Form ADV Part 2B – Brochure Supplement

for

Keith A. Rhodus
Founder and Chief Compliance Officer

This Form ADV 2B ("Brochure Supplement") provides information about the background and qualifications of Keith A. Rhodus (CRD# **4885604**) in addition to the information contained in the Clare Market Investments, LLC ("Clare Market" or the "Advisor") (CRD # 217515) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Clare Market Disclosure Brochure or this Brochure Supplement, please contact us at (866) 659-1019 or by email at info@claremarket.com.

Additional information about Mr. Rhodus is available on the SEC's Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Keith A. Rhodus, born in 1977, is dedicated to advising Clients of Clare Market in his role as the Founder and Chief Compliance Officer. Mr. Rhodus earned a Master of Science from The London School of Economics in 2002 and a Master of Arts from the University of Southern California in 2001. Mr. Rhodus also earned a Bachelor of Arts from the University of Southern California in 1998. Additional information regarding Mr. Rhodus's employment history is included below.

Employment History:

Founder and Chief Compliance Officer, Clare Market Investments, LLC	04/2015 to Present
Financial Advisor, Girard Securities, Inc.	03/2012 to 09/2015
Investment Advisor Representative, Girard Securities, Inc.	03/2012 to 09/2015
Wealth Advisor, Neuberger Berman LLC	09/2010 to 03/2012
Private Wealth Advisor, Merrill Lynch, Pierce, Fenner & Smith	09/2006 to 09/2010

Item 3 – Disciplinary Information

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Rhodus.*** However, we do encourage you to independently view the background of Mr. Rhodus on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 4885604.

Item 4 – Other Business Activities

Mr. Rhodus is dedicated to the investment advisory activities of Clare Market's Clients. Mr. Rhodus does not have any other business activities.

Item 5 – Additional Compensation

Mr. Rhodus is dedicated to the investment advisory activities of Clare Market's Clients. Mr. Rhodus does not receive any additional forms of compensation.

Item 6 – Supervision

Mr. Rhodus serves as the Founder and Chief Compliance Officer of Clare Market. Mr. Rhodus can be reached at (866) 659-1019. Clare Market has implemented a Code of Ethics and internal compliance that guide each Supervised Person in meeting their fiduciary obligations to Clients of Clare Market. Further, Clare Market is subject to regulatory oversight by various agencies. These agencies require registration by Clare Market and its Supervised Persons. As a registered entity, Clare Market is subject to examinations by regulators, which may be announced or unannounced. Clare Market is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

Form ADV Part 2B – Brochure Supplement

for

Deborah A. Good, CFP®
Certified Financial Planner™

This Form ADV 2B ("Brochure Supplement") provides information about the background and qualifications of Deborah A. Good (CRD# **5776821**) in addition to the information contained in the Clare Market Investments, LLC ("Clare Market" or the "Advisor") (CRD # 217515) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Clare Market Disclosure Brochure or this Brochure Supplement, please contact us at (866) 659-1019 or by email at info@claremarket.com.

Additional information about Ms. Good is available on the SEC's Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Deborah A. Good is a Certified Financial Planner™ with Clare Market. Ms. Good, born in 1962, is dedicated to advising Clients of Clare Market. Ms. Good earned a Bachelor of Arts in Business Administration and Economics from Austin College in 1984. Additional information regarding Ms. Good's employment history is included below.

Employment History:

Certified Financial Planner™, Clare Market Investments, LLC	12/2014 to Present
Financial Planner, Robertson Griege & Thoele	03/2011 to 12/2013
Advisor, Capital Ideas, Inc.	06/2010 to 03/2011
Paralegal (Case Manager), Akin Gump Strauss Hauer & Feld	10/1985 to 03/2011

Certified Financial Planner™ ("CFP®")

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP® (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP® Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- *Education* – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP® Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- *Examination* – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- *Experience* – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- *Ethics* – Agree to be bound by CFP® Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- *Continuing Education* – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and

- *Ethics* – Renew an agreement to be bound by the *Standards of Professional Conduct.* The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP® Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3 – Disciplinary Information

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***There are no legal, civil or disciplinary events to disclose regarding Ms. Good.*** However, we do encourage you to independently view the background of Ms. Good on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with her full name or her Individual CRD# 5776821.

Item 4 – Other Business Activities

Deborah Good is the owner of **DAG Ventures LLC**, a business located in Weatherford, Texas, engaged in the breeding of American Quarter Horses, including the production of reining prospects with a focus on pedigree, conformation, and temperament.

This business is separate and unrelated to her advisory activities with **Clare Market Investments, LLC**. No advisory clients are referred to this business, no compensation is received in connection with advisory services, and no Clare Market resources are used in connection with this activity. Deborah's time commitment to this business does not interfere with her responsibilities to advisory clients.

Item 5 – Additional Compensation

Ms. Good does not receive any additional compensation related to advisory services. Compensation received from outside business activities is unrelated to her advisory role and does not involve advisory clients.

