



U.S. Entity

Thank you for trusting Finanz Butik. We're excited to start this new chapter with you and support your wealth strategy.

To move forward, please send us the following documents.

EIN (Employer Identification Number)

IRS confirmation letter

Certificate of Good Standing

≤ 1 year old (if entity >1 year old)

Operating Agreement / Articles of Organization

Full executed copy

Ownership & UBO Declaration

Identify and verify every person with ≥ 25 % ownership and at least one control person

KYC/KYB Screening

OFAC and other sanctions checks

Entity Bank Account Proof

Statement ≤ 3 months old

Wire Instructions

ABA/routing number and bank address

Applies only to U.S. banks.

If you have any questions, feel free to reach out to us.



GLOSSARY OF COMMON TERMS AND FAQs

- **Proof of address in someone else's name**

Accept only if (i) official relationship proof is provided and (ii) client signs a residency attestation. Optional: request a secondary corroborating document.

- **Joint bank accounts**

If the second holder has c or withdrawal rights, collect at least name, DOB, address, and government ID. Require client attestation that distributions are for their sole benefit.

- **Acceptable IDs**

Unexpired passport, state driver's license, or government-issued ID.

- **UBO documentation for LLC with foreign owners**

Identify and verify each individual with $\geq 25\%$ ownership and at least one control person.

- **Document validity**

Proof of address & bank proof: ≤ 3 months old. IDs: unexpired. Entity good standing: ≤ 1 year old.

- **Source of funds**

Mandatory questionnaire within Sumsub; request supporting evidence if risk triggers (e.g., high dollar amount, high-risk jurisdiction).

- **Bank account added after investment**

Allowed only if no distributions are made until account details are provided and verified.

- **Successor designation (Carta de Beneficiarios)**

Accept if executed under local law and incorporated into subscription documents. Verify successor's identity before honoring transfer.