http://postcarbon.org)

MetaFoundation, doing business as Post Carbon Institute, is a virtual organization designed to address the growing global environmental catastrophe in fundamentally new and largely untried ways. Much of the current focus of attention is on technological solutions, such as increasing the efficiency with which people consume the Earth's resources.

## New Economics Institute

\$18,500 - New Economy Coalition's  
Conference and General Assembly  
Great Barrington, MA / [centerforneweconomics.org](http://centerforneweconomics.org)

New Economics Institute (NEI), formerly the E.F. Schumacher Society, is working to make the new economics, one which supports people and planet, mainstream in the United States. The current economic system is failing in its essential purpose: to provide fulfilling and healthy lives for all people while nurturing the social and natural systems on which the economic system depends.

---

## Thriving and Resilient Communities Collaboratory

\$20,000 - Thriving and Resilient  
Communities Collaboratory's general support  
Freeland, WA / [thrivingresilience.org](http://thrivingresilience.org)

Thriving Resilient Communities Collaboratory is an invitational action research network project exploring tipping-point strategies for scaling the community resilience movement and engaging the mainstream in North America. The intention is to build collective wisdom, map the field, and fund best practices and leading-edge work in local community resilience. The Collaboratory is a learning community of practice, an agile collaborative-funding incubator, and a call to conscious collaboration and co-creation in service to the Great Transition.

---

## Transition US

\$28,000 - REconomy project  
Sebastopol, CA / [transitionus.org](http://transitionus.org)

Transition US is a resource and catalyst for building resilient communities across the United States that are able to withstand severe energy, climate or economic shocks while creating a better quality of life in the process. Its mission is to inspire, encourage, support, network and train individuals and their communities as they consider, adopt, adapt, and implement the Transition approach to community empowerment and action. Transition US accomplishes its work by helping to launch and support Transition Initiatives (also known as "Transition Towns") across all regions of the country.



# Election Integrity Funding Circle

\$153,000

The Election Integrity Funding Circle seeks to insure that  
every American can vote

## Every Vote Counts

Election integrity means that votes will be counted  
as cast and voter suppression and barriers to  
honest, fair, and legal voting are eliminated.

Black Box Voting	\$10,000
Psephos Inc.	\$52,500
Save Our Votes	\$20,000
Verified Voting Foundation	\$70,500

---

## Black Box Voting

\$10,000 - Americans United for Democracy,  
Integrity, and Transparency in Elections  
Pima County Project  
Renton, WA / [blackboxvoting.org](https://blackboxvoting.org)

Black Box Voting is a grassroots civic participation organization founded to encourage independent action around voter fraud, although it does not control or directly organize. Instead, it works in solidarity with relentless, clever, loosely allied people, taking actions they have figured out for themselves, using common sense and whatever resources they can bring to bear.

---

## Save Our Votes

\$20,000 - General Support  
Hyattsville, MD / [saveourvotes.org](https://saveourvotes.org)

SAVEourVotes is a statewide nonpartisan grassroots nonprofit organization working for Secure, Accessible, Verifiable Elections in Maryland.

---

## Psephos Inc.

\$52,500 - General Support  
La Honda, CA

Psephos Inc was founded in 2007 to promote election integrity issues, primarily the integrity of American voting processes. The National Election Integrity Coalition (NEIC) is currently the sole funded project of Psephos Inc.

---

## Verified Voting Foundation

\$70,500 - Protecting Election Integrity and  
Stopping Internet Voting project  
Carlsbad, CA / [verifiedvotingfoundation.org](https://verifiedvotingfoundation.org)

Verified Voting Foundation (VVF) is a non-partisan nonprofit organization championing transparent, reliable and publicly verifiable elections. Its goal is to enable voters to have unqualified and justified confidence in election results.





# High Impact Documentary Films

\$155,000

Threshold's High Impact Documentary Films (HIDF) funding circle will fund documentaries addressing the most important issues facing the world today with a plan of action that is powerful, innovative, and unexpected.

## Film making

We are in a golden age of documentary films. Progressive issues are being exposed in a powerful new light with various creative channels for marketing and distribution. Documentary filmmakers are filling the void left by a mainstream media that is unwilling to take on the controversial issues we face today.

## Grantmaking

The High Impact Documentary Films funding circle will partner with the Sundance Institute to make high-impact grants that utilize the resources of an organization at the forefront of the documentary world. By making more aggressive and larger grants than those that are usually available, we seek to become a leading force in the documentary field, gaining greater visibility for the Threshold Foundation and making more impact as change makers.

