# Weis Journal SPRING 2000

new york annual meeting July 6 - 9, 2000

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#### **About NAWL**

Founded in 1899, NAWL is a professional association of attorneys, judges, law students and nonlawyers serving the educational, legal and practical interests of the organized bar and women worldwide. Women Lawyers Journal®, National Association of Women Lawyers®, NAWL®, and the NAWL seal are registered trademarks. ©2000 National Association of Women Lawyers. All rights reserved.

#### How to contact NAWL

By mail: 750 N. Lake Shore Drive 12.4, Chicago, IL 60611-4497; by telephone: (312) 988-6186; by fax: (312) 988-6281; by e-mail: nawl@staff.abanet.org.

#### **About Women Lawyers Journal**

EDITORIAL POLICY Women Lawyers Journal is published for NAWL members as a forum for the exchange of ideas and information. Views expressed in articles are those of the authors and do not necessarily reflect NAWL policies or official positions. Publication of an opinion is not an endorsement by NAWL.

ARTICLES Book reviews or articles about current legal issues of general interest to women lawyers are accepted and may be edited based on the judgment of the editor. Editional decisions are based upon potential interest to readers, timeliness, goals and objectives of the association and quality of writing. Unsolicited articles by nonmembers will not be published. No material can be returned unless accompanies by a self-addressed, stamped envelope.

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# New York Annual Meeting

Mark your calendar and plan now to meet in New York. Program Chair and Incoming President Gail Sasnett and NAWL members Christa Stewart and Donna Case have produced a brilliant slate of legal luminaries and notable topics for this very special Centennial Annual Meeting. The following hits the highlights. Check NAWL's web site for updates: http://www.abanet.org/nawl.



Paula Walker Madison

As the Vice President and News Director of WNBC, Ms. Madison is the first African American woman to hold the position in the New York media market. As Vice President and chair of the company's Diversity Council, she works to increase diversity in the newsroom. She has been awarded the Ellis Island Medal of Honor and the Ida B. Wells Award from the National Association of Black Journalists.

#### Elizabeth Schneider

Elizabeth Schneider teaches Women and the Law, Battered Women and the Law. Constitutional law and Civil Procedure at Brooklyn Law School and will be Visiting Professor at Columbia and Harvard Law Schools in 2000-2001. She was an Arthur Garfield Hays Civil Liberties Fellow in 1973 and a staff attorney at the Center for Constitutional Rights in New York City. She is on the executive committee of AALS and chairs its Section on Women in Legal Education. Professor Schneider's latest book. Battered Women and Feminist Lawmaking, will be published in 2001.

Beyond
the Glass Ceiling
for Women
and Other Minorities

Hilton New York ABA MCLE Centre Madison Suite, 2nd Floor 9:30 - 12:00 PM

#### **PANELISTS:**

Georgina Verdugo, Executive Director of Americans for a Fair Chance

Judge Sonia Sotomayor, U.S. Court of Appeals, Second Circuit

Bernadette Grey, Editorial Director, Working Woman Network

Paula Walker Madison, News Director and Head of Diversity Task Force at WNBC

Professor Elizabeth Schneider, Brooklyn Law School



#### **Bernadette Grey**

Prior to becoming Editorial Director, Ms. Grey served as Editorin-Chief of Working Woman magazine, the number one business magazine written for women executives, with over 3.4 million readers. Ms. Grey is a respected authority on women in business, technology and entrepreneurship. She is also the host of Working Woman, a syndicated daily radio segment for CBS Radio.

#### Georgina Verdugo

A leading civil rights advocate,
Ms. Verdugo is Executive Director of
the AFC, a nonpartisan consortium
of six of the nation's foremost legal
civil rights organizations: NAACP
Legal Defense and Educational
Fund, Lawyers' Committee for Civil
Rights Under Law, the Mexican
American Legal Defense and
Educational Fund the National Asian
Pacific American Legal Consortium,
National Partnership for Women and
Families and the National Women's
Law Center.

#### Privacy and the Internet

Hilton New York
ABA MCLE Centre
Madison Suite, 2nd Floor
July 7
2:00 - 4:30 PM



**Parry Aftab** 

Ms. Aftab is a specialist in cyberlaw, especially children's internet issues, author and Executive Director of Cyberangels.org.



Sonia Sotomayor

Judge Sonia Sotomayor exemplifies the outstanding contributions of women in the legal profession to the advancement of women and justice to minorities.

She became a circuit judge on the Court of Appeals in 1998 after serving as a U.S. District Judge for the Southern District of New York. She is also an adjunct professor at new York University School of Law.

Prior to her judicial career, Judge sotomayor served on the Board of Directors of the State of New york Mortgage Agency, the New York City Campaign Finance Board and the Puerto Rican Legal Defense and Education Fund.

Judge Sotomayor continues as a member of the Puerto Rican Bar Association, the Hispanic National Bar Association and the Association of Judges of Hispanic Heritage.



**Eliot Spitzer** 

Nawl is privileged to welcome New York State Attorney General Eliot Spitzer as the keynote speaker for our panel Privacy and the Internet.

As a former Assostant District Attorney in Manhattan, Mr. Spitzer rose to head the Labor Racketeering Unit where he prosecuted organized crime and political corruption. As New York State Attoney General, he is an aggressive public interest lawyer and tireless community advocate. Mr. Spitzer founded the Center for the Community Interest and served as a trustee of the Montefiore Medical Center and the Mosholu Preservation Corporation.

#### Lynne Anne Anderson

Ms. Anderson is an employment law expert, including employer internet security issues, anti-discrimination and sexual harassment disputes.

Arabella Babb Mansfield
Award Luncheon
Honoring
Judge Sonia Sotomayor
&
Installation of
NAWL Officers

Saturday July 8 11:30 - 2:30 PM Warwick Hotel

#### PANELISTS:

Caitlin Halligan,

Internet Bureau Chief, New York State Attorney General

> Parry Aftab, Aftab & Savitt, P.C.

Gerry A. Fifer, Morgan, Lewis & Bockius

Lynne Anne Anderson,

Sills, Cummis, Zuckerman, Radin, Tischman, Epstein & Gross



Gerry A. Fifer

Ms. Fifer's practice focuses on intellectual property with particular emphasis on internet and electronic information technology issues.

## Reception to Welcome incoming NAWL President Gail Sasnett

Saturday July 8 5:00 - 6:30 PM Warwick Hotel

**Gail Sasnett** 



Associate Dean for Student Affairs University of Florida Law School

#### NETWORKING DIRECTORY 2ND EDITION

The National Directory of Women-Owned Law Firms and Women Lawyers®

National Directory of Women-Owned Law Firms and Women Lawyers® has been a resounding success. NAWL has distributed the Directory to Fortune 500 companies and small companies alike

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nies and small companies alike throughout the country. Hundreds of women lawyers and law firms marketed their legal services to numerous companies, including:

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At the annual conference of American Corporate Counsel Association, NAWL also distributed the inaugural edition to

- 750 in-house counsel;
- over 200 law professors (85% of whom are in-house counsel who also attend the conference);
- over 500 sponsors, academics, guest speakers, judges,

representatives of local and national leadership; and

 VIPs from other national bar associations (e.g., the NBA, NAPABA, HNBA, and the Pro Bono Institute).

NAWL has also provided complimentary copies of the Directory to bar associations throughout the country.

Now that we have published our inaugural edition, NAWL has even bigger plans for the second edition. NAWL intends to distribute the second edition of the Directory more widely this year and to include more women lawyers and women-owned law firms.

If you listed last year, you should have already received your confirmation for this year's edition. If you didn't list last year, don't miss this opportunity. If you haven't already signed up for the Directory, now is the time to do so. Just access NAWL's Web site at www.abanet.org/nawl. We look forward to seeing your name in this year's Directory!

NAWL would like to thank the following firms for sponsoring the 2000-2001 Second Edition of **The National Directory of Women-Owned Law Firms and Women Lawyers**®.

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# NAWL Joins Supreme Court Challenge to Anti-Abortion Laws

In conjunction with 75 organizations committed to women's rights, NAWL has joined as amicus in a case now pending before the United States Supreme Court. In addition, 80 members of Congress and the U.S. Solicitor General signed onto a pro-choice Congressional brief. All of the organizations are dedicated to the principle that our right to control our bodies, especially reproductive rights, are central to women's liberty and equality.

The case now pending before the Supreme Court, Stenberg v. Carhart, addresses the constitutionality of Nebraska's law banning socalled "partial-birth abortions." In 1999, the United States Court of Appeals for the Eighth Circuit unanimously struck down the law as unconstitutional. The Eighth Circuit ruled that the law unduly burdens women who seek abortions by proscribing some of the safest and most commonly-used procedures. That victory was shortlived, however, because the United States Court of Appeals for the Seventh Circuit just a month later upheld Illinois and Wisconsin laws that imposed similar restrictions.

In addition, 30 states have enacted legislation banning "partial-birth abortion" or other abortion procedures. To date, these laws are being challenged in 21 states. In 18 states, courts have partially or fully enjoined the laws and in 13 of those, the courts have permanently enjoined the laws. States with such laws remaining unchallenged are: Indiana,

Kansas, Mississippi, North Dakota, Oklahoma, South Carolina, South Dakota, Tennessee and Utah (Michigan and Montana have similar abortion laws not yet in effect).

In Stenberg v. Carhart, the Center for Reproductive Law and Policy represents Dr. Carhart, an abortion provider challenging the constitutionality of the Nebraska law. According to the CRLP, the partial-birth abortion laws represent a calculated attack by politicians and anti-choice advocates on the rights and protections established under Roe.

CRLP lawyers say that opponents of arbitration rights are pursuing a three-pronged strategy. They intend to undermine access to abortion and thus and make abortions practically unobtainable even if constitutional. They also intend to destroy the central tenet of Roe, which places women's health above that of the fetus. Finally, they have been engaged in a broad political, legal and public relations campaign to redefine what constitutes an abortion. . . . [endorsing] the legal theory that Roe applies only to the 'unborn' in uterus, nowhere else in a woman's body.

NAWL's amicus brief argues that:

- The Nebraska abortion procedure ban criminalizes the safest and most common second-trimester abortion procedures and seriously jeopardizes women's health and liberty by forcing women to choose alternative methods of abortion that expose them to unnecessary medical risks.
- The impact of the Nebraska

- procedure ban will be greatest on those women who seek second-trimester procedures due to unusual and special burdens such rape, domestic violence, poverty, health problems or youth.
- The Nebraska statute and similar statutes violate women's privacy rights and violate the Fourteenth Amendment's promise of equality. Unwanted pregnancies and childrearing burden the participation of women as equals in society by imposing a duty on women that has no parallel for men.
- Criminalizing a safe medical procedure that only women require, at the cost of an increased risk to their health, subordinates women's health to fetal interests, a sacrifice nowhere exacted from men. Requiring that sacrifice offends women's dignity because it leads to severe incursions on women's freedom.
- Furthermore, and perhaps most dangerously, the Nebraska law at issue in Stenberg advances premise that nonviable aborted fetuses accrue constituprotectible tionally "locational rights." "locational" fetal rights theory fragments women into certain body parts that are imbued with rights and certain others that the state may invade to serve its interests.

