



## Local Investing Opportunities Network of East Jefferson County, WA

### Business Opportunity Submission Form

Thank you for submitting your Business Opportunity to the Local Investing Opportunities Network (LION) of East Jefferson County, Washington. LION creates opportunities for local businesses, nonprofits, and citizens to network, build prosperous local businesses, keep investing money in our community, and help build a more resilient and sustainable economy in East Jefferson County. We look forward to working with you. Please note that we only consider **local** business submissions, where local is defined by our group as East Jefferson County, Washington.

LION does not participate in business opportunities as a group. Rather, LION provides the opportunity for individual LION members and business owners to meet and develop relationships that may or may not result in loans or investments. We encourage you to familiarize yourself with requirements of the Securities Exchange Commission (SEC), explained in brief with the LION Disclosure and Legal Disclaimer section later in this form. There you will also find a link to comprehensive SEC guidance.

This form is the first step in introducing you and your opportunity to our membership. The intent is to help members get to know you and learn about your business. Once our members have met you through this form, members will then contact you, at their own discretion, should they be interested in learning more about this opportunity.

Please complete this form and return it to the LION Business Opportunity Contact. Do not hesitate to contact them with any questions about LION or the Business Opportunity submission process. If you would like to have a conversation via phone, you may request one via email or mail.

LION Business Opportunity Contact Email: [lion.opportunity@L2020.org](mailto:lion.opportunity@L2020.org)

Mailing Address:

EDC Team Jefferson  
Attn: LION Business Opportunity  
385 Benedict Street, Suite 2A  
Port Townsend, WA 98368

**Personal Contact Information:**

Name of Submitter: \_\_\_\_\_

Name of Co-Submitter: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

\_\_\_\_\_

Contact Telephone(s): \_\_\_\_\_

Evening Telephone: \_\_\_\_\_

Mobile Phone: \_\_\_\_\_

Submitter Email Address: \_\_\_\_\_

Co-Submitter Email Address: \_\_\_\_\_

**Business Contact Information:** (If Applicable)

Business Name: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Telephone: \_\_\_\_\_

Email Address: \_\_\_\_\_

**Business Opportunity Information:** (Attach files if more space is needed.)

Name of your Business Opportunity (few words):

Please share a brief personal background. This could include your personal history, business experience, personal ties to this community, and/or the values that matter to you.

*Attach a separate file if your response needs more than about 2,600 characters (roughly 430 words).*

Please give a brief description and history of your business. This can include what your business does, who it serves, what needs it meets, and what benefits it provides to the community. Please do not include financial information or requests for funding.

*Attach a separate file if your response needs more than about 2,600 characters (roughly 430 words).*

Please give a brief description of your Business Opportunity. What are you trying to accomplish with your business? What are the challenges and risks? What kind of support do you need to make this happen? Please do not include financial information or requests for funding.

*Attach a separate file if your response needs more than about 2,600 characters (roughly 430 words).*

**Advisers & References:**

Please list the professional adviser(s) you consulted with on this Business Opportunity:

**Adviser #1** Name and/or Business: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Telephone: \_\_\_\_\_

Email Address: \_\_\_\_\_

**Adviser #2** Name and/or Business: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Telephone: \_\_\_\_\_

Email Address: \_\_\_\_\_

Please list at least two local references:

**Name #1:** \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Telephone: \_\_\_\_\_

Email Address: \_\_\_\_\_

**Name #2:** \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Telephone: \_\_\_\_\_

Email Address: \_\_\_\_\_

**Presentation:**

If there is sufficient interest among the members, are you open to presenting your Business Opportunity to LION members in person or through an online meeting?

Yes

No

If Yes, please list anything we should consider to consider when planning your presentation. Include if there are constraints on time of day, day of the week, blocks of time when you might not be available and whether or not you will need a projector.

*Attach a separate file if you need more than about 300 characters (about 50 words).*

**Confidentiality:**

To what extent is this Business Opportunity known publicly?

*Attach a separate file if you need more than about 300 characters (about 50 words).*

Please describe any plans you have to discuss this Business Opportunity to others outside LION.

*Attach a separate file if you need more than about 300 characters (about 50 words).*

**LION Referral & Relationships:**

How did you hear about LION?

*Attach a separate file if you need more than about 300 characters (about 50 words).*

## **LION DISCLOSURE and LEGAL DISCLAIMER:**

Loans or investments from individual LION members are considered by federal and state regulators to be “securities.” Regulations state that private securities offerings made by businesses should be made only to individuals with whom you have established a “significant pre-existing relationship,” but there is no written description of what this really means. This Business Opportunity submission form is intended to introduce you and your business to LION members. It is up to you to decide whether you have established a pre-existing relationship that is “significant” with a LION member before discussing specific investment opportunities. We are not aware of any problems that local businesses have incurred using our forms and process in the past. The regulations may require that you file a simple form and pay a nominal filing fee (perhaps \$50) before offering a private investment opportunity. We do not ask if local businesses have filed with securities regulators since that is their responsibility. The Securities Division of the Washington State Department for Financial Institutions website has information that may be relevant. [Raising Small Business Capital through a Securities Offering \(wa.gov\)](#) 360-902-8760 (*link and phone number accurate as of 10/5/2021*)

LION offers no legal, investment, or financial advice, nor does LION itself solicit, offer, or recommend any business opportunities. LION provides basic forms for the purpose of providing information about local businesses to its members, but LION does not represent these forms to be anything more than general identifying information to facilitate personal introduction. Any actual investment information and documentation required for any particular investment or financing transaction is the sole responsibility of the individuals, businesses, or other organizations directly involved, and of any appropriate professionals deemed necessary to effect such transactions. LION does not participate in any negotiations or solicitations for investment transactions, nor does it participate in the investment transactions themselves. LION exists solely to facilitate networking between local business owners and managers and interested LION members.

The fact that LION may facilitate submissions and/or distributions of information from individuals, businesses, and other organizations should not be construed as any sort of endorsement or approval of such submissions by LION or its members. LION does not examine or otherwise consider submissions beyond simply receiving them, checking them for basic identifying information, and forwarding them to the LION members. LION distributes Business Opportunity submissions to its members according to instructions received by the submitting individuals and/or entities, and is made without qualification of any kind by LION itself.

**LION's activities shall not constitute an offer to sell, or the solicitation of an offer to buy, securities or any other investment or financing opportunity, document, or vehicle.**

**In making an investment or financing decision, investors or financiers must rely on their own examination of the issuer or maker, and the terms of the offering or other transaction or transaction documentation, including the merits and risks involved.**

**Prospective investors or financiers should not construe LION as providing legal, tax or financial advice. Each prospective investor or financier should consult his or her own professional advisors as to the legal, tax, financial or other matters relevant to the suitability of any investment or financing transaction or transaction documentation for such investor or financier.**

**Your Agreement:**

1. I hereby give the Local Investing Opportunities Network (“LION”) permission to circulate this business opportunity among LION members.
2. I acknowledge that the confidentiality of submitted documents is not guaranteed, even if requested; and
3. I acknowledge, understand, and agree to the terms of the LION Legal Disclaimer (above). I hereby release LION and its members from liability to the fullest extent of the law.

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Submitter Signature

*Checking the box below your printed name substitutes for signing here.*

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Submitter Printed Name

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Co-Submitter Signature

*Checking the box below your printed name substitutes for signing here.*

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Co-Submitter Printed Name

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Date