---

The Shadow World	\$60,000
------------------	----------

---

The Hunting Ground	\$60,000
--------------------	----------

---

Sundance Film Institute	\$35,000
-------------------------	----------

---

---

## Shadow World Productions, LLC

\$60,000 - Shadow World Productions, LLC's  
New York, NY / [theshadowworldbook.com/the-film](http://theshadowworldbook.com/the-film)

Shadow World LLC embarks on a devastating and courageous exploration of the international arms trade the only business that count its profits in billions and its costs in human lives.

---

## Sundance Institute

\$35,000 - General Support  
Los Angeles, CA / [sundance.org](http://sundance.org)

Sundance Institute is a nonprofit organization dedicated to the discovery and development of independent artists and audiences. Through its programs, the Institute seeks to discover, support, and inspire independent film and theatre artists from the United States and around the world, and to introduce audiences to their new work.

---

## Salt Lake City Film Center and Artists Collaborative

\$60,000 - Documentary film project  
The Hunting Ground  
Salt Lake City, UT / [utahfilmcenter.org](http://utahfilmcenter.org)

Utah Film Center, also known as the Salt Lake City Film Center and Artists Collaborative, brings the world of film to local audiences through free community screenings and discussions, outreach programs, and visiting artists and professionals. Emphasizing social content and artistic excellence, the Center presents documentary, independent, and dramatic cinema year-round. The organization collaborates with various educational and community organizations to promote a diversity of ideas, to provide forums for underrepresented groups, and to develop new audiences for film.



A female cardinal with a greyish-brown body, a bright orange beak, and a crest of spiky feathers. She is perched on a thin, light brown branch. The background is dark and out of focus, with some other branches and small purple buds visible.

# Informal Funding

\$291,275

Informal Funding occurs at Threshold meetings and raises funds for organizations presented by members to members. These are closed, rapid funding cycles and as such do not accept unsolicited letters of inquiry.

## Passionate Grantmaking

Informal Funding is a playful granting mechanism that allows Threshold members to introduce the community to new organizations that members are passionate about. These are immediate grants, as opposed to grants that are directed by Committees and Funding Circles over the course of a year-long decision-making process.

BoxoPROJECTS	\$9,285	Intervale Center Inc.	\$22,075
Consulting for Organizational Reflection and Effectiveness	\$9,990	Klamath Basin Rangeland Trust	\$16,375
Farm Hack	\$26,550	Learning and Violence Collaborative	\$11,800
Film Odyssey	\$15,650	Living Tongues Institute for Endangered Languages	\$16,250
Global Greengrants Fund	\$27,400	Multidisciplinary Association for Psychedelic Studies	\$22,725
Green Cities Fund	\$19,575	Power of Hope	\$17,575
Honor the Earth	\$20,150	Runa Foundation	\$21,165
Immediate Life Inc.	\$21,975	Southern California Streets Initiative	\$12,735



---

## BoxoPROJECTS

\$9,285 - General Support

Joshua Tree, CA / [boxoprojects.com](http://boxoprojects.com)

BoxoPROJECTS is dedicated to exploring contemporary art at the new frontier. While frontier may conjure a geographic image, it is actually a spirit that lives in many places simultaneously. The artists that BoxoPROJECTS cooperates with address a range of subjects, in a variety of media, working freely, boldly and independently. Some are located in places that nurture the open spirit, while others create their own free space within the metropolitan fabric. These artists engage a dialogue concerning freedom, choice and responsibility. BoxoPROJECTS fosters their work and is their conduit to a wider audience.

---

## Film Odyssey

\$15,650 - Dalai Lama Film

Washington, DC / [odysseymedia.org](http://odysseymedia.org)

Film Odyssey produces educational videos used by the public broadcasting system throughout the United States.

---

## Consulting for Organizational Reflection and Effectiveness

\$9,990 - General Support

Madison, WI / [consultingforchange.org](http://consultingforchange.org)

Consulting for Organizational Reflection and Effectiveness (CORE) aims to bring together organizations, consultants, and funders in the greater Madison area to build capacity for social justice, social change, advocacy, and community building work.

---

## Farm Hack

\$26,550 - General Support

Lee, N / [farmhack.net](http://farmhack.net)

Farm Hack is an open source nationwide community based non-profit that facilitates designing, building and documenting open source tools for resilient agriculture. First organized in 2011, Farm Hack maintains an active online community with thousands of all volunteer contributors and provides a platform for collaborating across organizations and between individuals that currently hosts over 100 innovative tools, including the Farm Hack web site itself. Farm Hack tools attracted approximately 200,000 unique users in the last year and Farm Hack believes that in order to produce a changed agricultural “culture”, that the research and development process should also become open-source. Despite being an all volunteer organization, Farm hack has partnered with many national organizations and hosted more than 20 events across the nation documenting and improving new tools through local collaboration and support.

