

Regulatory & Compliance Outlook

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Leadership Summit 2025

Session Objectives

- Clarify evolving federal and state regulations
- Explore compliance challenges across sectors
- Learn practical strategies to avoid penalties
- Strengthen compliance frameworks

The Compliance Landscape

- Federal oversight (CFPB, FHA, VA, GSEs)
- State & municipal regulations
- Data privacy, labor laws, environmental rules

Federal Regulatory Trends

- Investor reporting updates
- Documentation & audit trails
- Servicing guidelines evolution
- Impacts on property preservation standards

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State-Level Impacts

- Licensing and registration changes
- Code enforcement and local ordinances
- Differing state timelines for compliance

Compliance in SFR & REO Sectors

- Fair housing & habitability standards
- Rental property inspections
- ESG and sustainability compliance trends

Operational Risk & Documentation

- Digital photo validation & geotagging
- Chain of custody for field reports
- Record retention policies
- Key Takeaway: Document everything, consistently.

Audience Q&A

Examples

- What's the toughest compliance challenge you're facing this year?
- How are you preparing for new state-level audits?

Expert Discussion – Managing Risk

- Balancing compliance and efficiency
- Role of technology in audit readiness
- Collaborating with servicers and legal teams

Strategies for 2025

- Build compliance culture from top down
- Use real-time data monitoring tools
- Conduct proactive audits
- Invest in staff training & certification

Closing & Key Takeaways

- Stay proactive — not reactive
- Strengthen communication with your clients
- Use data to stay ahead of regulatory shifts
- Protect your brand and reputation
- “Compliance isn’t just a rulebook — it’s our industry’s backbone.”