Sarah Weddington, the attorney who argued Roe v. Wade before the Supreme Court, hopes that the Court will not use Stenberg v. Carhart to drive a stake through the heart of Roe v. Wade, but instead limits its scope of review to the Nebraska statute's effect on pre-viability abortions:

"My understanding is [that] the Court granted certiorari only on the issue of how the Nebraska statute affects pre-viability abortions. I find that somewhat hopeful, because the Court has made it very clear that as to abortions after viability, the State can limit them, but must allow abortion even then for the life or health of the woman. These statutes do not have the health exception.

The statutes are very much like the

magazine sweepstakes ads, where if you just see the envelope you think you've just won [millions] and you just need to go to Florida and pick it up. But if you read it more carefully that's not at all what's it's saying. I think the reason these statutes are so confusing to the general population is that you have the impression that they apply to one kind of very late abortion, but in fact the statutes are worded so broadly that they apply to a good bit of the pregnancy months and to many abortion procedures, including the most common. So I am hoping that the Supreme Court will not allow the states to go as far as Nebraska has gone.

If I look at the Supreme Court, what I see are votes that are three, three, three: three saying get rid of Roe v.

Wade, three saying leave it alone and three saying don't get rid of it but weaken it. And the three in the middle are Connor, Souter and Kennedy. Now, in the past, they are the ones who voted saying you can limit after viability but you must allow exceptions for life or health of the woman. So this may be a time when we can see whether they really meant that or whether they're ready to change it. I am very concerned about the Court hearing but just based on what I know, I do not see this as the case that will overturn Roe v. Wade. . . . . "

The Supreme Court should issue a decision in the case by June. Portions of this article were reprinted from CRLP materials. For more information go to www.CRLP.org.

#### **Member News**

Dorothy Orsini Jones of Louisville, Kentucky passed away on February 6, 2000 at the age of 82. For many years, Ms. Jones fulfilled numerous important roles at NAWL. She served as Business Manager and Editor of the Journal, as NAWL's Vice President and then as NAWL's President from 1962 to 1963. She received her license to practice law in Arkansas in 1938, became the Executive Director of the Arkansas Bar Association in 1961. Ms. Jones was

commended by her peers as having "quiet competence, tact and charm" and for devoting hundreds of hours as NAWL's Vice President as a Committee Chairperson.



Ruth Gentry Talley of Bogalusa, Louisiana died on December 29, 1999.

Ms. Talley joined NAWL in 1956 and served as NAWL's President from 1968 to1969. She was not always a lawyer, however. At her

father's urging, Ms. Talley began her career as a schoolteacher. After her father passed away, Ms. Talley enrolled in law school, and graduated in a class that included only three women. She then

> joined the family law firm that her father had founded in 1908, where she enjoyed a successful practice in real estate and estate law. Ms. Talley was a talented painter, ceramicist and collector of Asian art. Ms. Talley is remembered

as having been a generous mentor to many individuals over the years.

Although NAWL faced different issues during Ms.

Talley and Ms. Jones' tenures, NAWL has nevertheless maintained a persistent vision throughout the years.

During the 1950s and 1960s, when Ms. Jones was most active in NAWL, the interests of NAWL members, then as now, were strikingly international in scope. The Journal devoted pages to Communism, the cold war setting, the new space age and the growing concern with human rights under totalitarianism. The Journal included articles about the

courts and the law in Cuba, the Republic of China, Hong Kong, Thailand, Finland, Bermuda and the U.S.S.R.

In the mid to late 1960s and early 1970s, when Ruth Gentry Talley was most deeply involved with NAWL, our members addressed issues close to today's concerns including the sexual revolution, race and the schools, crime in the streets, violent vs. nonviolent methods of social change, abortion laws, civil rights and passage of the ERA.

## RECOGNIZING FINANCIAL CONTROL AS ABUSE

#### an Introduction for Practitioners

By Margaret B. Drew

The overwhelming majority of abusive partners exercise financial control in their intimate relationships. As with many symptoms of abuse, this sort of control is often culturally accepted. Changing our perspective on what now may be considered merely annoying behavior could save a client's life.

For example, you are interviewing a married couple to determine an appropriate estate plan. The husband answers questions that you pose to the wife. You may be frustrated in conducting your interview, but have you ever wondered whether or not the wife is a victim of violence?

You represent a woman in a tort claim but her partner controls settlement discussions. He insists that he be present when she receives her settlement check. Is the financial control symptomatic of other forms of abuse?

There are many reasons for you to explore these relationships further. By taking just a few minutes of your time, you could prevent a client's financial ruin or even save a client's life. You could be the first person to ever tell a victim that the abuser's behavior is inappropriate and that she deserves better.

You also have an ethical obligation to address financial abuse. When representing more than one client in the same matter, you have an affirmative obligation to determine whether or not a conflict exists. Most practitioners view couples (whether male/female or same sex) as one unit. Without personal knowledge of the relationship or separate interviews with each client, how can you determine whether or not a conflict exists? After all, in an abusive relationship, the victim is unlikely to contradict the wishes of the abuser in his presence.

This obligation is not restricted to lawyers who practice family law. Any lawyer can be charged with an obligation to understand domestic abuse. This very public issue is frequently addressed in the highest state court opinions. No longer can lawyers presume that domestic violence does impact their practice field. For assistance in addressing domestic violence issues in every field of law, read the ABA Commission on Domestic Violence publication The Impact of Domestic Violence on Your Legal Practice.

You should also be aware of potential professional liability for failing to detect an abusive relationship. We are not far away from verdicts against counsel who fail to protect those clients who evidence symptoms of abuse. Lawyers who cooperate with abusive tactics that continue to harass the victim through legal proceedings or otherwise may soon be defending themselves in lawsuits for tortious acts against the victim. Zealous advocacy has its boundaries.

One symptom does not make an

abuser. If you observe one controlling behavior, however, be alert for other indications. If you notice a pattern of control, you are likely dealing with domestic abuse. The following is a sampling of financial controls that may signal that your client is in an abusive relationship.

- One partner has access to the family financial records, while the other's access is limited. For example, one partner may keep records under lock and key or password protected.
- One partner has no idea how much money the other partner makes.
- One partner turns over her paycheck to the other.
- One partner must account to the other for the use of funds, no matter how insignificant a sum.
- A couple of substantial means rarely takes a vacation. More commonly, the abuser takes vacations or spends money on himself while the other family members stay home or spend very little.
- One partner spends money only after the approval of the other.
- One partner receives an "allowance" from the other partner.
- One partner signs income tax returns either blank or without reviewing the completed returns.

- In estate planning, one partner constantly defers to the other in decision making.
- Income tax fraud by either partner.
- Excessive hoarding or spending.
- Expensive gifts routinely purchased for friends and family of one partner while friends and family of the other rarely are given expensive gifts.
- One party exclusively selects or negotiates major purchases such as cars and houses.
- One party is said "not to have a head for figures."
- One party has access to funds for attorneys' fees and the other does not.

What can you do if you are concerned with a client's safety?

If you are representing both intimate partners, you should insist in all cases upon at least one separate meeting to determine whether or not any conflict exists. In those instances where you suspect abuse, use the meeting with the suspected victim to express your concern, provide safety planning and domestic violence hotline information. At a minimum, she would know that there is someone she could contact for help.

After the separate meetings, you must decide whether or not you can jointly represent the partners. You may need to consult a domestic violence expert. Be mindful that certain actions could inadvertently place a client at greater risk, however. In some situations, ethical considerations and safety concerns may not be compatible. For example, in a tax audit of a joint return, the wife may have a valid innocent spouse defense. It is a conflict to represent both parties in such a situation. What do you do if the wife insists that you continue joint representation out of fear for her safety if she attempts to retain separate counsel?

These situations are very difficult ones for practitioners. They

can no longer be avoided, however. Sensitivity to control issues and a willingness to take even minimal steps to address abuse concerns may not only ensure your client's safety but prevent years of regret and years of litigation over issues of lawyer responsibility.



Margaret B. Drew practices in the areas of family law, probate and residential real estate in Massachusetts.

#### **Member News**

Chicago NAWL Member Cheryl Cesario

On March 2, 2000, the John Marshall Law School awarded NAWL member Cheryl Cesario the Pearl Hart Award. Ms. Cesario, who serves as General Counsel of the Illinois Department of Children & Family Services, was one of several honorees at the event celebrating the centennial year of the "birth" of the juvenile court. She received the award in a presentation held after she conducted a seminar on Restorative Justice.

Six law schools in all joined in the celebration. Chicago Kent College of Law, DePaul, Loyola, Northwestern, John Marshall Law School, Loyola and the University of Chicago Law Schools jointly honored Ms. Cesario and the other "Juvenile Justice Pioneers." These schools did so consistent with their long history of supporting women lawyers. In 1890, for example, lawyer Lelia Robinson exclaimed "Chicago is altogether the banner city in the number of its women lawyers," explaining that

Chicago law schools were leading the country in the new practice of allowing women to pursue a course of legal study and conferring them with degrees. Many of these groundbreaking Chicago women lawyers used their legal expertise to found and perpetuate the Cook County Juvenile Court, the first such court in the country.

#### PROFESSIONAL DISCIPLINE OF ATTORNEYS FOR ENGAGING IN SEXUAL ACTIVITY WITH CLIENTS

#### Do we really need a new rule?

By: Ellen A. Pansky

n his recent article, Dealing With the Profession's Dirty Little Secret: A Proposal for Regulating Attorney-Client Sexual Relations, 13 Geo. J. Legal Ethics 131-160 (1990), William K. Shirey argues that an express disciplinary rule is necessary to discourage attorneys from engaging in improper sexual relations with clients. Professor Shirey points out that "potential coercive forces" that arise from the unequal balance of power in the attorneyclient relationship and that the fiduciary nature of the attorneyclient relationship results in unilateral authority residing in the attorney. He believes that there is the implicit possibility that a client will be emotionally vulnerable, particularly where the client discloses confidential information of a sensitive nature to their attorney. Professor Shirey also notes the possibility that the client may experience the psychological phenomenon known as transference. He further indicates that an intimate relationship may have a negative impact on the attorney's ability to carry out his/her professional responsibilities, including a loss of professional objectivity, and the development of a conflict of interest should such intimacy cause the attorneys to place his/her preference and personal desires over the best interests of the client. He opines that such a relationship may cause an adverse effect upon the "integrity of the legal profession." Finally, Professor Shirey expresses concern regard-

ing the lack of specific guidelines to educate attorneys as to the appropriate standards to be applied to attorney-client sexual relations.

Shirey concludes that a total ban on attorney-client sexual relations is not the best approach, not only because there may be numerous instances in which a consensual sexual relationship between attorney and client would not adversely affect representation, but also because such a ban is likely to be deemed unconstitutional (quoting with approval an article by Yael Levy, Attorneys, Clients and Sex: Conflicting Interests in the California Rule, 5 Geo. J. Legal Ethics 649, 668 (1992)). Shirey notes that the New York Code of Professional Responsibility, DR 1-102(A)(7) is much more limited:

"A lawyer or law firm shall not:

\* \* \*

In domestic relations matters, begin a sexual relationship with a client during the course of the lawyer's representation of the client."