---

## Global Greengrants Fund

\$27,400 - OPEN Fund

Boulder, CO / [greengrants.org](http://greengrants.org)

Global Greengrants Fund supports a wide range of community-based initiatives that protect the environment in the most underserved and threatened regions on Earth. Global Greengrants Fund believes that grassroots groups are key to solving the intractable problems of poverty, powerlessness and environmental destruction and that there is no better investment than supporting passionate people with great ideas. Since 1993, they have made more than 3,500 grants in over 120 countries.

---

## Honor the Earth

\$20,150 - Honor the Earth's Stop the

Sandpiper Pipeline campaign

Callaway, MN / [honorearth.org](http://honorearth.org)

Honor the Earth is a Native-led organization, established by Winona LaDuke and others in 1993 to address the two primary needs of the Native environmental movement: the need to break the geographic and political isolation of Native communities and the need to increase financial resources for organizing and change. Honor the Earth works to a) raise public awareness and b) raise and direct funds to grassroots Native environmental groups. It is the only Native organization that provides both financial support and organizing support to Native environmental initiatives.

---

## Green Cities Fund

\$19,575 - Solar Fruit Drying project

Oakland, CA / [greencitiesfund.org](http://greencitiesfund.org)

Green Cities Fund, Inc. was established in 2005 as a California public benefit corporation, and is tax exempt under Section 501(c)(3) of the Internal Revenue Code . Its founders have been involved in public service work for many years, starting in the 1950's with Canada's "Frontier College", the predecessor of the Peace Corps, where college students spent their summers working on railway gangs and in mining camps teaching the workers at night, and handling a myriad of problems that develop in these tough and remote environments.

---

## Immediate Life Inc.

\$21,975 - HoneyBeeLujah campaign

New York, NY / [revbilly.com](http://revbilly.com)

Immediate Life Inc. aka Reverend Billy and the Stop Shopping Choir is a New York City based radical performance community, with 50 performing members and a congregation in the thousands. Reverend Billy and the Stop Shopping Choir are wild anti-consumerist gospel shouters and Earth loving urban activists who have worked with communities on four continents defending community, life and imagination. The Devils over the 15 years of the church have remained the same: Consumerism and Militarism. In this time of the Earth's crisis - the organization is especially mindful of the extractive imperatives of global capital.

## Intervale Center Inc.

\$22,075 - General Support  
Burlington, VT / [intervale.org](http://intervale.org)

Located a unique agricultural setting, partially within the urban limits of Burlington, Vermont, the Intervale Center is continuing 15 years of effort to revitalize a historically significant community and agricultural resource. As a center for sustainable, ecological ventures focused on farming, and value-added food, fiber and fuel production, the Intervale's integrated approach includes a network of farms, programs and ventures that are restoring agricultural land, providing jobs, healthy food, and clean energy to our community. We strive to be a replicable global entrepreneurial and restoration model.

---

## Living Tongues Institute for Endangered Languages

\$16,250 - General Support  
Salem, OR / [livingtongues.org](http://livingtongues.org)

Living Tongues Institute for Endangered Languages seeks to facilitate dialogue between indigenous communities and the larger global community through informational awareness and bridging the technology gap between these worlds, allowing indigenous minority speech community members to integrate with, rather than assimilate to, the majority language communities, and to provide opportunities for such under-represented communities to cross the digital divide. Capacity building through technical training is a key part of realizing these aims.

---

## Klamath Basin Rangeland Trust

\$16,375 - A River Runs Between Us:  
Why the Klamath Matters  
Klamath Falls, OR

Klamath Basin Rangeland Trust's mission is to restore and conserve the quality and quantity of water in the Upper Klamath Basin to enhance the natural ecosystem and supply needed water for downstream agriculture, ranching, native fish and wildlife populations.

---

## Learning and Violence Collaborative

\$11,800 - Learning and Violence Collaborative's Too Scared To Learn project  
Toronto, Ontario M6R 1T6, Canada  
[learningandviolence.net](http://learningandviolence.net)

Learning and Violence Collaborative is a new organization currently in formation. Learning and Violence Collaborative is a diverse group of 8 individuals with extensive experience and training in all levels of education, social work, counselling, bodywork, meditation, working with assaulted women and children, qualitative research and the arts. Learning and Violence Collaborative are connected to a range of organizations and institutions (including Community Builders Youth Leadership, Parkdale Project Read, Barbra Schlifer Clinic, George Brown Community College, the Ontario Institute for Studies in Education of the University of Toronto.) Learning and Violence Collaborative has worked locally, nationally and internationally with a wide range of organizations including The UNESCO Institute for Lifelong Learning. Individually Learning and Violence Collaborative has carried out groundbreaking research, initiated innovative practice, and offered numerous trainings to address issues of learning and violence from many different perspectives and standpoints.

