



Financial Services Guide – Part 2

Version number 25.0, 1 November 2025

This FSG Part 2 contains information specific to your Adviser and their firm and should be read together with the FSG Part 1, Version Number 25.0, which contains information about the AFS licensee and their general obligations and arrangements. Count Financial Limited (Count'), has authorised your adviser to distribute this FSG.

The financial services provider

Your Advisers are authorised to provide financial services as:

An authorised representative of Count AFS licence no. 227232, ABN 19 001 974 625, authorised to provide the financial services described in this FSG through KW Grimes & Associates Pty Ltd, ABN 88 613 376 775, ASIC ID number 1252265.

Referral arrangements

KW Grimes & Associates may have referral arrangements with other professional service providers. If a client is referred to us, we may pay the referrer a fee or other benefit. We will record the details of any referral fees in the Statement of Advice we prepare for you. If we refer a client to another service provider, they may pay us a referrer fee. We will only refer you to third party professionals, where we believe it is in your best interests to do so. All fees and commissions are paid to KW Grimes & Associates.

Referral partner	Description of referrer	Payment structure
Finconnect	Finconnect provides lending and financing solutions to clients. These services will either be provided by a Finconnect lending manager or a loan writer at another Count Firm.	KW Grimes & Associates Pty Ltd receives 30% of any upfront commission paid by the Loan Provider. KW Grimes & Associates Pty Ltd receives 40% of any ongoing commission paid by the Loan Provider.
Australian Financial Group	Australian Financial Group (AFG) provides lending and financing solutions to clients. These services will be provided by a credit representative of AFG.	KW Grimes & Associates Pty Ltd receives 15% of any upfront commission paid by the Loan Provider.

Please refer to FSG Part 1, for further information on other relationships that might influence Count in providing financial advice services, we will also disclose any associations or conflicts in the Statement of Advice that we prepare for you.

Fees

These fees should be considered a guide only. We will discuss your individual needs and agree our fees with you before we provide advice. The actual agreed fees will depend on the complexity of your circumstances, goals and needs and the scope of advice we provide. Please note that fees may be higher than those outlined here if mutually agreed upon. The indicative fees we charge are set out below:

Advice Preparation and Implementation fees:	The fee for the preparation and implementation of our advice is calculated as follows. <ul style="list-style-type: none">○ Our minimum fee is \$4,400○ Our maximum fee is \$20,000
Supplementary Service Fees:	For supplementary services, such as the provision of general research material or the completion of administrative tasks, our fee will be calculated on a time basis of \$250 per hour.
Ongoing & Fixed Term Service Fees:	These are the fees you pay when you agree to receive our ongoing or fixed term advice. Our services will be agreed with you in a Client Service Arrangement. <ul style="list-style-type: none">○ Our minimum fee is \$3,860○ Our maximum fee is \$20,000
Non-advised Transaction Fees:	If we assist you on an execution only basis (ie where you have been offered and declined advice), a fee of \$250 an hour will be applicable.

Note: All fees are inclusive of GST.

Our contact details

Kenneth Grimes

Phone: 03 5470 5111

Samuel Grimes

Mobile: 0493 755 971

Website: kwg.com.au

Email: wealth@kwg.com.au

Office Address: 278 Barker Street, CASTLEMAINE VIC 3450

Our Privacy Collection Statement

We collect personal information about you (and, if applicable, anyone acting on your behalf) to help us provide financial services that are suited to your needs, to manage our relationship with you, and to meet our legal obligations under the Privacy Act 1988 and the Corporations Act 2001.

This statement forms part of our broader Privacy Policy, and together they make up our formal notice under Australian Privacy Principle 5.

Why we collect your information

We need certain information to understand your financial situation and provide appropriate advice or services. The specific information we collect will depend on who you are and the nature of the services you need.

If you choose not to share some details, or if the information is incomplete or inaccurate, it may limit our ability to provide advice or services to you, or we may not be able to proceed at all. It could also mean that the advice you receive is less tailored to your situation. In some cases, we may need to end our relationship if we cannot properly meet your needs.

