

## **Legal and Regulatory Notices**

### **Marketing Disclosure**

Connaught (U.S.) LLC, Connaught (UK) Limited, Connaught (EU) B.V. (“Connaught”) and/or any other affiliate is engaged by its clients to market their funds. Connaught is not an advisory client or fund investor of its clients’ funds, although its employees may themselves invest in client funds. For providing its services, Connaught is entitled to cash compensation generally based on the amount of capital commitments to the client’s fund, with such compensation paid by the manager rather than the client’s fund. A client may, however, bear certain out-of-pocket expenses related to Connaught’s engagement. As a result, Connaught has a material incentive and conflicts of interest in soliciting investors to commit capital to their clients’ funds.

**Connaught (UK) Limited** is authorised and regulated by the Financial Conduct Authority. Registered in England and Wales with company number 09369134 and VAT number 206 9905 93. Registered Office: 6th Floor, 130 Jermyn Street, London, England, SW1Y 4UR. Securities offered through Connaught (U.S.) LLC, member of FINRA.

**Connaught (U.S.) LLC** is registered with the Securities & Exchange Commission and is a member of FINRA and SIPC. Office address: 38 E. 19th Street, 8th Floor, New York, NY 10003.

**Connaught (EU) B.V.** (besloten vennootschap met beperkte aansprakelijkheid) is licensed and regulated by the Dutch Authority for the Financial Markets (AFM). The company is registered in the Netherlands under registration number 89623886 and has its registered office at Keizersgracht 555, 2nd Floor, Amsterdam, 1017 DR, Netherlands

### **Notice to Canadian Investors**

Connaught (UK) Limited (“Connaught”) is required by applicable securities law in Canada to notify you as follows. Connaught is not registered as a dealer in Canada. Connaught provides its services in Canada as an exempt international dealer. Connaught’s head office is located at 2 Soho Place, London, W1D 3BG. All or substantially all of Connaught’s assets may be situated outside of Canada. As a result, there may be difficulty enforcing legal rights against Connaught. We have appointed agents for service of process in Canada for your jurisdiction.

Please see the below for the list of our agents and their addresses.

### **Agents for Service of Process**

#### **Alberta**

Blake, Cassels & Graydon LLP  
855 - 2<sup>nd</sup> Street S.W.  
Suite 3500, Bankers Hall East Tower  
Calgary, AB T2P 4J8

**Ontario**

Blakes Extra-Provincial Services Inc,  
199 Bay Street, Suite 4000  
Commerce Court West  
Toronto, ON M5L 1A9

**Québec**

Services Blakes, Québec Inc  
1 Place Ville Marie, Suite 3000  
Montreal, QC H3B 4N8