In the end, Shirey proposes a rule that is similar to California Rule of Professional Conduct 3-120, which precludes sexual relationships between attorneys and clients where the attorney demands sex as a quid pro quo for the provision of legal services, where the attorney employs coercion, intimidation or undue influence in entering into a sexual relationship with a client, or if the sexual relationship causes the attorney to perform the legal services incompetently.

All this is well and good, but is there really any need for yet another disciplinary rule? Indeed, for young attorneys (or even not so young attorneys) who devote most of their waking hours to their profession, how many places other than work will one meet a likely social partner? Are women lawyers who wish to enter into sexual relationships with male clients (or female clients), actually in the same position of undue influence as male attorneys are presumed to be? And, how prevalent is the incidence of male lawyers engaging in sexual abuse of clients?

In fact, reported disciplinary case law does not reveal a substantial number of recent cases in which attorneys have been found to have engaged in improper sexual relationships with clients. While there are some egregious examples, most of them can only be described as despicable, sexually predatory criminal conduct, which would be improper no matter who undertook it. None of the reported cases involved a female lawyer.

As examples, consider the following reported cases:

1. In the Matter of Disciplinary Proceedings Against Ridgeway, 158 Wis.2d 452 (1990), in which a public defender initiated a sexual relationship with a female criminal defendant and encouraged her to violate the terms of her criminal probation. Six months

- actual suspension was imposed for the attorney's conduct in preferring his own interests over those of the client:
- 2. In re Complaint as to Conduct of Wolf, 312 Ore. 655 (1992), in which an attorney was placed on 18 months actual suspension for providing alcohol and having sexual relations with a 16-year old personal injury client:
- 3. In re Howard, 912 S.W.2d 61 (1995 Mis.), in which an attorney engaged in sexually assaultive conduct toward one client and threatened to withdraw from representation of a second client unless she provided either sex or paid \$850 within 48 hours. A sixmonth actual suspension was imposed for the attorney's conduct in preferring his own interests over the client's and violating the duty to provide independent judgment and advice;
- 4. In the Matter of Berg, 264 Kan. 254 (1998), in which the attorney engaged in sexual misconduct toward three separate family law clients, one of whom was 18 years old and another of whom was 22 years old, resulting in disbarment for violations of traditional ethics rules, including using knowledge of a client's vulnerability to gain sexual favors and acting in a manner prejudicial to the administration of justice.
- 5. In re Rinella, 175 Ill.2d 504 (1997), in which an attorney received a three-year suspension for coercing three clients into sexual activity including unwanted sexual contact, while representing the clients in family law matters.
- 6. Bourdon's Case, 132 N.H. 365

- (1989), in which an attorney was disbarred in a two-count disciplinary proceeding, one count of which involved sexual relations with a family law client, which the attorney should have known adversely affected his ability to engage in independent judgment on behalf of the client;
- 7. Otis' Case, 135 N.H. 612 (1992), in which an attorney was disbarred after engaging in a sexual assault against a client who had become his employee primarily for financial reasons, partly related to her dissolution of marriage case. After the publicity relating to this matter came forward. five additional female clients were identified who had also been the subject of unwanted sexual advances by Otis;
- 8. In the Matter of Wood, 265 Ind. 616 (1976), in which an attorney received a one-year actual suspension for having offered discounted legal fees to female clients, and the daughter of one of the clients, if he was permitted to take nude photographs of them. The attorney had sexual relations with one client in addition to taking nude pictures:
- 9. People v. Zeilinger, 814 P.2d 808 (1991), in which an attorney received a public censure for having engaged in a consensual sexual relationship with a family law client during the course of representation;
- 10. Drucker's Case, 133 N.H. 326 (1990), in which the attorney was suspended for two years for initiating a sexual relationship with an emotionally unstable marital dissolution client:
- 11. People v. Gibbons, 685 P.2d 168

- (1984 Col.), in which an attorney was disciplined for having engaged in a covert sexual relationship with one of multiple criminal co-defendants, where the female client had only a ninth grade education and, although she was not forced into the sexual relationship, "may not have been able to exercise free will";
- 12. Barbara A. v. John G., 145 Cal.App.3d 369 (1983), in which a client was permitted to maintain a traditional civil claim for deceit against an attorney who induced her into a sexual relationship on the false representation that he had had a vasectomy. The client later suffered an ectopic pregnancy.

As the above-referenced cases reflect, prosecuting agencies can effectively discipline attorneys who engage in improper sexual contact with clients, using traditional standards of fiduciary duty to the client, the duty of loyalty, the duty to avoid preference of the attorney's interests over those of the client, the duty to perform competent services, the duty to engage in professional and independent judgment, and so forth. This being the case, it is unclear what purpose will be served by adopting a new disciplinary rule. Indeed, the adoption of a new rule may suggest that the incidence of improper sexual relations between attorneys and clients is a serious and widespread problem that must be further addressed. Since neither the empirical nor anecdotal evidence supports the conclusion that sexual relation between attorneys and clients is widespread, ongoing or prevalent problem (two reported cases nationally within the past five years), do we really need to adopt a new disciplinary rule?

Regardless whether a new disci-

plinary rule is adopted, should attorneys become intimately involved with clients during the course of representation? answer is a resounding "no," for obvious reasons, including but not limited to the practical difficulties which are inevitable when a breakup occurs. However, many female attorneys meet potential social and romantic partners through work. If a female lawyer and a sophisticated, experienced male client agree to date, is the lawyer always taking advantage of the client? I do not believe a lawyer —male or female should automatically be deemed to have engaged in unethical conduct, purely because an attorney-client relationship then exists with the client when they form a personal relationship. Even if the relationship turns out poorly, the attorney may have provided perfectly competent, professional services to the client.

In my view, no rule is better than

an unfair rule, particularly where ample ethical restrictions already exist to regulate undue influence, lack of professional objectivity and incompetence. Therefore, as I have in the past, I will argue against a new disciplinary rule on the grounds that it is unnecessary, potentially unjust and because it sends a misleading message to the public that attorneys are unscrupulous (if not outright dangerous), and that the public needs to be protected from us.

Women lawyers should, at least, participate in the debate. It remains to be seen whether articles such as that written by Professor Shirey will prompt renewed efforts to adopt another disciplinary rule prohibiting sexual relationships between lawyer and client. Whatever one's position, I encourage women lawyers to make their views known when bar associations propose rules governing



Ellen A. Pansky practices in the areas of legal ethics and professional liability in Southern California. You may reach her at panskymarkle@earthlink.net.

#### **Member News**

Jo Ann Brighton made Partner Jo Ann Brighton is a new partner in the Bankruptcy Group at the Manchester, New Hampshire office of Nixon Peabody LLP. Ms. Brighton has written many articles for publication in the areas of debt restructuring, creditors' rights, lender liability and matters before the Bankruptcy Court. She is a frequent speaker at seminars sponsored by the

American Bankruptcy Institute and is on the committee to revise the local rules for the U.S. Bankruptcy Court.

### ABA Senior Lawyers Division Meets in Puerto Rico

by Selma Moidel Smith

he scene is San Juan, Puerto Rico. The time is November 1999. The occasion is the first visit of the ABA Senior Lawyers Division to this island Commonwealth.

In the accompanying photo, we

are attending the elegant "Welcome" dinner given by our host Hector Reichard, Jr., a member of the Division's governing Council and a former attorney general for the Commonwealth of Puerto Rico.

The following days were filled with committee meetings, Council meetings presided over by Division Chair Ed Kallgren, and visits to important sites on the island.

Shipped specially for arrival at the meeting was the latest issue of Experience, the Division's magazine. The cover story, "The UnCommon

Law of Puerto Rico," by Reichard, was illustrated by a photo of the Supreme Court of Puerto Rico. Happily, this included one woman, the Hon. Miriam Naveira de Rodón, who has served on the Court for the past 15 years.

A companion article presented four other leaders of the legal community which, in answer to my request, also included a woman, Superior Judge Rita Vélez-Borrás.

These women's careers offer us a glimpse into the lives of Puerto Rican women in the law, and the opportunities open to them today.

The Hon. Miriam Naveira de Rodón received a B.A. from Mount St. Vincent College in New York in 1956, J.D. from University of Puerto Rico Law School in 1960, LL.M. from Columbia University in 1969, fol-



Chief Justice Hon. José A. Andréu García, Selma Moidel Smith, Hon. Miriam Naveria de Rodón, and Judge Rita Vélez Borras [See corrected photo caption in next issue, 86:2 (Summer 2000), page 5.]

lowed by postgraduate studies at Leiden University, Holland, and a LL.D. from Georgetown University School of Law in 1990.

de Rodón served as a law clerk at the Supreme Court of Puerto Rico from 1963 to 1971. In 1966, she assumed the position of assistant attorney general, which she held until 1973, when she became assistant solicitor general. She was also in private practice from 1976 to 1985, and at the same was a professor at the Inter-American University School of Law. In 1985, de Rodón was appointed to the Supreme Court of

Puerto Rico. Since 1992, she has served as president of the Judicial Commission on Gender Bias. She is married and has two children.

Judge Rita Vélez-Borrás was educated in San Juan. In 1972, she

obtained her B.A. summa cum laude with a major in political science from the University of Puerto Rico in 1972. In 1976, she received her J.D. magna cum laude from the UPR Law School. Prior to her judicial appointment, Judge Velez-Borras was in private practice and also served as attorney general for Puerto Rico. In 1988, she was appointed to her current position of Superior Judge.

She has served as a professor of law at the UPR, Inter-American University, and Catholic University. In her work with the Institute of Judicial Studies, she has offered semi-

nars for judges and law clerks. She is also active in the training and orientation program for newly appointed judges. In the past she served as president of the Personnel Board of the Judicial Branch and president of the Judicial Appointments Commission of the Puerto Rico Bar Association. Judge Vélez-Borrás is married and has one daughter.

Selma Moidel Smith is NAWL Liaison to the ABA Senior Lawyers Division, where she is a Council member and Chair of Experience magazine Editorial Board.

#### NUMBER OF FEMALE OFFENDERS EXCEEDS 2.1 MILLION

By Linda D. Bernard

he "Sisterhood" is in crisis. For decades women have been perceived as the kinder, gentler of the species. But recent statistics regarding violent offenses committed by women call this precept into question.

According to the U.S. Department of Justice, Office of Justice Programs, there are nearly 2.1 million violent female offenders (or about 14% of all violent offenders annually). An estimated 28% of violent female offenders are juveniles, according to the 1998 data, the most recent available. In other words, about 1 out of 7 offenders described by victims was a female.