Who we may share your information with

To deliver our services, we may need to share your information with:

- Product and platform providers
- External service providers (e.g. paraplanners, IT providers)
- Other professionals you've authorised us to work with (e.g. your accountant or tax adviser)

KW Grimes & Associates may engage the services of external services providers both here and overseas who supply administrative, financial or other services to assist us to provide financial and services to you.

- SuperCentral providing SMSF administrative services. This provider is located India.

Sharing information overseas

Some service providers we use may be located overseas or have operations outside Australia. Your personal information might be stored or accessed in these countries. We take reasonable steps to make sure your information is protected and handled in line with the Australian Privacy Act.

For more information about which countries your information may be sent to, please refer to Count's [Privacy Policy](#) or contact us directly. If you do not wish for your information to be transferred overseas, please let us know.

Accessing or correcting your information

If you think any of the details that we hold are incorrect or out of date, please contact us to correct this. You can ask to access or correct your personal information at any time by contacting us.

A copy of our Privacy Policy is on Count's website www.count.au. We can also send you a copy if you contact us.

ADVISER PROFILE

About Kenneth William Grimes

The Authorised Representative number for Kenneth Grimes is 232 284 and their details are available on the [Financial Advisers Register](#).

What experience does the adviser have?

Ken has over 40 years of experience in the provision of financial and accounting advice and over 15 years' experience in the provision of financial planning advice. Ken attained Bachelor of Business from Footscray Institute of Technology in July 1984 and a Diploma of Financial Services (Financial Planning) from Finsia in February 2006. He is a Member of the Institute of Chartered Accountants.

Ken is authorised to provide aged care and self-managed superannuation funds advice.

What qualifications has the adviser completed?

Qualification Name
Bachelor of Business
Diploma of Financial Services (Financial Planning)

What products and services can the adviser provide?

Ken is authorised to provide the following products and services:

- Basic deposit products
- Life insurance
- Government debentures, stocks and bonds
- Managed investment schemes
- Retirement Savings Accounts
- Securities
- Superannuation
- Standard margin lending

What other associations and relationships does the adviser have?

He is a Member of the Institute of Chartered Accountants.

How will your financial adviser be paid for the services provided?

All fees and commissions disclosed in the FSG which are attributed to the services provided to you by your adviser are paid to Count who will pay up to 100% of those fees and commissions to KW Grimes & Associates, may pass on up to 100% of those fees and commission to Kenneth Grimes.

Ken is a Director of KW Grimes & Associates and is remunerated through the payment of salary and bonus.

About Samuel Kenneth Grimes

The Authorised Representative number for Samuel Grimes is 384 424 and their details are available on the [Financial Advisers Register](#).

What experience does the adviser have?

Samuel has over 15 years of experience in the provision of financial and accounting advice and nine years' experience in the provision of financial planning advice. Samuel attained Bachelor of Commerce (Accounting) from LaTrobe University in July 2008 and obtained a Diploma of Financial Services (Financial Planning) from Kaplan in September 2010. He is a member of CPA Australia. Samuel is authorised to provide self-managed superannuation fund advice.

What qualifications has the adviser completed?

Qualification Name
Bachelor of Commerce (Accounting)
Diploma of Financial Services (Financial Planning)

What products and services can the adviser provide?

Samuel is authorised to provide the following products and services:

- Basic deposit products
- Life insurance
- Government debentures, stocks and bonds
- Managed investment schemes
- Retirement Savings Accounts
- Securities
- Superannuation
- Standard margin lending

What other associations and relationships does the adviser have?

He is a Member of CPA Australia.

How will your financial adviser be paid for the services provided?

All fees and commissions disclosed in the FSG which are attributed to the services provided to you by your adviser are paid to Count who will pay up to 100% of those fees and commissions to KW Grimes & Associates, may pass on up to 100% of those fees and commission to Samuel Grimes.

Samuel is an employee of KW Grimes & Associates and is remunerated through the payment of salary and bonus.