---

## Multidisciplinary Association for Psychedelic Studies

\$22,725 - Treatment for posttraumatic stress disorder (PTSD)  
Santa Cruz, CA / [maps.org](http://maps.org)

Multidisciplinary Association for Psychedelic Studies (MAPS) is a membership-based research and educational organization. MAPS seeks to assist scientists to design, fund, obtain approval for, conduct and report on government-approved research into the risks and benefits of psychedelic drugs and marijuana. MAPS particularly focuses on developing the therapeutic uses of these drugs with the goal of developing them into FDA-approved prescription medicines.

---

## Runa Foundation

\$21,165 - Rios Nete Program  
Brooklyn, NY / [runa.org](http://runa.org)

Runa Foundation is the nonprofit branch of a rapidly growing social enterprise. Its mission is to harness environmentally-friendly agricultural practices to create and support sustainable livelihoods for smallholder farmers in the Amazon and beyond. The Foundation works closely with the commercial branch of Runa, which produces guayusa tea beverages. The Foundation acts as a watchdog to the company, conducts scientific and participatory research, engages in community outreach, and promotes cross-cultural exchange.

---

## Power of Hope

\$17,575 - General Support  
Victoria BC, Canada / [powerofhope.ca](http://powerofhope.ca)

Power of Hope unleashes the positive potential of youth through arts-centered multicultural and intergenerational learning programs that value self-awareness, leadership, environmental stewardship, community and social change.

---

## Southern California Streets Initiative

\$12,735 - Freelance reporter fees for Streetsblog Los Angeles' coverage of key issues of sustainable living in the San Gabriel and San Fernando Valleys  
Los Angeles, CA / [la.streetsblog.org](http://la.streetsblog.org)

Streetsblog is a daily news source connecting people to information about sustainable transportation and livable communities.[global capital](http://global.capital).





# Discretionary Grants

\$7,480

Each year Threshold's Board of Directors (the Circle) directs grants on behalf of the Threshold community to special projects, including local and/or global tributes to organizations in the communities where Threshold's bi-annual Meetings are held.

---

Black Rock Arts Foundation	\$2,520
----------------------------	---------

---

Esalen Institute	\$500
------------------	-------

---

Jobs With Justice Inc.	\$1,960
------------------------	---------

---

Tides Foundation	\$2,500
------------------	---------

---

## Black Rock Arts Foundation

\$2,520 - General Support 2014

Winter Local and Global Tribute

San Francisco, CA / [blackrockarts.org](http://blackrockarts.org)

Black Rock Arts Foundation is a national non-profit arts organization based in San Francisco. The mission of the Black Rock Arts Foundation is to promote community-based interactive art. Its programs seek to 1) Furnish artists with direct financial aid for the production and display of interactive art works, with particular emphasis on those artists whose careers exist beyond the institutional mainstream; 2) Help artists develop communal networks and make contact with public institutions that can supply them with material resources, volunteer services and financial aid; 3) Identify and support public venues for the display of interactive artwork, with special emphasis on venues that impart to the work a civic function; 4) Educate the public concerning the spiritual value and social relevance of interactive art; 5) Assist artists in documenting and representing their work in public media and to other institutions.

---

## Tides Foundation

\$2,500 - General support

San Francisco, CA / [tides.org](http://tides.org)

Tides Foundation partners with donors to increase and organize resources for social change. It facilitates effective grantmaking programs, creates opportunities for learning, and builds community among donors and grantees.

## Esalen Institute

\$500 - General Support

Big Sur, CA / [esalen.org](http://esalen.org)

The Esalen Institute was founded in 1962 as an alternative educational center devoted to the exploration of what Aldous Huxley called the human potential, the world of unrealized human capacities that lies beyond the imagination. Esalen soon became known for its blend of East/West philosophies, its experiential/didactic workshops, the steady influx of philosophers, psychologists, artists, and religious thinkers, and its breathtaking grounds blessed with natural hot springs.

---

## Jobs With Justice Inc.

\$1,960 - General support

Burlington, VT / [workerscenter.org](http://workerscenter.org)

Jobs With Justice, Inc, also known as Vermont Workers' Center, is a democratic, member-run organization dedicated to organizing for workers' rights and living wages for all Vermonters. It seeks an economically just and democratic Vermont in which all residents have living wages, decent health care, childcare, housing and transportation. It works to build a democratic, diverse movement of working and low-income Vermonters that is locally focused and coordinated on a statewide basis. It works with organized labor in moving towards economic justice and in strengthening the right to organize. They are committed to taking action on the full range of issues of concern to working people, and to building alliances nationally and internationally.