In 1998, 3.2 million women were arrested on about 22% of all arrests that year. The facts are illustrative:

- Three out of four violent female offenders commit simple assault;
- 2. Three out of four violent female offenders attack other women:
- 3. Two-thirds of these have a previous relationship with their victims;
- Forty percent of violent female offenders were thought to have been under the influence of drugs, alcohol or both;
- 5. Since 1990, the number of female defendants convicted of felonies in state courts has grown at more than twice the rate of increase in male defendants:
- 6. An additional 3% of violent

offenders were women who attacked males.

#### **Location of Offenses**

In nearly half the cases, women victimizers committed the offense at or near the victim's home or at school. The 13.1 million violent male offenders commit only one-third of their offenses at home or school.

#### **Female Prison Population**

In 1998, there were an estimated 950,000 women under the care, custody or control of federal, state or local correction departments. This represents slightly less than 1% of the U.S. female population of slightly more than 119 million (or 51.6% of the overall population). The total equals a rate of about one woman involved with the criminal justice system for every 109 adult woman in the U.S. The vast majority of them, however, were being paroled in the community. Interestingly enough, these women had 1.3 million minor children.

#### **Violent Crimes**

Violent crimes typically fall into four categories: sexual assault, robbery, aggravated assault and simple assault. Nearly three in four violent victimizations committed by female offenders were simple assaults; just over half the violence of male offenders is described as simple assault.

#### Race

More than one-half of female violent offenders were described by victims as white and just over one-third were described as black. About one in 10 were described as belonging to another race (Asian, Pacific Islander, Native Hawaiian, American Indian, Aleut or Eskimo). Black and white offenders accounted for nearly equal proportions of women committing robbery and aggravated assault; however, simple assault offenders were more likely to be described as white.

#### Murder

There is, however, an inverse racial disparity with respect to murder. Nearly six out of 10 female murderers are black.

Although violent offenses have declined overall, in 1998, the rate at which females commit murder was at its lowest level since 1976: 40% lower. The crime of murder clearly indicated the impact of the victim offender relationship. Of the 60,000 murders committed by women from 1976 to 1977, just over 60% were against an intimate or family member. Among the 400,000 murders committed by men over the same period, 20% were against family members or intimates!

#### Relatedness of the Parties

Perhaps the most striking difference set forth in the report was the "relatedness" of the parties victimized when comparing males and females. An estimated 62% of female violent offenders had a prior relationship with the victim as an intimate, relative or acquain-

tance. By contrast, about 26% of male violent offenders were estimated to have known the victim.

#### **Consequences of Violence**

The consequences of female violence were generally less serious for the victim, in terms of weapon use, injury and out-ofpocket losses. However, the largest out-of-pocket cost item for victims of female violence was medical expenses, averaging \$1,127 - nearly \$550 less than victims of male violence. Lost pay due to injury to victims of violence averaged \$311 and lost pay for court appearances and other reasons cost victims an average of \$513 when the offender was female - both of these were less than half the losses victims experienced when the offender was male.

#### **Economics and Health**

From an economic and health perspective, female prisoners generally had more difficult economic circumstances than their male counterparts prior to entering prison. About four in 10 women in state prison reported that they had been employed full time prior to

their arrest. By contrast, nearly six in 10 male inmates had been working full time prior to arrest. About 37% of women and 28% of men had incomes of less than \$600 per month prior to arrest. While just under 8% of male inmates were receiving welfare assistance prior to arrest, nearly 30% of female inmates had been receiving welfare assistance at the time just before the arrest which brought them to prison.

In 1997, an estimated 2,200 women serving time in state prisons were HIV-positive, about 3.5% of the female inmate population. An estimated 20,200 male inmates (2.2%) of the male population, was HIV-positive. The percentage of female inmates who were HIV-positive peaked at 4.2% in 1993.

#### **Physical and Sexual Abuse**

About 60% of female state prison inmates reported having experienced physical or sexual abuse prior to their incarceration – about one-third had been abused by an intimate and one-quarter by a family member. An estimated 80% of the women in state prisons were either recidivists or had a

current conviction for violence.

#### **Death Penalty**

At the end of 1997, there were 44 female inmates under death sentences or 1.3% of the total death-row population. Between January 1, 1977 and December 31, 1977, 431 men and one woman (in North Carolina) were executed in the U.S. In 1998, two women were executed, one in Florida and one in Texas.

Sisters, as the majority of the population (51.6%), we must unite to save women from the dastardly position in which the Bureau of Justice Report characterizes us. After all, if we provide a "cradle for the civilization," we can provide healing and support for our sisters who, largely due to poverty and abuse, are caught up in the criminal justice system.

The full report may be obtained from the Bureau of Justice Clearinghouse at 1-800-732-3277 or on the BJS internet site at http://www.ojp.usdoj.gov/bjs. ■

**Linda D. Bernard** is President & CEO, Wayne County Neighborhood Legal Services

#### TRENDS IN LAW SCHOOL AND BEYOND

As reported in Diversity and the Bar, the Magazine of the Minority Corporate Counsel Association, surveys by the National Association for Law Placement show:

- Law school enrollment of women rose from 33% to 44% between 1982 and 1997
- Women and minorities represented 63% of all law school graduates in 1997
- While fewer minorities are entering private practice, by 1997 the percentage hired at large law firms increased from 21.3% to 35.9%

# WAVE of the FUZUREN ATTORNEY CERTIFICATION

By Mary Jo Cusack

ttorney certification as the wave of the future? This is certainly not an outrageous idea. The body of knowledge we call "law" has grown too large for any one individual to be accomplished, or even competent, in all areas. The existence of de facto specialization is widely uncontested, our legal system is constantly growing in complexity, and the public continues to look for greater expertise. The fact that attorneys specialize in their practice of law is readily apparent with even a simple glance through the Yellow Pages. But how can one verify that an attorney advertising a particular specialty has the experience and knowledge necessary to insure truly qualified representation?

In the 1970's, a particularly tumultuous time for the American lawyer, more specifically the

Declining faith in our system of law stirred a variety of debates on how to maintain professionalism and rebuild the public's trust.

American trial lawyer, the public's declining faith in our system of law stirred a variety of debates on how to maintain professionalism and rebuild the public's trust. Conflicts arose over attorney advertising as both the disclosure of specialties and the use of superlatives were hotly contested. In 1973, then Chief Justice Warren Burger went so far as to comment that, "some system of certification for trial advo-

cates is an imperative and longoverdue step" and, furthermore, the absence of such a program, "has helped bring about the low status of American trial advocacy and a consequent diminution in the quality of our entire system of justice." Burger, The Special Skills of Advocacy; Are Specialized Training and Certification of Advocates Essential to our System of Justice?, 42 Fordham L. Review 227 (1973). How could the profession insure the consumer's free access to the names and abilities of possible representation while also insuring that false claims of quality would not corrupt the free flow of information?

Out of this tumult, Theodore I. Koskoff, a Senior Partner in the Bridgeport, Connecticut law firm Koskoff, Koskoff, and Bieder, endeavored to establish the first national certification board for trial attorneys. Creation of the National Board of Trial Advocacy would prove to contradict popular convention by acknowledging the necessity of an objective method by which to identify knowledgeable and experienced trial representation.

In 1976, while serving as President of the Roscoe Pound American Trial Lawyers Foundation, Theodore Koskoff convened the Foundation's Annual Chief **Iustice** Earl Warren Conference on Advocacy in the United States with the innovative "Trial Advocacy as a topic, Specialty." Acknowledging the significant skill and expertise required to successfully try a complex matter, the conference recommended that trial advocacy qualify as a specialty within the general practice of law.

Not content with merely clarifying the importance of designating trial specialists, in 1977 Theodore Koskoff established a non-profit organization, the National Board of

Conflicts arose over attorney advertising as both the disclosure of specialties and the use of superlatives were hotly contested.

Trial Advocacy, to maintain an objective set of standards for the designation of civil and criminal trial specialists. Theodore Koskoff organized a distinguished group of lawyers, judges, and educators to assist in the development of NBTA's standards for certification and to insure that the standards would identify an accurate representation of the skills required for trial representation. In 1980, NBTA certified its first group of trial specialists.

From the start, NBTA's certification procedures were recognized as exemplary. Among those to recognize the program for its efficacy in objectively classifying experience and expertise, the Task Force on Lawyer Competence of the Conference of Chief Justices reported that, "certification by the National Board of Trial Advocacy is an arduous process that employs a wide range of assessment methods..." Report with Findings and Recommendations the to Conference of Chief Justices, May 26, 1982 (Publication Number NCSC-021). The Supreme Court of Minnesota further recognized that "NBTA applies a rigorous and exacting set of standards and examinations on a national scale before certifying a lawyer as a trial specialist" in re Johnson, 341 N.W.2d 282, 283 (Min. 1983).

In June of 1990, in response to the Attorney Registration and Disciplinary Commission of Illinois, attempt to prevent an attorney from disclosing their hard-earned NBTA certified specialty designation, the Supreme Court of the United States declared that, "there is no dispute about the bona fides and the relevance of NBTA certification . . . Disclosure of information such as [NBTA certification] on petitioner's letterhead both serves the public interest and encourages the development and utilization of meritorious certification programs for attorneys" Peel v. Attorney Registration and Disciplinary Commission of Illinois, 110 S.Ct. 2281, (1990). The decision both affirmed that such certification is an objective measure of experience within the designated specialty and that to prohibit the disclosure of such certification is unconstitutional, violating First Amendment rights.

The Court's opinion in the Peel matter proved groundbreaking. Prior to the decision, states had two basic options concerning the disclosure of attorney certification designations: 1. states could (and many did) outright forbid the disclosure of certification designations under the broad umbrella of specialty bans (as per the ABA model rule prior to the 1992 revision), or 2. states would not regulate specialty designations, allowing a certified attorney to disclose their certification, but at the same time allowing any other attorney to claim a "pecialty" without any objective basis for such claim.

Following the Peel decision, in the later half of 1992, the ABA revised its model rule 7.4, regulating the disclosure of fields of practice, to comply with the holding that the states may not constitutionally impose a blanket prohibition on a lawyer's truthful communication that he or she is certified as a specialist by a bona fide organization. The rule, as revised, made provisions for states to authorize appropriate regulatory authorities to grant certification or, to recognize the validity of outside certifying agencies.

"States could (and many did) outright forbid the disclosure of certification designations . . ."

While the states originally responded to the Court's opinion in a variety of manners, the general trend toward attorney certification is evident in the continuing evolution of the original response. Prior to the Peel decision, several states had already recognized the necessity of certifying legal specialists by developing state run certification programs. In 1990, when the Supreme Court issued the Peel opinion, Arizona, Arkansas, Louisiana, New Jersey, New Mexico, North Carolina, South Carolina, Texas, and Utah each had on record a rule permitting a state run certification body. At that same history, Alabama, time in Connecticut, Georgia, and Minnesota were the only states that formally recognized NBTA's national certification program without the accompaniment of disclaimer language.