Item 6 – Supervision

Ms. Good serves as a Certified Financial Planner™ with Clare Market and is supervised by Keith Rhodus, the Chief Compliance Officer. Mr. Rhodus can be reached at (866) 659-1019. Clare Market has implemented a Code of Ethics and internal compliance that guide each Supervised Person in meeting their fiduciary obligations to Clients of Clare Market. Further, Clare Market is subject to regulatory oversight by various agencies. These agencies require registration by Clare Market and its Supervised Persons. As a registered entity, Clare Market is subject to examinations by regulators, which may be announced or unannounced. Clare Market is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

Form ADV Part 2B – Brochure Supplement

for

Priya N. Intwala
Investment Adviser Representative

This Form ADV 2B ("Brochure Supplement") provides information about the background and qualifications of Priya N. Intwala (CRD# **5701810**) in addition to the information contained in the Clare Market Investments, LLC ("Clare Market" or the "Advisor") (CRD # 217515) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Clare Market Disclosure Brochure or this Brochure Supplement, please contact us at (866) 659-1019 or by email at info@claremarket.com.

Additional information about Ms. Intwala is available on the SEC's Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Priya N. Intwala is an Investment Adviser Representative with Clare Market. Ms. Intwala, born in 1982, is dedicated to advising Clients of Clare Market. Ms. Intwala earned a Master of Business Administration General/Finance from Johnson & Wales University in 2015. Ms. Intwala also earned a Bachelor of Commerce Finance from Ryerson University in 2007. Additional information regarding Ms. Intwala's employment history is included below.

Employment History:

Investment Adviser Representative, Clare Market Investments, LLC	09/2018 to Present
Registered Client Service Associate, Oppenheimer & Co. Inc	08/2015 to 09/2018
Branch Operations Manager, Oppenheimer & Co. Inc	07/2009 to 08/2015
Homemaker	08/2008 to 07/2009

Item 3 – Disciplinary Information

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Ms. Intwala.*** However, we do encourage you to independently view the background of Ms. Intwala on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with her full name or her Individual CRD# 5701810.

Item 4 – Other Business Activities

Ms. Intwala is dedicated to the investment advisory activities of Clare Market's Clients. Ms. Intwala does not have any other business activities.

Item 5 – Additional Compensation

Ms. Intwala is dedicated to the investment advisory activities of Clare Market's Clients. Ms. Intwala does not receive any additional forms of compensation.

Item 6 – Supervision

Ms. Intwala serves as an Investment Adviser Representative with Clare Market and is supervised by Keith Rhodus, the Chief Compliance Officer. Mr. Rhodus can be reached at (866) 659-1019. Clare Market has implemented a Code of Ethics and internal compliance that guide each Supervised Person in meeting their fiduciary obligations to Clients of Clare Market. Further, Clare Market is subject to regulatory oversight by various agencies. These agencies require registration by Clare Market and its Supervised Persons. As a registered entity, Clare Market is subject to examinations by regulators, which may be announced or unannounced. Clare Market is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

Form ADV Part 2B – Brochure Supplement

for

Alan Lee Haworth
Investment Adviser Representative

This Form ADV 2B ("Brochure Supplement") provides information about the background and qualifications of Alan Lee Haworth (CRD# **3086559**) in addition to the information contained in the Clare Market Investments, LLC ("Clare Market" or the "Advisor") (CRD # 217515) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Clare Market Disclosure Brochure or this Brochure Supplement, please contact us at (866) 659-1019 or by email at info@claremarket.com.

Additional information about Mr. Haworth is available on the SEC's Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Alan Lee Haworth is an Investment Adviser Representative with Clare Market. Mr. Haworth, born in 1973, is dedicated to advising Clients of Clare Market. Mr. Haworth earned a Bachelor's degree in History & English Literature from Southwestern University in 1995. Additional information regarding Mr. Haworth's employment history is included below.

Employment History:

Investment Adviser Representative, Clare Market Investments, LLC	09/2018 to Present
Financial Advisor, Oppenheimer & Co. Inc	01/2011 to 09/2018
Senior Director Investments, Merrill Lynch	06/2006 to 01/2011

Item 3 – Disciplinary Information

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Haworth.*** However, we do encourage you to independently view the background of Mr. Haworth on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 3086559.

Item 4 – Other Business Activities

Alan Haworth is the owner of a retail record store, Dead Wax Records, which buys and sells music records and related media. This business is separate and unrelated to his advisory activities with Clare Market Investments, LLC.

No advisory clients are referred to this business, no compensation is received in connection with advisory services, and no Clare Market resources are used in connection with this activity. Alan's time commitment to this business does not interfere with his responsibilities to advisory clients.

Item 5 – Additional Compensation

Mr. Haworth does not receive any additional compensation related to advisory services. Compensation received from outside business activities is unrelated to his advisory role and does not involve advisory clients.

Item 6 – Supervision

Mr. Haworth serves as an Investment Adviser Representative with Clare Market and is supervised by Keith Rhodus, the Chief Compliance Officer. Mr. Rhodus can be reached at (866) 659-1019. Clare Market has implemented a Code of Ethics and internal compliance that guide each Supervised Person in meeting their fiduciary obligations to Clients of Clare Market. Further, Clare Market is subject to regulatory oversight by various agencies. These agencies require registration by Clare Market and its Supervised Persons. As a registered entity, Clare Market is subject to examinations by regulators, which may be announced or unannounced. Clare Market is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.