# Information for Grant Seekers

## Introduction

Threshold Foundation's annual grants program includes two Core Grantmaking Committees — the Justice and Democracy Committee and the Sustainable Planet Committee — and a number of funding circles, which change on an annual basis. For current information about Core Committee and Funding Circle guidelines and funding criteria, please visit the Threshold Foundation website at [thresholdfoundation.org](http://thresholdfoundation.org)

## Grants Process

The annual grant cycle begins in September with the submission of Letters of Inquiry (LOI). Each year this process is determined as an open solicitation process or invitation only. Please check the Threshold website in September for the current LOI process.

From the LOIs the grant committees invite a limited number of organizations to submit a proposal. After reviewing the proposals, the grant committees select a subset of organizations for a site-visit and evaluation.

Once the site-visit and evaluations are complete evaluations are reviewed and grant committees finalize their grant recommendations to the Circle (Board of Directors) in July. Grant agreements and funds are disbursed at the end of September.

## Grant Types and Sizes

We support organizations aimed at expanding Threshold Foundation provides grants for general operating expenses as well as special projects. We do not give emergency or discretionary grants outside of the annual grant cycle.

Grant amounts typically range from \$15,000 to \$30,000.

Organizations seeking grants must have 501(c)(3) tax-exempt status or 501(c)(4) lobbying status from the IRS or must be exclusively organized for charitable or educational purposes, inside or outside the United States.

## Applying for a Grant

Note that guidelines for applying to the annual grant cycle often change, as we are continually trying to improve our process based on feedback from grantees and committee members.

Therefore, we recommend that grantseekers visit the Threshold Foundation's website in September for the most up-to-date information regarding the deadline and application process for the following year's cycle.





# Endowment Investment Report

## Introduction

The endowment investment principles of Threshold Foundation complement its philanthropic goals. The entire portfolio has a social investment focus with positive and negative screens: seventy percent is in socially screened stock, bonds, and cash with Boston Common Asset Management, Calvert, Miller/Howard Investments, and Trillium Asset Management; twenty percent is in Program Related Investments, primarily Community Development Loan Funds that are listed here; the remaining ten percent has been designated for high growth, venture-type investments

## Endowment Gifts

You can make an endowment gift to Threshold Foundation through a charitable trust, real estate gift, or by means of a bequest in your will. Because grantee organizations, grantee needs and other conditions change over the years, it will help avoid legal complications if simple unrestricted language like the following is used in wills:

"I hereby give and bequest \_\_\_\_\_  
to Threshold Foundation, a not-for-profit  
taxexempt public charity founded under the  
laws of the State of New York, having as  
its principal address PO Box 29903, San  
Francisco, California 94129-0903, for the  
general purposes of Threshold Foundation."



If you want to discuss the language of your bequest, or if you want more information on planned giving possibilities (including real estate gifts), the staff or Circle (Board of Directors) would be happy to discuss with you. To schedule a meeting contact the Foundation Manager at 415-561-6400.





Program Related Investments

Loan Amount

Accion International  
Boston, MA / [accion.org](http://accion.org)

\$55,000

Cooperative Fund of New England  
Wilmington, NC / [cooperativefund.org](http://cooperativefund.org)

\$20,000

HOPE Enterprise Corporation  
(Formerly Enterprise Corporation of the Delta)  
Jackson, MS / [hope-ec.org](http://hope-ec.org)

\$25,000

Human/Economic Appalachian  
Development, Inc. Community  
Loan Fund (HEAD Corp.)  
Berea, KY / [headcorp.org](http://headcorp.org)

\$20,000

Institute for Community Economics  
Springfield, MA / [icelt.org](http://icelt.org)

\$25,000

National Federation of  
Community Development CU  
New York, NY / [natfed.org](http://natfed.org)

\$50,000

Program Related Investments

Loan Amount

New Hampshire  
Community Development  
Concord, NH / [communityloanfund.org](http://communityloanfund.org)

\$25,000

Opportunity Finance Network  
Philadelphia, PA / [opportunityfinance.ne](http://opportunityfinance.ne)

\$100,000

Root Capital  
(formerly EcoLogic Finance)  
Cambridge, MA / [rootcapital.org](http://rootcapital.org)

\$20,000

Self-Help Credit Union  
Durham, NC / [self-help.org](http://self-help.org)

\$25,000

Self-Help Enterprises  
Visalia, CA / [selfhelpenterprises.org](http://selfhelpenterprises.org)

\$45,000

Shared Interest  
Development CU  
New York, NY / [sharedinterest.org](http://sharedinterest.org)

\$25,000

The Loan Fund  
(formerly NM Comm Dev Loan Fund)  
Albuquerque, NM / [loanfund.org](http://loanfund.org)

\$20,000





# Independent Auditor's Report

## **Board of Directors**

### **Threshold Foundation**

September 30, 2014

We have audited the accompanying financial statements of Threshold Foundation (the "Foundation"), which comprise the statement of financial position as of December 31, 2013, and the related statements of activities and cash flows for the year then ended, and the related notes to the financial statements.