In 1993, the ABA delved further into issues of attorney certification by adopting a national process to accredit specialist certification programs. NBTA was among the first batch of organizations accred-

ited by the ABA and NBTA remains the first and only national certification provider for trial law. Since that time, twelve states have recognized these ABA accredited certifying agencies thereby permitting disclosure of NBTA's attorney certification programs. An additional 15 states recognize NBTA's certification through the establishment of their own accreditation bodies. Of those remaining states several, including Alaska, Iowa, and New Hampshire, are in the process of adopting rule changes to permit disclosure of certifications granted by ABA accredited agencies, and several more, including Arizona, Kansas, Michigan, and Nevada, are in various preliminary stages of investigation or re-evaluation of approval mechanisms for the recognition of attorney certification programs.

Today the National Board of Trial Advocacy membership consists of over 2,300 board certified civil, criminal, and family law trial advocates. NBTA is accredited by the American Bar Association, praised

"The general trend toward attorney certification is evident in the continuing evolution of the original response . . ."

by both the U.S. Supreme Court and the ABA as objective and necessary, and is sponsored by eleven national and international organizations attesting to the diversity of our membership.

The growing acceptance toward attorney certification within the legal profession is apparent in both the increasing number of states that recognize attorney certification and in the changing rules regarding disclosure of certified specialty designations. In this age of the world wide web and instant access to information across the

nation, NBTA's national standards remain step above and beyond state promulgated programs by providing uniform requirements.

In the 70's, when Theodore Koskoff created NBTA, the organization was ahead of its time in acknowledging the value of an objective trial specialty designation. On the cusp of the 21st century, NBTA continues to be a forerunner, maintaining universal standards across the nation, thereby insuring that a NBTA board cer-

tified attorney in Maine has met the same high standards of involvement in trial law as a board certified attorney in Alaska. NBTA, and in turn the concept of national attorney certification, continues to build momentum as NBTA's trial certifications in civil, criminal, and family law trial advocacy are proven time and again as effective and necessary to insure the professionalism of those attorneys proclaiming trial law as their specialty.



Mary Jo Cusack practices in Columbus, Ohio at Cotruvo & Cusack. She is a past President of NAWL and current President of the NBTA.

[NOTE: for correction of author name - to Jennifer Povill - see the next issue, 86:2 (Summer 2000), page 5.]

#### Member News

Helen Viney
Porter, 64, died in her
Northfield, Illinois
home on Friday May
12, 2000. Mrs. Porter
died mere hours after
her return from a
vacation in Wales
where she had contracted pneumonia.
Described as soft-spoken but strong-willed,

Mrs. Porter was a law professor, a past president of NAWL and the Women's Bar Association of Illinois and a member of the ABA Journal Board of Editors. A respected tax attorney, in 1961 she was the first female lawyer to work for the IRS anywhere outside Washington, D.C. According to the

Chicago Sun-Times, the former Helen Viney "was hired by the IRS, where her bosses first assumed she was a secretary because she was a woman, then said they didn't want a female attorney in their divisions." She went on to work for the EEOC's regional litigation center. Later

she switched to parttime private practice
after losing her 3-year
old son to a rare form
of meningitis and her
7-year old daughter
Alicia was diagnosed
with a brain tumor.
Mrs. Porter is survived
by her husband
Morgan Porter and
daughter Alicia Porter.

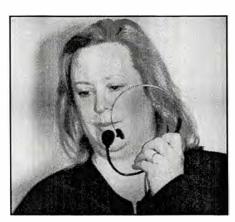
# Lonse B. R. The State of the S

**Louise Raggio**, aka the "Mother of Texas Family Law" and NAWL distinguished Lifetime of Service Award winner.

# Dallas



**Gerald Goldstein,** co-presenter with Cynthia Orr at their seminar on outstanding technology for legal practice.



**Cynthia Orr**, checks high-tech controls for "Shrinking the Globe with Technology" panel.



Charlye Farris receives Distinguished Lifetime Achievement Award from NAWL President Katherine Henry.



Trial attorney **Phyllis Randolph Frye** at NAWL's "Breaking Barriers" panel. Ms. Frye is also an adjunct professor at Thurgood Marshall Law School and Director of the Bar Association for Human Rights of Houston.

## HIGHLIGHTS



Elizabeth Bransdorfer and LEXIS representative Nigel Roberts



Stephanie Ertel, First General Counsel for Coca Cola in Texas, discussing communication differences between men and women at Glass Ceiling panel.

Award winner **Charyle Farris** chats with **Linda Bernard**, NAWL Treasurer-Elect and CEO of Wayne County Neighborhood Legal Services.





Gary Bledsoe, President of Texas NAACP at "Breaking Barriers" panel

### **LEXIS** Publishing

#### LEXIS'-NEXIS' - MARTINDALE-HUBBELL' MATTHEW BENDER' - MICHIE' - SHEPARD'S'

NAWL wishes to thank LEXIS Publishing for underwriting our Dallas Luncheon Honoring Charlye Farris and Louise Raggio. LEXIS offered its sponsorship as part of its Grant to Advance Bar Initiatives Promoted by Lawyers for One America.

Lawyers for One America is a collaboration of legal professionals and organizations formed following a "Call To Action" by President Clinton in July, 1999. At that time, the president asked the legal profession to address two areas of interest to minorities: increasing pro bono resources and use of the bar's legal skills to help minorities advance economically, and increasing the diversity of the legal profession at all levels.

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## TIPS FOR BECOMING SUCCESSFUL RAINMAKERS

by Dixie Lee Laswell

#### 1. Relationships

- Client development is based on relationships.
- It is important to establish one's professional reputation and to develop business relationships with people who have the potential of retaining lawyers.
- The better one's communication skills and her confidence in one's skills, the greater the likelihood of being called upon in the time of need.
- Broaden one's prospecting horizons when developing relationships; women lawyers should not exclusively prospect for female clients.
- Women in firms should directly approach to women inside corporate law departments for business.

#### 2. Ability to Advise and Help Clients

- Intently listen to prospects and clients
- Listen for details first, and wait to solve the problem later.
- Prospects want a forum to explain their concerns in addition to having their legal problems solved.
- If one tells one's client or prospect that she will receive a telephone call on a certain date, make sure to contact the client or prospect on that date to retain credibility.
- Competence is key to handling a legal problem effectively and efficiently.

#### 3. Integrity

- When dealing with judges, colleagues, and subordinates, distinguish oneself as someone with upstanding character.
- Conduct oneself in a manner that

- builds professional and personal credibility.
- A reputation of poor character will be a disadvantage and is difficult to lose.

#### 4. Networking

- Get involved in local bar associations and community organizations.
- Networking with female attorneys in other legal fields may be an excellent way to develop contacts and leads for new business.
- Be active in as many different social and professional circles as practical.
- Display one's legal skills through volunteer work or by serving on boards.
- Developing and maintaining an active social life may pay professional dividends, exposing one to potential clients and opportunities.
- Keep in touch with former schoolmates.
- Take business cards with you always.

#### 5. Marketing

- Engage in credibility marketing, including speaking and writing to obtain more visibility in one's area of concentration.
- After one speaks at a function, distribute a short hand-out covering important facts from the presentation.
- Direct marketing includes networking at meetings and visiting with clients.
- Look at clients who currently use the services one plans to market as guidance as to what kinds of companies might need such services in the future
- Be prepared to concisely describe the specific services one offers.

#### 6. Acknowledge Quality Is Better Than Ouantity

- Client development is based on relationships.
- The more meaningful each contact is, the more impact it will have on prospective or existing clients.
- Clients want attornevs who will work to solve problems on a cost-effective basis.
- Utilize business relationships of recognition, listening, and proper questioning techniques.
- In discussing options with the client, be pragmatic and consider practical as well as legal issues.

#### 7. Knowledge in a Specialized Area

- Each lawyer must determine the specific services for which she has the requisite experience and expertise to render efficiently deliverable and reliable advice.
- Having concentrated expertise enhances one's value to the client.
- Concentration increases the chance that potential clients and other lawyers will seek out one's services.
- Teach a course at a law school as a visiting professor in your area of expertise.

#### 8. Efforts in Team Marketing

- Team marketing efforts are extremely crucial, whether within a firm or with other professionals.
- Rainmaking entails multiple influencers.
- Each person who knows someone in a company should be strategizing with one another and approaching potential clients in an organized manner.
- Form an informal women's roundtable to discuss legal issues and as an opportunity to generate referrals.

#### 9. Rainmaking Is for the Long Term

• Creating a consistent flow of new business is not developed overnight and requires sustained and constant effort over time.

#### 10. Sales Training

- Through marketing and sales training, one will become a better rainmaker.
- Practice qualifying a potential client, gathering information, developing strategies, and making presentations.
- Recognize and overcome various objections to selection for handling a specific matter.

The best tip of all: JUST DO IT!

Ms. Laswell is a Partner in the Environmental, Safety and Health Practice Group of Seyfarth, Shaw, Fairweather & Geraldson and is located in its Chicago office. She gratefully thanks Jamie Markowitz, Second Year Law Student, IIT-Chicago Kent College of Law, for her assistance in the preparation of this article.



#### MARTHA BARNETT IN CHICAGO NAWL MEMBER AND ABA PRESIDENT-ELECT

By Lisa L. Smith

In August, Martha Barnett, a partner at Holland & Knight, will assume the Presidency of the ABA. She will not be the first Holland & Knight partner to lead the ABA, however. Her mentor, former ABA President Chesterfield Smith, served as the ABA President in 1973-74. Both Ms. Barnett and Mr. Smith have devoted time and energy to high-profile pro bono cases and causes with strong civil and human rights implications. Not surprisingly, Holland & Knight invests \$6 million a year on pro bono efforts.

Ms. Barnett and Mr. Smith both attended

the reception on May 18, held at the Chicago Historical Society to celebrate Holland & Knight's most recent merger. Never having met her, I snapped up the opportunity to talk to her for the Journal.

(The following comments are edited from interview transcripts.)

#### On Mentoring:

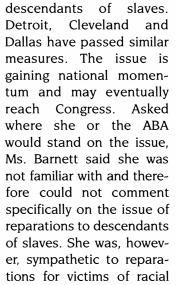
"The whole concept and idea of mentoring is important whether it's for young women or young men. It is important for the older gen-

eration to reach out and educate and train and provide opportunities to teach the young generation in our profession of the law, how to be good lawyers, what it means to be a good lawyer or professional to contribute to your community and to pass on institutional knowledge and traditions. So mentoring is very important.

Historically, young women have not been the beneficiaries of mentors as much as their counterparts. I personally had the world's greatest mentor — actually more than one in my professional career. I am absolutely certain that opportunities I have had in my law firm and in the American Bar Association have been a direct result of people who went before me who had enormous credibility in those institutions and could make a way for me. Oftentimes, not only did they open doors for me, but stood aside so I could walk through those doors and take advantage of the opportunities. It makes all the difference in the world"

#### On Reparations to African American Descendants of Slaves:

The Chicago City Council recently voted unanimously in favor of reparations to



violence and discrimination. Ms. Barnett was one of the Holland & Knight lawyers who worked on the Rosewood case and won compensation for descendants of the victims in Rosewood, Florida, an African American community where six people were murdered and the entire town was burned to the ground down by whites in 1923.

