

# WELCOME TO



# FinanzButik®

Foreign Entity | Non-U.S.

Thank you for trusting Finanz Butik. We're excited to start this new chapter with you and support your wealth strategy.

**To move forward, please send us the following documents.**

**Certificate of Incorporation (Acta Constitutiva)**

Certified copy

**Tax Status Certificate**

Constancia de Situación Fiscal or equivalent

**Organizational Docs**

Articles, by-laws, operating agreement

**Ownership & UBO Declaration**

Identify and verify every person with  $\geq 25\%$  ownership and at least one control person

**KYC/KYB Screening**

OFAC and other sanctions checks

**Entity Bank Account Proof & Wire Instructions**

Statement  $\leq 3$  months old

**Wire Instructions**

SWIFT/ABA/routing number and bank address

Applies only to U.S. banks.

**If you have any questions, feel free to reach out to us.**



## GLOSSARY OF COMMON TERMS AND FAQS

### **- Proof of address in someone else's name**

Accept only if (i) official relationship proof is provided and (ii) client signs a residency attestation. Optional: request a secondary corroborating document.

### **- Joint bank accounts**

If the second holder has co or withdrawal rights, collect at least name, DOB, address, and government ID. Require client attestation that distributions are for their sole benefit.

### **- Acceptable IDs**

Unexpired passport or government-issued ID.

### **- UBO documentation for LLC with foreign owners**

Identify and verify each individual with  $\geq 25\%$  ownership and at least one control person.

### **- Document validity**

Proof of address & bank proof:  $\leq 3$  months old. IDs: unexpired. Entity good standing:  $\leq 1$  year old.

### **- Source of funds**

Mandatory questionnaire within Sumsub; request supporting evidence if risk triggers (e.g., high dollar amount, high-risk jurisdiction).

### **- Bank account added after investment**

Allowed only if no distributions are made until account details are provided and verified.

### **- Successor designation (Carta de Beneficiarios)**

Accept if executed under local law and incorporated into subscription documents. Verify successor's identity before honoring transfer.