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Foundation as of December 31, 2013, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

We have previously audited the Foundation's 2012 financial statements and our report dated September 16, 2013, expressed an unmodified opinion on those audited statements. In our opinion, the summarized comparative information present herein as of and for the year ended December 31, 2012, is consistent, in all material aspects, with the audited financial statements from which it has been derived.

*Fontanella, Duffield + Otake, LLP*

# Statements of Financial Position

Years Ended December 31, 2013 & 2012

Assets	2013	2012
Cash and cash equivalents	851,393	563,567
Pledges receivable	12,650	12,580
Interest receivable	7,005	6,281
Other current Assets	16,886	12,367
Deposits	20,500	16,750
Investments, at fair value	2,886,590	2,430,272
Program related investments	448,657	458,223
Intangible assets, net	40,751	6,500
<b>Total assets</b>	<b>4,284,432</b>	<b>3,506,540</b>

Liabilities	2013	2012
Accounts payable	14,551	2,961
Grants payable	214,000	171,000
Refundable deposits	65,835	47,355
<b>Total liabilities</b>	<b>294,386</b>	<b>221,316</b>

Net Assets	2013	2012
Unrestricted Net Assets		
General operations	519,486	530,649
Designated for grantmaking pool	50,679	64,479
Designated for endowment purposes	2,744,275	2,353,282
Total Unrestricted Net Assets	3,314,440	2,948,410
Temporarily Restricted Net Assets	675,606	336,814
<b>Total Net Assets</b>	<b>3,990,046</b>	<b>3,285,224</b>
<b>Total Liabilities &amp; Net Assets</b>	<b>4,284,432</b>	<b>3,506,540</b>



# Statements of Activities

Years Ended December 31, 2013 & 2012

Support & Revenue	Unrestricted	Temporary Restricted	2013 Total	2012 Total
Grant and Contributions	\$994,011	\$569,606	\$1,563,617	\$1,322,279
Membership fees	138,474	106,000	244,474	293,541
Conference revenues	228,075	—	228,075	317,730
Investment Income:				
Interest and dividends	73,883		73,883	63,618
Investment fees	(19,802)		(19,802)	(18,929)
Net realized gain/(loss)	227,057		227,057	52,899
Net unrealized gain/(loss)	138,646		138,646	104,423
	1,780,344	675,606	2,455,950	2,135,561
Net assets released from restriction	336,814	(336,814)		
<b>Total support and revenue</b>	<b>2,117,158</b>	<b>338,792</b>	<b>2,455,950</b>	<b>2,135,561</b>
<b>Expenses</b>				
<b>Program Services</b>				
Grants	1,225,740		1,225,740	1,389,159
Conference Expenses	245,022		245,022	294,788
Membership Services	81,966		81,966	74,626
Total Program Services	1,552,728	—	1,552,728	1,758,573
<b>Supporting Services</b>				
Grantmaking support	64,120		64,120	82,841
Board/Corporate support	134,280		134,280	98,173
Total support services	198,400		198,400	181,014
<b>Total expenses</b>	<b>1,751,128</b>	<b>—</b>	<b>1,751,128</b>	<b>1,939,587</b>
Change in Net Assets	366,030	338,792	704,822	195,974
<b>Net assets at beginning of year</b>	<b>2,948,410</b>	<b>336,814</b>	<b>3,285,224</b>	<b>3,089,250</b>
<b>Net assets at end of year</b>	<b>3,314,440</b>	<b>675,606</b>	<b>3,990,046</b>	<b>3,285,224</b>

# Statements of Cash Flows

Years Ended December 31, 2013 & 2012

Cash flows from operating activities	2013	2012
--------------------------------------	------	------

Change in net assets	\$704,822	\$195,974
----------------------	-----------	-----------

Adjustments to reconcile change in net assets to cash used in operating activities:

Amortization	8,592	—
--------------	-------	---

Realized gains on investments	(227,057)	(52,899)
-------------------------------	-----------	----------

Unrealized gains on investments	(138,646)	(104,423)
---------------------------------	-----------	-----------

Contributed stock	(106,132)	(51,283)
-------------------	-----------	----------

Changes in operating assets and liabilities:

Pledges receivable	(70)	30,630
--------------------	------	--------

Interest receivable	(724)	(748)
---------------------	-------	-------

Other current assets	(4,519)	(10,042)
----------------------	---------	----------

Deposits	(3,750)	(250)
----------	---------	-------

Accounts payable	11,590	(958)
------------------	--------	-------

Grants payable	43,000	171,000
----------------	--------	---------

Refundable deposits	18,480	(22,935)
---------------------	--------	----------

<b>Net cash used in operating activities</b>	<b>305,586</b>	<b>154,066</b>
--	----------------	----------------