"I'm not aware that the American Bar Association has taken a position on reparations in general. I believe it has a position on reparations for Japanese Americans and was involved in that issue, but certainly if a member of the Association brought the



issue to the ABA House of Delegates, I think it would probably be debated and considered seriously and it's highly possible that the body would endorse some type of remedial action for victims of racial violence."

"Certainly the incidents of violence directed toward Jews during the Holocaust and our Japanese Americans during the war and our African Americans during a great deal of our country's history are all racially motivated and in my judgment some form of genocide.

"We all know there were many instances of racially motivated violence in this country that resulted in the loss of family, loss of property and loss of life . . . . [these are issues that] I think the legal system and perhaps the political system should address."

## On CEDAW (the Convention to Eliminate Discrimination Against Women):

"The ABA has urged Congress on more than one occasion to ratify CEDAW. We have within the last several months had meetings with congressional delegations. There are efforts ongoing now as a result of the 4th World Conference on Women that was held in Beijing, it's called Beijing Plus 5, to actually kind of do a report card on the progress that was made. As a result of the Beijing conference, CEDAW is clearly high on the agenda . . . and I will be representing the ABA in strongly endorsing ratification of that treaty."

Ms. Barnett's agenda as the next ABA President focuses on the problems of children and violence, the future of the rapidly-changing legal profession, a call to action on the death penalty and continued support of the ABA's current diversity initiative. Her focus on gender issues will include a conference of women leaders to discuss what they should be doing with the power that comes with their leadership roles.

#### ABA COUNCIL ON RACIAL & ETHNIC JUSTICE NEW YORK ANNUAL MEETING MCLE PROGRAM

SATURDAY, JULY 8, 2000 9:00AM - 11:00AM HILTON NEW YORK

#### THE NEXT GENERATION: "COLOR/RACIAL PROFILING" CONFRONTS TECHNOLOGY

This panel will address the issues raised by the Amadou Diallo case and similar "color/racial profiling" cases from a futuristic perspective. Panelists will conduct an examination of how technology might be utilized under the circumstances of "color/racial profiling." Their in-depth analysis will explore the impact technology has and will have on "racial/color profiling"; how the new technology might save the lives of police officers and innocent victims; and how the new technology fits into existing Fourth Amendment jurisprudence.

The panelists will consist of influential experts. Among the panelists will be:

Judge Nathaniel R. Jones of the 6th Circuit;
Kurt L. Schmoke, Chair of the Council; and
former Mayor of Baltimore, Maryland Prof. Samuel Walker
of University of Nebraska at Omaha;
Prof. David Harris of University of Toledo;
and a host of other professionals that are affiliated with the police departments,
prosecutors, law professors, technology experts and civil rights lawyers.

#### TECH PRACTICES

by Cheryl L. Conner

here are now computers on almost every desk, most with e-mail capability and many with Internet access. E-mail and the Internet are becoming mandatory tools for conducting business. Clients, customers, and suppliers expect to be able to use e-mail as a means of communication that marries the ease of a telephone call with the immediacy, brevity and permanency of a letter or fax. E-mail is also useful within an organization to communicate information in much less time than it takes to distribute a memo.

The Internet provides an easy source of information. For example, the Secretary of State websites for most states provide all of the necessary information to reserve a company name, register a trademark or servicemark, register as a corporation or limited liability business entity, or check the standing of an existing company registered in the state. The Internet provides a firm with an enormous amount of free research, such as the laws of foreign jurisdictions or full text versions of recent cases by District Courts, Circuit Courts of Appeal, specialty Federal Courts, and the United States Supreme Court. The Internet allows the business to publish its own website that provides details about the product or service available and contact information to reach the company. The website also acts as a general advertisement that puts the company name in front of potential clients and customers.

The problem for employers and employees is that technology has advanced more quickly than the laws that govern it. The employer is understandably fearful that employees will spend vast amounts of time silently chatting with friends or entertaining themselves on the Internet, all the while appearing to be working diligently. Constant e-mails may slow the system because they are often given priority over other functions that may be running on the network at the same time. Employees who run internet-based music or video streams

can cripple a network server. The employer may also be concerned about its own criminal and civil liability for what an employee says in his or her e-mail.

Employees feel that there is more to be done in a day than time to do it unless some personal business is conducted during business hours. Many expect to be able to communicate periodically with friends and family during those hours. What used to be a quick telephone call, is now a quick e-mail. What used to be a trip to the bank during the lunch hour is now a trip to the bank's website.

The employee may be unsure of the level of monitoring that actually takes place. According to MacWorld, in 1993, 301 businesses in various industries, with almost one million employees, were surveyed to determine the level of monitoring. Twentytwo percent of the firms searched employee computer files, voice mail, e-mail and other forms of network communication. In the companies with more than 1000 employees, 30% of employers engaged in such monitoring. Only 18% of the employers had written policies regarding employee electronic privacy. An estimated 20 million workers in the United States were subject to electronic computer monitoring.

In 1998 the American Management Association conducted a survey of 1,085 corporations and found the percentage of "intrusive" employee monitoring had grown to 40%. The AMA included in its definition of intrusive monitoring: checking e-mails, voicemails and telephone conversations, recording computer keystrokes, and video recording. The percentage of employers with written policies was not reported.

The employer's network administrator has access to all of the information on the network in order to properly maintain it. The administrator must allocate resources on the network server and fix any problems that arise. The server is the actual physical space in which information is stored. Users access the information using an "employee

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workstation;" the information is never physically present in the client computer unless it is downloaded. Even if the information is downloaded onto the workstation and deleted off of the network server, it is still accessible by the employer. The employer may have a backup copy of the information on its network that was made before the e-mail was deleted from the server.

Another method of access is the workstation itself. Computers are sometimes networked so that one computer can access the hard drive of another computer. Non-networked computers can be checked after hours or while the employee is away from the computer. An employer who knows where to look can find anything that was purposely saved to the hard drive, information that was deleted but has not been overwritten on the hard drive and cache files that are automatically stored on the computer that give details about the websites the user has accessed. Whether the employer monitors the employee from the server, from the back up drive or directly from the employee's computer, there is very little chance that the employee will know unless told.

Employers, attorneys and courts are searching for answers a way to balance the rights of employees and employers as they relate to e-mail and Internet access. As attorneys we are concerned about how to counsel our clients, as well as how to handle the issue within our own firms. At the present time there are few guidelines for employers, nor are there any real protections for employees. The following is the current state of the law in this area.

In the public sector, employees retain a certain amount of their First Amendment rights, but those right are limited. The Court in Waters v. Churchill, applied a balancing that weighs "the employer's interest in accomplishing its mission" against "the public employee's interest in speaking on matters of public concern. These protections are largely unhelpful because they do not apply to most employee communications and they only apply to government employees. Private sector employees can only be protected through legislation.

There is a constitutional right to privacy

in the workplace; the cases that have come under it have tended to favor the infringers. The landmark privacy cases are *Katz v. United States* (389 U.S. 347 (1967)) and *Smith v. Maryland* (442 U.S. 735 (1979)), in which the Supreme Court delineated the parameters of the privacy right. The Court looks at the "reasonable expectation of privacy and whether the person being monitored "knowingly exposed" the information.

Like the Freedom of Speech, the Right to Privacy is only a protection against State action. The right to privacy does not exist for private employees in the absence of a private right of action against private parties.

Congress tried numerous times in the early 1990's to pass the Privacy for Consumers and Workers Act ("PCWA"), which would, among other things, protect private workers any time they attempted to exercise their First Amendment rights and provide notice to employees that they were being monitored. The Senate bill was unsuccessful in the 101st, 102nd, and 103rd Congresses; the House failed to pass a similar bill in the 102nd and 103rd Congresses as well. Plans to reintroduce the bill have not been successful. There are two existing sources of federal law - the Electronic Commerce Privacy Act and the tort of invasion of privacy.

Congress passed the Electronic Commerce Privacy Act in 1986 ("EPCA"), as an amendment to the Federal Omnibus Crime Control and Safe Streets Act of 1968 ("the Federal Wiretapping Act"). The Wiretapping Act prohibited the interception of wire and oral communications, but it was not adequate to govern e-mail. The congressional Office of Technology Assessment ("OTA") found that revolutionary changes in telephone communications, as well as the introduction of e-mail, fell outside of the scope of the Federal Wiretapping Act because the new methods of communication are not "aurally acquired," thus making the old statute obsolete. The EPCA inserted electronic communications into those sections where the Federal Wiretapping Statute referred to wire and oral communications. Penalties are both civil and criminal.

The EPCA protects the right to exam

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employee e-mail in most cases. The employer, as the network provider, has the authority to review all non-voice messages stored on the network if it is necessary to protect the employer. The system may be configured to store a copy of all messages that pass through it, so immediate deletion by the recipient does not prevent review by the administrator. The Act does protect against public disclosure of the private communication. A written policy statement that specifies the necessity of monitoring will be proof of such motive should a controversy arise.

The plaintiff has the burden of showing that there was an interception. The burden then shifts to the employer to rebut the evidence or prove an affirmative defense. The two most common affirmative defenses are the prior consent of the employee and the ordinary course of business exception.

Consent may be implied under the Federal Wiretapping Act. In *Griggs-Ryan v.* Smith (904 F.2d 112 (1st Cir.1990)), the First

Circuit found implied consent when the person's behavior manifests acquiescence or a voluntary diminution of what would otherwise be protected rights. Consent may also be inferred from the surrounding circum-

stances indicating that the parties agreed to the surveillance. This is a lesser standard than the test set up in *Katz*, which based the existence of an invasion upon the reasonable expectations of the person whose privacy has allegedly been invaded.

The prior consent doctrine examines each dispute in a case-by-case basis, and so, has very little predictive value. Each case before the court will involve a factual dispute. The exception is in the case where the employer has obtained explicit consent through a written electronic monitoring policy, signed by the employee. This employer may very well succeed on summary judgment if the case progresses to that point — a very strong argument in favor of such written policies.

Communications related to the business of the employer may be intercepted under

the ordinary course of business exception. This exception is also called the "extension telephone exception" because most of the cases that have come before the courts relate to situations where the employer picked up another extension of the telephone and listened to the employee's telephone calls. The doctrine is equally applicable to employee e-mails. The purpose of private business networks is to allow employees to communicate with clients and other employees in the course of business. But, just as with telephone, it is also an easy way to communicate with the outside world while in the office. Courts have had difficulties applying the exception to the traditional phone situation and the advent of e-mail communication will not make the courts' job any easier when the email invasion cases begin to come before the court.

Some of the cases that have arisen under this exception point out the problems that are associated with it. One obvi-

ous problem is information that is gained that would be of interest to the business, but is not a business call. One example of such a case that has led to numerous lawsuits is when the employee makes a per-

employee makes a personal call in which he or she discusses looking for another job. In most cases, the business is angry and the employee is fired. Watkins v. L.M. Berry & Co. (704 F.2d 577 (11th Cir.1983)) was such a case.

