



PRIVACY NOTICE

Stone Bridge Asset Management, LLC

This is for your information only. No action is required on your part.

At Stone Bridge Asset Management, LLC, protecting your privacy is very important to us. We want you to understand what information we collect and how we use it. We collect and use “nonpublic personal information” in order to provide our clients with a broad range of financial services as effectively and conveniently as possible. Non-public personal information is any personally identifiable financial information provided by a consumer to a financial institution, resulting from any transaction with the consumer or any service performed for the consumer; or otherwise obtained by the financial institution. We treat nonpublic personal information in accordance with our privacy policy.

What Information Do We Collect?

In order to fulfill our obligations to you, we need certain non-public information. Generally, this includes your name, address, social security number, date of birth, account numbers, transaction information, and information about your income and financial assets. We may also have access to other sensitive information, such as credit scores, income tax information and so forth.

Where Do We Get This Information?

We may collect nonpublic personal information about you from a variety of sources, such as:

- Information we receive from you on applications or other forms;
- Information about your transactions with us, our affiliates or others, such as the custodian(s) of your account(s); and
- Information we receive from non-affiliated third parties, including credit reporting agencies.

What Information Do We Disclose and To Whom Do We Disclose It?

We do not disclose any nonpublic information about you without your express consent, except as permitted by law and as needed in the course of processing transactions, managing accounts on your behalf, or to inform you of products or services that we believe may be of interest to you. This applies to current as well as former clients. We restrict access to your nonpublic personal information to those who need to know that information in order to provide products or services to you.

Our “affiliates” are companies with which we share common ownership or control. We do not share your information with an affiliate unless requested by you.

In the event that there were to be a change in this policy that would allow us to disclose nonpublic information not covered under applicable law, we will provide you with written notice and you will be given an opportunity to direct us as to whether such disclosure is permissible. This applies to current as well as former clients.

Our Security Procedures

We maintain physical, electronic and procedural safeguards to protect your nonpublic personal information. This includes measures to protect your information in the course of its disposal.