Cash flows from investing activities

Purchase of investments	(1,191,738)	(629,118)
-------------------------	-------------	-----------

Proceeds from sale of investments	1,226,387	677,789
-----------------------------------	-----------	---------

Website	(42,843)	(6,500)
---------	----------	---------

Change in program related investments	(9,566)	(21,777)
---------------------------------------	---------	----------

<b>Net cash provided by investing activities</b>	<b>(17,760)</b>	<b>20,394</b>
--	-----------------	---------------

Net change in cash and cash equivalents	287,826	174,460
---	---------	---------

Cash and cash equivalents at beginning of year	563,567	389,107
--	---------	---------

Cash and cash equivalents at end of year	\$851,393	\$563,567
--	-----------	-----------



## 2014 Board of Directors

<b>Jodie Evans</b>	President
--------------------	-----------

<b>Anne Golden</b>	Treasurer
--------------------	-----------

<b>Ibrahim Al Hussein</b>	Secretary
---------------------------	-----------

<b>Allan Badiner</b>	Director
----------------------	----------

<b>Terrence Meck</b>	Director
----------------------	----------

<b>Annie Irwin</b> <small>(not pictured)</small>	Director
--	----------

<b>Dawn Newton</b>	Director
--------------------	----------

## 2014 Staff

<b>Doug Seckinger</b>	Foundation Manager
-----------------------	--------------------

<b>Stephanie Alston</b>	Conference Coordinator
-------------------------	------------------------

<b>Julia Diao</b>	Membership Coordinator
-------------------	------------------------

<b>Traci Cho</b>	Foundation Accountant
------------------	-----------------------

And other staff of Tides

## Photography

All photos provided by long-time Threshold member Tim Jones. Special thanks to him for sharing his talent and artwork with our community.





# In Memoriam

Remembering Richard Rockefeller and Anastasia Dickinson Payne





Richard Rockefeller  
1949-2014

Richard Rockefeller, MD and his first wife, Nancy Anderson, joined Threshold in 1991 at the Sedona meeting sponsored by Margot and Roger Milliken. They were all friends from their community in Falmouth, Maine where Richard was practicing family medicine. They came to meetings at Bard, Williams, Banff, and the memorable Carmel. They brought their songbooks and he his sense of adventure and an emerging presence as a world leader and a healer.

Had you been walking by on that July afternoon you'd have plunked down under the cover of the big beech-tree on the Williams College Campus drawn in by Richard's magnetic energy, his guitar playing and their sweet singing of American song book favorites. On talent night, Richard's quest to "put something in" had them crooning the Scarecrow's song, "If I only had a Brain". Richard loved the theater improvs we did. I can see him in the Banff meeting room all puffed up, stiff legged and leading with his heels as he strode the floor as a Canadian Mountie.

Roger noted Richard's initial observations upon his arrival in the Threshold Community. Roger was just taking on the mantle of leadership as President of the Threshold Foundation. They both shared a need to heal from families where wealth, power and leadership had come at a great personal cost. Richard watched carefully the viability of leadership being consistent with values of the deepest self that were encouraged in the greenhouse of Threshold. My sense of Richard's time as a member of Threshold was that he moved through with grace giving yet also receiving some vital medicine he needed to finish his time on earth as himself, the good Doctor, who both healed himself and the worlds he inhabited.

I had just moved to Maine at the time when I met Richard at Threshold and we became friends. My husband and I were lucky enough to be invited by Richard to join him on some of his adventures which were fun and always interesting. It was on his beloved Bartlett Island, that a group of us spent a long Memorial Day weekend with him and his wife, Maeve, a few weeks before he died. I hadn't seen Richard in a while and his fierce openheartedness was notable. In the last decade of his life, he was truly happy with his children, his marriage, his grandchildren, and his work. His sister Peggy said he felt like a person who was fully realized. Chogyam Trungpa's words were chosen to underline Richard's smiling face on the front of his memorial program, "Opening to oneself is opening to the world."

Richard took big risks and put himself and his Rockefeller name behind what he believed in. In his last year, he was talking increasingly about his work with Doctors without Borders around the world and at home with MAPS and their work healing the victims of PTSD, and the difference small investments in public health or preventive care could make. He was a champion for Maine's natural world through the Maine Coast Heritage Trust. His hands were out stretched to heal the seas through the Sargasso Sea Project. These were the projects he had distilled to love at the end, but he had touched so many more.

Richard died June 13, 2014, at 65 years old, when the plane he was piloting solo, crashed in dense fog on take off. He had flown down from Maine the night before to attend his Father's 99th birthday party. He stood at the opposite end of the long family table and toasted his father for being, "A deeply kind person whose love of family had kept them together". His sister, Eileen, reflected, that Richard was projecting his own compassion and kindness and that it was also he who he had a big hand in healing the wounds of his five siblings and their father.