The employee knew that personal calls were being monitored to the extent necessary to determine that they were personal. During lunch, the employee received a call and talked with that person about a job interview she recently had with another company. She was called into the supervisor's office and fired. The court held that, because the phone call was incoming, the company knew that it was not a sales call; that the call was made by a personal friend; and that the subject matter was personal. The company is only allowed to intercept a personal call to the extent necessary to determine that it is indeed a personal call.

The court also made it clear in dicta that when a call has a mix of business and personal communications, the employer is under a duty to stop monitoring when the conversation turns personal. The analysis would be no different has the communication taken place over e-mail. The only difference would be that the employer is reading rather than listening to the conversation.

The case is also important because it demonstrates that not everything that is important to the company can be defined as something to which the business has a legal interest. The fact that an employee is looking for other work is a prime example. The company may want to protect itself from an employee that is on the way out of the door or the company may actually want the opportunity to make a bid to keep a valued employee. The court held that the "ordinary course of business" exception does not entitle the business to listen to everything that is of interest to it.

The case also addressed what a reasonable amount of time would mean when the company is listening to detect whether it is a personal call. The court said that any time less than three minutes would seem appropriate. Here the difference between phone and e-mail communications is extremely important. The length of time that is reasonable to listen to a conversation is completely different than what would be reasonable when reading an e-mail. New definitions of reasonableness will have to be determined by the court.

The law surrounding this exception is uncertain and is likely to remain so. The courts have not been able to create clear and certain law for monitoring telephone calls; the addition of new issues will not make the task easier. The exception is also not as useful to the employer because it specifically

prevents the employer from monitoring personal communications. The business use exception should not be relied upon. Employers should get explicit consent.

The common law tort of invasion of privacy also offers some protection against all types of unreasonable intrusion on employee privacy. It also provides another reason for an open monitoring policy statement by the employer. If the employee continues to work after receiving notice in the invasions, the employer may successfully assert that the employee consented to the intrusion. If the employee receives notice of invasion, objects to the invasion and is fired as a result of the objection, the common law tort of invasion of privacy does not provide any relief for the employee because no invasion of privacy has actually occurred. There is no relief at all available for the employee if he or she is an "at will" worker.

The ability of the employer to move covertly through an employee's e-mail and computer files is the greatest deterrent to developing sound and enforceable policies for electronic privacy in the workplace. Employers must realize that a written policy is the only safe means of monitoring. First, it acts as an affirmative defense against actions under the Constitutional right of privacy, the EPCA, and the common law tort of privacy invasion. Second, it acts as a deterrent that prevents the some of the misuse that the employer expects to find through monitoring. Third, it opens a dialog with employee and gives the employer a chance to explain why some use of the network is harmful to the business. Employees are less likely to feel like that are not trusted when

they understand the reasoning behind the policy than when they hear through the office grapevine that management is reading their e-mail.

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Cheryl L. Conner is an attorney with Morris & Schneider in Atlanta. She was the 1998 NAWL Outstanding Law Student at the University of Richmond.

#### CREATING A LIFE YOU LOVE

Deciding What You Want and Creating a Plan are Key Steps

by Susan Ann Koenig

ith the start of new century, there is no better time to begin having the life you desire.

Often we recognize the need for change in our lives but are so overwhelmed by every day events that it is hard to find a moment to stop and think about how to create what we long to have.

We consider the need to work on our marketing plan to attract new corporate clients, but are so busy answering phone calls that it never happens. We envy the neighbor who tells about her recent month at the beach, while we struggle to find time for a dinner with a friend.

We can replace frustrations about the quality of our lives and envy of others with satisfaction about our choices and gratitude for all of the wonderful aspects of our lives. It starts with our thoughts.

#### **Desiring Change**

The first step is to discern your desires. Is it really important to you to increase your income by 20 percent next year? Do you truly want to take off Tuesday afternoons to volunteer at your child's school? Is your desire to take tennis lessons a burning one?

You must next recognize those pieces of your life that require acceptance rather than change. After all, do you really want to show off washboard abs in a two piece swimsuit? If you do, go for it. If not, put this vision in the "letting go" category and instead consider the steps needed to develop healthy nutrition habits.

Be courageous in your thoughts. After all, at this point you are not required to share your outrageous dreams with anyone. Would you love to be sitting in a big office with a view of the city lights, heading up a big litigation firm? Can you imagine just working 25 hours a week at the office and spending the remainder of your days painting? This is your dream. Be brave. Until you

commit in your mind to the changes you seek, you cannot move toward them. Start thinking today.

#### **Developing the Plan**

Having a plan is like having a map. You begin by identifying your destination and then choose the route you will take. Remember the excitement you felt perusing travel brochures for an upcoming trip? Experience those emotions as you plan your life.

While it can be wonderful to envision the ultimate destination, perhaps you only need to determine where you want to be at the end of this hour, day, week, or month. The same principles apply whether you are planning a dinner party, your first book, your garden, your trial strategy, or your retirement.

The practice of law is complex. Most of us have experience in planning our work on cases or advising clients. Whether it is the steps needed to complete a complex corporate transaction or those needed to try a contested custody case, we understand about time lines, deadlines, and the order of events.

Consider how you can apply these steps to creating the life you want. Ask yourself:

- What information will I need?
- What types of resources will be important?
- Who are the people I can ask to help me?
- What am I willing to give up in order to have what I truly desire?
- How can I address the barriers to achieving my goal?
- What amount of risk am I willing to sustain to have what I want?

As your analytical lawyer mind begins to take over, you rapidly see the necessary actions appear before you. Possibilities you had never imagined are revealed, and roadblocks you did not anticipate show themselves, too.

With each step you must return to the process of remembering the life you want to create, why it is important to you, and what route you must take to get there.

#### **Attaching Time Frames**

We know that if we have a trial date in six months that we cannot wait until the last month to send interrogatories. Any lawyer who has raced to file a brief on its due date knows the value of deadlines. They can be a great tool for meeting the deadline of having the life of your dreams. Similarly, in the plan of our lives we must look at the calendar and the clock to stay on track.

Remember what it was like planning for a vacation, a new home, or your first baby? While not without stress, it was filled with of wonderful expectation.

Enjoy that same feeling of expectation about your life as you set the agenda for your future. Recognizing that there is a purpose to the sacrifices you are making today can add meaning to your every day living.

Create the schedule for achieving your dream, and then review it regularly. Even if you are not "on schedule," feel the satisfaction of moving in the right direction.

#### Putting the Plan into Action While Remaining Flexible and Living Present Moments

We constantly strive for balance in our lives, and remembering the importance of it is critical when moving toward our goals.

Working 70-hour weeks to earn money to take your ill mother on a trip to the mountains will mean little if she dies before you make the time to pay her a short visit. If training to run a marathon leaves you with too little time to have coffee with your sweetheart, you may want to reexamine your priorities.

There will always be the unexpected. Death, serious illness, or other crises can mean our calendar of plans must shift. For most, there are few greater priorities than family and friends so we are more than willing to make adjustments to our personal plans during these times.

It is when we hear ourselves making excuses for our lack of action toward our goals, however, that it is time for reexamination. If week after week passes and we have taken no steps to put our plan in to action, it is important to revisit the question of what we really want, what we are willing to let go of, and what we will act on.

Commit to your life. Create your plan. This is your century. ■

Susan Ann Koenig is a lawyer in Omaha, Nebraska, where her general practice emphasizes family and juvenile issues. She is also an adjunct professor at Creighton University School of Law and at the University of Nebraska at Omaha School of Social Work.



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#### **ALABAMA**

Birmingham **Nina Miglionico** Miglionico & Rumore Ste 1230, Brown Marx Twr, 35203 205/323-8957 Ch, FL, W, Pro Lisa B. Singer

Smith & Elv 2000 A Southbridge Pkwy, Ste 405 35209, Li

#### Carol H. Stewart

Burr & Forman PO Box 830719 35283 205/458-5219 RE, Bu, T, Li

#### **Cary Tynes Wahlheim**

Burr & Forman PO Box 830719, 35283 205/458-5142 H. Li

#### **ARKANSAS**

Maumelle

**Karon Lynch Martin** PO Box 13617, 72113 501/851-1644 SS

#### **CALIFORNIA**

Altadena

#### Phyllis N. Harris

1215 Sunny Oaks Cir. 91001 626/798-9047 Em, La

Beverly Hills

#### Nina Marino

9454 Wilshire Blvd, Ste 500, 90212 310/553-5003 Ad, Cr De, WC

Long Beach

#### Patricia A. Bellasalma

Fletcher & Bellasalma 301 E. Ocean Blvd, Ste 1200, 90802 562/901-4930 Ci. Dis. Em. FL, Sex, T, Wom

Los Angeles

**Rochelle Browne** 333 S Hope St, 38th Fl, 90071

213/626-8484 LU, Ci, App. Cons. Li

#### Leslie A. Cohen

Goldberg Scott 1925 Century Pk E, Ste 2200 90067 310/557-9700 Bky, Li

#### Robbin L. Itkin

Wynne Spiegel Itkin 1901 Av of the Stars Ste 1600, 90067, 310/551-1015 Bky

#### **Dianna Gould-Saltman**

Ste 510 4727 Wilshire Blvd. 90010 323/939-8400

#### Rochelle B. Spandorf

Shapiro Rosenfeld & Close 2029 Century Park East, Ste 2600, 90067 310/975-7936 Co Fr, IP

Palo Alto

#### **Marjorie Goux**

Finnegan, Henderson, Farabow, Garrett & Dunner 700 Hansen Way, 94304. 650/849-6600 TM

Palos Verdes

#### Kathleen T. Schwallie

Chevalier Law Firm 18 Encanto Drive 90724 310/530-0582 Bu, Me

Sacramento

#### Virginia S. Mueller

106 L St, 95814 916/446-3063 FL, Pro

San Diego

#### Janice L. Sperow

Ruiz & Sperow 3177 A St, 92102 619/235-6684 Bu, CA, Ci, Com, Cons, Cont, De, Dis, Ed, Em, IP, Li, Sex, T

San Francisco

#### D. Jan Duffy

50 California St, Ste 1500, 94111

415/439-5202 EmLi

#### Justine S. Juson

Schacher Kristoff 505 Montgomery St 94111 415/391-3333 Em, La

#### **Jettie Pierce Selvig**

465 California St. Ste 718. 94104

415/981-0150 Pro, Wo

#### Rebecca A. Speer

465 California St, Ste 200, 94104

415/283-4888 PI, Pro, Wo

#### South Pasadena

#### Ellen A. Pansky Pansky & Markle

1114 Fremont Av. 91030 213/626-7300 AttMa, Disc,

Walnut Creek

#### R. Ann Fallon

Whiting Fallon & Ross 1500 Newell Ave., 5th Fl. 94596 925/296-6000

#### **COLORADO**

Denver

#### Jenny R. Mullennix

9085 E. Mississippi Ave. No. N102 80231-2076 303/695-5212

#### CONNECTICUT

Madison

#### **Rebecca Westerlund** 52 Old Toll Rd. 06443

203/421-0918 Cont

White Collar

Wrongful Death

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Wom

#### **DELAWARE**

Wilmington

Gretchen Ann Bender

Morris James Hitchens & Williams

P.O. Box 2306 19899 302/888-6806

#### **DISTRICT OF COLUMBIA**

Katherine J. Henry

Dickstein, Shapiro, Morin & Oshinsky LLP 2101 L St NW, 20037-1526 202/785-9700 App, WC, Li, DR, Ins

