



BROKER REGISTRATION & COOPERATIVE/REFERRAL FORM

Auction Name: Jewell County Anderson

Auction Date & Time: December 2, 2025 @ 1:30pm CST

Pursuant to K.S.A. § 58-3076, a licensee or anyone on behalf of any such licensee or firm, whether licensed in this state or in another state shall not solicit a referral fee without reasonable cause. Reasonable cause shall not exist unless one of the following conditions exists: (1) An actual introduction of business has been made; (2) a contractual referral fee relationship exists; or (3) a contractual cooperative brokerage relationship exists.

Agent Name: _____

Agent Company: _____

Agent Email: _____ Agent Cell Phone: _____

Agency Relationship (please select one): _____ Buyer's Agent _____ Transaction Broker

_____ Designated Buyer's Agent

OFFICE USE ONLY

Date Received: _____

Time Received: _____

Date Approved: _____

Prospect Name & Entity (if applicable): _____

Prospect email address and cell number: _____

BROKER PARTICIPATION TERMS

1. This form must be fully completed and returned to Horizon Farm & Ranch Realty, LLC (Horizon), prior to the prospect utilizing a Horizon licensee for showings or registering to bid at the auction and, in an event, must be submitted **no later than 5:00pm (CST) the day prior to the auction.** No oral broker/salesperson registration will be accepted.
2. The approved broker/salesperson must: (i) facilitate any showings or inspections of the property to the prospect; (ii) attend the auction with the prospect or assist the prospect if bidding online; and (iii) in the event that the prospect is the successful bidder at the auction, assist the prospect during contracting and ensure that the broker/salesperson is correctly written into the contract.
3. The prospect must register and agree to the terms and conditions to receive a bidder name. A prospect may register with only one broker/salesperson. In the event multiple broker registrations are received for the same prospect, the earliest, complete form received will be honored.
4. Due to the nature of online auctions on the Horizon bidding platform, broker/salesperson acknowledges that direct communication by Horizon to the prospect is inevitable. Accordingly, broker/salesperson authorizes Horizon to contact the prospect directly for the purpose of contracting, collecting earnest money, and coordinating the closing. Horizon will make every reasonable effort to include the broker/salesperson at each step of the closing; however, broker/salesperson acknowledges this is not always feasible and authorizes Horizon to proceed as outlined in this paragraph.
5. Commission will not be paid to any broker/salesperson whose license is inactive or has been suspended, revoked, or terminated by the Kansas Real Estate Commission.
6. The commission (0.5% of the purchase price, not to exceed \$5,000 per tract) will be included on the HUD settlement statement and paid at closing, on the condition that Broker/Salesperson is not receiving compensation directly from Buyer. Broker/Salesperson and prospect agree to submit any executed Buyer Agency, Designated Buyer Agency, or Transaction Broker Agreement to Horizon.
7. Broker/salesperson agrees to abide by the National Association of REALTORS® Code of Ethics.
8. Broker/salesperson agrees to not solicit or interfere with existing Horizon customer/client relationships while attending the auction, including, but not limited to, promotion of alternative properties.
9. Broker/salesperson is responsible for confirming receipt/approval of this form by Horizon.
10. Broker/salesperson registering themselves as a bidder will be recognized; however, commission amount cannot be reduced from selling price.
11. If the above conditions have not been met, please have your Broker present any extenuating circumstances to Horizon for further consideration.

Prospect Signature: _____ Date: _____

Referring Agent Signature: _____ Date: _____

Return Completed Form to: Horizon Farm & Ranch Realty, LLC, 3973 N. Ohio St., Salina, KS 67401

Phone: (785) 452-0640 Email: kris@horizonfarmranch.com

Real Estate Brokerage Relationships

Kansas law requires real estate licensees to provide the following information about brokerage relationships to prospective sellers and buyers at the first practical opportunity. This brochure is provided for informational purposes and does not create an obligation to use the broker's services.

Types of Brokerage Relationships: A real estate licensee may work with a buyer or seller as a seller's agent, buyer's agent or transaction broker. The disclosure of the brokerage relationship between all licensees involved and the seller and buyer must be included in any contract for sale and in any lot reservation agreement.

Seller's Agent: The seller's agent represents the seller only, so the buyer may be either unrepresented or represented by another agent. In order to function as a seller's agent, the broker must enter into a written agreement to represent the seller. Under a seller agency agreement, all licensees at the brokerage are seller's agents unless a designated agent is named in the agreement. If a designated agent is named, only the designated agent has the duties of a seller's agent and the supervising broker of the designated agent functions as a transaction broker.

Buyer's Agent: The buyer's agent represents the buyer only, so the seller may be either unrepresented or represented by another agent. In order to function as a buyer's agent, the broker must enter into a written agreement to represent the buyer. Under a buyer agency agreement, all licensees at the brokerage are buyer's agents unless a designated agent is named in the agreement. If a designated agent is named, only the designated agent has the duties of a buyer's agent and the supervising broker of the designated agent functions as a transaction broker.

A Transaction Broker is not an agent for either party and does not advocate the interests of either party. A transaction brokerage agreement can be written or verbal.

Duties and Obligations: Agents and transaction brokers have duties and obligations under K.S.A. 58-30,106, 58-30,107, and 58-30,113, and amendments thereto. A summary of those duties are:

An Agent, either seller's agent or buyer's agent, is responsible for performing the following duties:

- promoting the interests of the client with the utmost good faith, loyalty, and fidelity
- protecting the clients confidences, unless disclosure is required
- presenting all offers in a timely manner
- advising the client to obtain expert advice
- accounting for all money and property received
- disclosing to the client all adverse material facts actually known by the agent
- disclosing to the other party all adverse material facts actually known by the agent

The transaction broker is responsible for performing the following duties:

- protecting the confidences of both parties
- exercising reasonable skill and care
- presenting all offers in a timely manner
- advising the parties regarding the transaction
- suggesting that the parties obtain expert advice
- accounting for all money and property received
- keeping the parties fully informed
- assisting the parties in closing the transaction
- disclosing to the parties all adverse material facts actually known by the transaction broker

Agents and Transaction Brokers have no duty to:

- conduct an independent inspection of the property for the benefit of any party
- conduct an independent investigation of the buyer's financial condition
- independently verify the accuracy or completeness of statements made by the seller, buyer, or any qualified third party.

General Information: Each real estate office has a supervising broker or branch broker who is responsible for the office and the affiliated licensees assigned to the office. Below are the names of the licensee providing this brochure, the supervising/branch broker, and the real estate company.

Licensee

Ray Swearingen

Supervising/branch broker

Horizon Farm and Ranch Realty, LLC

Real estate company name approved by the commission

Buyer/Seller Acknowledgement (not required)