Richard's referred to as a renaissance man; bagpipe player, photographer, wood carver, outdoorsman, mushroom hunter, hiker, sailor, skier, pilot, family physician turned world healer, guitar player, singer, improviser, outdoor lover and manager of beauty. The term his friend, James Fallows, wrote in his Atlantic Magazine eulogy captured the moving target of Richard with the most focus, "intellectually inventive and omni-curious".

We have Richard's legacy to inspire and enhearten us at every moment.

Lucinda Ziesing



Stacy Payne  
1971–2014

Named Anastasia Dickinson Payne when she was born on June 17, 1971, Stacy carried a legacy of wealth from both sides of her family. Stacy joined Threshold at the 2000 summer meeting at Hollyhock. When I met Stacy at my first Threshold Foundation meeting in June of 2001, I asked her birth order, which I thought was an easy question. I learned that was a bad assumption as she said she had two half-brothers, a full sister and two step sisters. Talk about a blended family!

Stacy engaged in a lot of personal growth programs throughout her life, and she openly and joyfully shared what she had learned with others. Stacy volunteered at Esalen, particularly with Terry Hunt's programs. She was a big advocate for Challenge Day and sponsored their powerful program that gives high school students the opportunity to be vulnerable and compassionate – to see the humanity in each other and to be kind. The program breaks down cliques and reduces bullying. She volunteered at many Challenge Days and brought the program to five high schools in West Virginia. She was also involved in The Mosaic Project, which works toward a peaceful future by uniting children of diverse backgrounds, providing them with essential community building skills and empowering them to become peacemakers. She helped create their tagline, "Peacing it Together."

Stacy wanted to help kids grow up healthy and strong. She absolutely adored her experiences at Camp Mont Shenandoah, where she was a camper and then a counselor for as long as they would let her be. She was recognized with the 1990 Camp Counselor Award. She had fond memories of family travels to remote places, particularly a family retreat in Canada and talked about all the games that were always played. Stacy was cheerful, playful, outgoing, thoughtful, honest and brave.

While Stacy grew up near Charleston, WV, when I met her she had moved to Marin County where she studied expressive arts therapy at Tamalpa Institute and completed a master's degree in psychology at Sonoma State University. While she was glad to be free of the environment where she was known by the family she was from, she renovated a cabin she inherited on family lands to provide a base for her desired children. Stacy came to the 2003 winter meeting at Jekyll Island just weeks after her father's death, and we gathered around her in a group hug that hummed like a beehive. Shortly after she received a call that her uncle had died suddenly and she had to leave the meeting.

Stacy came to every Summer Institute from 2005 until her illness prevented her attendance in 2013 and she co-lead the four-day personal growth program for inheritors of financial wealth and their partners three times. She was intelligent, quick-witted, funny and wise. Her eyes reflected the connections her brain was making as she came up with ideas, especially ones that challenged the status quo. Her laugh and smile were contagious and her gmail address was hugstacy. While a member of Threshold, Stacy got her beloved dog, Maggie, and her next goal was to have a child. When she reached her mid-to late thirties without having found the right partner, she decided to have a baby on her own. That is when the marvelous Ethan Hurley, who matched her energy, quick wit and humor, and balanced her in many other ways came into her life. They quickly conceived and their daughter, Grace Grover Payne-Hurley (whom she called Gigi, and Ethan calls Gribbles), was born in August of 2011. Sadly, Stacy began to feel unwell in the late spring of 2013.

After she was diagnosed with adenocarcinoma of unknown primary site in June of 2013, she returned to her cabin in West Virginia to live with her beloved Ethan and daughter Gigi. She tried everything she could to try to beat the cancer, and had an incredibly positive outlook. Many of us wore green every Wednesday in solidarity with Stacy. On April 10, 2014, ten days before she died, she posted a message on Facebook that let us know she knew she was dying. Her message was, "Live your life with no Regrets. Make choices based in LOVE. Recognize the Blessings." - Stacy Payne 4/10/14

Stacy often signed emails, Love you ALL ways. On April 16 she posted again on Facebook, this time in response to a video a friend from camp made playing Taps for her. Stacy wrote, "Hearing 'Taps' has always given me a sense of calm and of peace. It will mean goodbye to the day. It will mean that I will love you ALLways. I will soon be gone from the physical plane. Allow me to stay in your heart. XXXOOO. Stacy." She died at 42 on Easter, April 20, 2014.

May Stacy's wisdom inspire you to have the courage to be yourself and to ask for support when it will help you. May her story touch your heart and open it to love.





