

ITEM 1: INTRODUCTION

Riverchase Wealth Management, LLC (“RWM”) is registered with the U.S. Securities and Exchange Commission (“SEC”) as an investment adviser. Brokerage and investment advisory services and fees differ, and it is important that you understand the differences. This document gives you a summary of the types of services and fees we offer. Free and simple tools are available to research firms and financial professionals at www.investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

ITEM 2: RELATIONSHIPS AND SERVICES**What Investment Services and Advice Can You Provide Me?**

Our firm primarily offers the following investment advisory services to retail clients: portfolio management (we review your portfolio, investment strategy, and investments); financial planning (we assess your financial situation and provide advice to meet your goals); solicitor/selection of other advisers (we select a third-party adviser for you to use). As part of our standard services, we typically monitor client accounts on a quarterly basis. Our firm has discretionary management without any material limitations. We limit the types of investments that are recommended since not every type of investment vehicle is needed to create an appropriate portfolio, but do not limit these investments to proprietary products. We have a relationship minimum of \$250,000 for investment management services, which may be waived by RWM, based on the needs of the client and the complexity of the situation.

For more information, please also see our [Form ADV Part 2A](#) brochure, specifically Items 4 & 7.

Questions to Ask Us:

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

ITEM 3: FEES, COSTS, CONFLICTS, AND STANDARD OF CONDUCT**What Fees Will I Pay?**

Our fees vary depending on the services you receive. Additionally, the amount of assets in your account affects our advisory fee; the more assets you have in your advisory account, the more you will pay us and thus we have an incentive to increase those assets in order to increase our fee. Our fixed fee arrangements are based on the amount of work we expect to perform for you, so material changes in that amount of work will affect the advisory fee we quote you. Fees for Investment Management Services are paid quarterly in advance and withdrawn directly from the client's account(s) with client's written authorization. Fees for Financial Planning Services are paid at the time the final plan is delivered and may require a deposit of the lesser of \$500 or half of the estimated fees at the beginning of the engagement, but never more than six months in advance. You pay our fees even if you do not have any transactions and the advisory fee paid to us generally does not vary based on the type of investments selected. For more information, please also see our [Form ADV Part 2A](#) brochure, specifically Items 4, 5, 6, 7 & 8.

Some investments (e.g., mutual funds, variable annuities, etc.) impose additional fees (e.g., transactional fees and product-level fees) that reduce the value of your investment over time. The same goes for any additional fees you pay to a custodian. Additionally, you will pay transaction fees, if applicable, when we buy or sell an investment for your account. **You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.** For more information, please also see our [Form ADV Part 2A](#) brochure, specifically Items 5 & 8.

Questions to Ask Us:

- Help me understand how these fees and costs might affect my investments.
- If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means:

- For AUM fees, the more assets you have in your advisory account, the more you will pay us and thus we have an incentive to increase those assets in order to increase our fee.
- For fixed fees, the more work we expect to perform for you, the higher our fee would be and thus we have an incentive for you to agree to have us complete more work for you.

Questions to Ask Us:

- How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money?

Primarily, we and our financial professionals receive cash compensation from the advisory services we provide to you because of the advisory fees we receive from you. This compensation may vary based on different factors, such as those listed above in this Item. For more information, please also see our [Form ADV Part 2A](#) brochure, specifically Items 5, 10, 11, 12 & 14.

ITEM 4: DISCIPLINARY HISTORY**Do you or your financial professionals have legal or disciplinary history?**

No, we do not have legal and disciplinary events. Visit www.investor.gov/CRS for a free, simple search tool to research us and our financial professionals.

Questions to Ask Us:

- As a financial professional, do you have any disciplinary history? For what type of conduct?

ITEM 5: ADDITIONAL INFORMATION

For additional information on our advisory services, please see our [Form ADV Part 2A](#) brochure available at <https://adviserinfo.sec.gov/firm/summary/156950> and any individual brochure supplement your representative provides. If you have any questions, need additional information, or want another copy of this Client Relationship Summary, then please contact us at (972) 471-9013.

Questions to Ask Us:

- Who is my primary contact person?
- Is he or she a representative of an investment adviser or a broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?

MATERIAL CHANGES SINCE LAST FILING

Item 2 has been updated to reflect that we have a relationship minimum of \$250,000 for investment management services, which may be waived by RWM, based on the needs of the client and the complexity of the situation.

Additional, non-material, changes have been made in this filing to update the formatting to make it easier to read for the investor and add color to highlight certain sections.