**Anne Kornbau** 

Browdy & Neimark 419 7th St NW, 20004 202/628-5197 IP

Camilla C. McKinney

Cooper & Associates PC 1050 17th St, Ste 400 20036

Corrine P. Parver

Dickstein, Shapiro, Morin & Oshinsky LLP 2101 L St NW, 20037-1526 202/775-4728 H

Diana M. Savit

Mendelsohn & Szymkowicz 1233 20th St NW, Ste 800, 20036 202/778-1238 Com, Em, Li

#### **FLORIDA**

Alachua

Kathleen C. Fox

PO Box 1930, 32616 904/462-5157 FL, PI, Sex

Boca Raton

Charlotte H. Danciu

370 W Camino Gardens Blvd, Ste 210, 33432 561/392-5445 Ch, Surrogacy

Ellen R. Itzler

Osborne & Osborne 798 S Fedl Hwy, Ste 100 PO Drawer 40, 33429 407/395-1000 Ba, Cont, Ld, RE Ft. Lauderdale

Kimberly A. Gilmour 15th Fl, 110 SE 6th St,

33301 954/760-4911 La, Em, GP

Mary Jo Meives

Ste 1010, 515 E Las Olas Blvd, 33301 305/554-5900 MeMa, PI

Jacksonville

Mary K. Phillips

Gentry & Phillips 6 East Bay St, Ste 400, P.O. Box 837, 32201 904/356-4100 MeN, MeMa, T. WD

Miami

**Jennifer Coberly** 

Zuckerman, Spaeder, Taylor & Evans 201 S Biscayne Blvd, Ste 900, 33131 305/579-0110 Com, Li

Karen A. Gievers

750 Courthouse Twr. 44 W Flagler St. 33130 305/374-0521

Orlando

Patricia A. Doherty

PO Box 568188, 32856 407/843-7060 MeN, Sex, N, Li, MeMa, PI, WD

**Plantation** 

Sonya L. Salkin

Malnik & Salkin, PA Ste 216, 1776 N Pine Island Rd, 33322

954/423-4469 Bky, Com, Ci, Li

Reddick

**Martha Johnston** 

11734 NW 90th Ave 32686

St. Petersburg

Ellen Neil Kalmbacher

Holland & Knight PO Box 3542, 33731 813/896-7171 Con, LU, RE, Tx Li, Env, RE

West Palm Beach

Victoria A. Calebrese

Lewis Kapner, PA 250 Australian Av So, 33401 561/655-3000 FL **GEORGIA** 

Atlanta

**Kristine Smith Cavin** 

Smith Ronick & Corbin LLC 750 Hammond Dr, Bldg II, 30328

404/256-9000 RE, GP

Nora M. Tocups

1100 Peachtree St, Ste 2800, 30309

404/815-6213 IP, P

**ILLINOIS** 

Chicago

Patricia A. Collins

Asher Gittler, Greenfield & D'Alba, Ltd 125 S Wacker Dr, Ste 1100, 60606 312/263-1500 DR, Dis, Em, La, Sex, Ci

**Susan Fox Gillis** 

Fischel & Kahn 190 S LaSalle St, Ste 2850, 60602

312/726-0440 Cont, N, Pr, T

Jean M. Golden

20 W Wacker, Ste 1040, 60606

312/444-2489 Ins

Lydia R.B. Kelley

McDermott Will & Emery 227 W. Monroe St. 60606 312/984-6470 Tx

Dixie Lee Laswell

Seyfarth Shaw Fairweather & Geraldson

55 E Monroe, Ste 4200 60603

312/269-8863 Env

Colleen McManus

Rudnick & Wolfe, 203 N. LaSalle St, Ste 1800, 60601 312/368-7027 Bky, Com Li

Debra L. Suchor

Associate General Counsel 222 S. Riverside Plaza, 6th Flr 60606 312/648-7714

Schaumburg

Margaret Basch

850 E. Higgins Rd. 60173 847/240-1199 **INDIANA** 

Valpairaso

Tina M. Bengs

Hoeppner, Wagner & Evans 103 E. Lincoln Way, 46384 219/464-4961 Li, De, Em, Wo

Karen M. Read

Hoeppner, Wagner & Evans 103 E. Lincoln Way, 46384 219/464-4961 Ins, De, PI, Pr, Wo

William F. Satterlee III

Hoeppner, Wagner & Evans 103 E. Lincoln Way, 46384 219/465-7005 Me, Li

**NORTH CAROLINA** 

Raleigh

Lynne E. Barber

PO Box 6450 27628

**IOWA** 

Des Moines

Lorelei Heisinger Brewick

400 Homestead Bldg 303 Locust, 50309 Leg 515/282-6803

**Roxanne Barton Conlin** 

300 Walnut St, #5, 50309 515/282-3329 PI, MeN, Dis, Disc, Li, N, Sex, T, WD

**KANSAS** 

Wichita

Amy J. Liebau

Hinkle Elkouri LLC 201 N Main Street, Ste 2000 62702

TaLi

**KENTUCKY** 

Louisville

Virginia Collins Burbank

Burbank & Collins 1st Trust Ct 600 N, 200 S 5th and Market St, 40202 502/585-5100

#### Maria A. Fernandez

Fernandez Friedman Grossman & Kohn 2400 National City Tower, 101 S. Fifth St., 40202 502/589-1001 W, Tx, Pro, Bu

#### **LOUISIANA**

New Orleans

Stefanie J. Allweiss

McCalla Thompson Pyburn Hymowitz & Shapiro 650 Poydras St, Ste 2800, 70130

504/524-2499 La, Em

Lynn M. Luker

Luker, Sibal & McMurtray 616 Girod St, Ste 200 70130 504/525-5500 Mar, T, Dis, CA

Megan Shemwell Nash

McCalla Thompson Pyburn Hymowitz & Shapiro 650 Poydras St, Ste 2800, 70130 504/524-2499 La, Em

#### MARYLAND

**Baltimore** 

**Jana Howard Carey** 

Venable, Baetjer & Howard 2 Hopkins Plz, 1800 Mercantile Bk Bldg, 21201 410/244-7636 La, Emp

Bethesda

**Carol Garfiel Freeman** 

6835 Tulip Hill 20816 202/354-3371 Cr, App

**Enid Veron** 

7028 Mountain Gate Dr, 20817

Fi

Rockville

**Jo Benson Fogel** 5900 Hubbard Dr, 20852 301/468-2288 FL

#### **MASSACHUSETTS**

Dedham

Faith F. Driscoll

14 Carlisle Rd, 02026 508/294-6165 IP

Norwood

Margaret B. Drew

477 Washington St, 02062 617/255-9595 Pro, W, FL,

#### **MICHIGAN**

Ann Arbor

Jean Ledwith King

277 E Liberty, 48104 313/662-1334 Ci, Cn, Dis, ED, Em, Fo, GP, PI, Sex, WC, WD

Detroit

Margaret A. Costello

Dykema Gossett 400 Renaissance Ctr, 48243 313/568-5306 Li. Int

Farmington Hills

**Nina Dodge Abrams** 

30300 Nrthwstrn Hwy, Ste 112 48334, 810/932-3540 FL

40334, 010/932-3340 FL

Grand Rapids

**Elizabeth Bransdorfer** 

Mika Meyers Beckett & Jones PLC 200 Ottawa Av NW, Ste 700, 49503

616/459-3200 Li

**Nancy Lynn Haynes** 

200 Ottawa Av NW, Ste 700, 49503

616/459-3200 Em, Li

Jennifer L. Jordan

Miller, Johnson, Snell & Cummiskey P.O. Box 306, 49501-0306

616/831-1778

Lansing

Janis L. Blough

3000 W Michigan Ave, 48917-2917 517/482-4815 Ch, FL, Cr

Lorraine H. Weber

Open Justice Consultant 306 Townsend 8933-2083 1-800-968-1442 Mt. Clemens

Jacqueline R. Wright

120 Market St, 48043 810/468-1090 FL, Co, Pro, W

Oak Park

Michelle L. Gullet

PO Box 37456 48237

248/544-0655 Cr, Juv, Tx

#### **MINNESOTA**

Minneapolis

Susan A. Miller

Tomsche Sonnesyn & Tomsche, PA 888 Lumber Exch. Bldg. 10 S. 5th Street 55402 612/338-4449

Heidi E. Viesturs

Robins Kaplan Miller & Ciresi, LLP 2800 LaSalle PI, 800 LaSalle Ave 55402 612/349-8500 Li, Tel

#### MISSISSIPPI

Biloxi

Clare S. Hornsby

Sekul, Hornsby, Tisdale & Baker PO Box 548, 39533 601/374-5566 Ch, FL, Gu, Pro, W

Jackson

Kristina Johnson

Watkins Ludlam Winter & Stennis, PO Box 427, 39205

601/949-4785 Bky, Li

#### **MISSOURI**

Kansas City

Jennifer Charno Nelson

Lathrop & Gage 2345 Grand Blvd, Ste 2500, 64108-2684

816/460-5820 Env

Teresa A. Woody

Spencer Fane et al 1000 Walnut St, Ste 1400, 64106

816/292-8107 Com, Env, At

**Rosetta Robins** 

Blackwell Sanders Peper Martin

2300 Main St, Ste 1000, 64108

816/983-8170 DR Em

#### **NEBRASKA**

Omaha

Susan Ann Koenig

319 S. 17th St, Ste 740, 65102

402/346-1132 FL, C, Wom

#### **NEVADA**

Las Vegas

Sandra Smagac

Alverson Taylor 7401 W Charleston Blvd, 89117

App, AttMA, De, Em, F, H, Li,

Lillian J. Sondgeroth

1509 S Eastern Av, 89104 702/382-2288 Pl, FL, W, CP,

Ch

#### **NEW HAMPSHIRE**

Manchester

Jo Ann Brighton

Nixon Peabody LLP

889 Elm St, 03101 603/628-4000 Bky, No

#### **NEW JERSEY**

Cherry Hill

**Stacy Alison Fols** 

Montgomery McCracken 457 Haddonfield Rd, Ste 600,

08002

609/488-7729 App, Li Collingswood

Miriam N. Jacobson

900 Haddon Av, Ste 412, 08108

609/858-7775 Re, Bu, W, Com (also Philadelphia, Pa.)

Haddonfield

Denise M. Keyser

Archer & Greiner

One Centennial Sq, 08033-0968

609/795-2121 La, Em, Sex

Highland Park

**Emily Arnow Alman** 

Alman & Michaels 611 S. Park Av. 08904 908/819-8444 FL, Ch, Ed, Dis, Sex

New Brunswick

Lynn F. Miller

Miller & Miller, PA 96 Paterson St, 08901 908/828-2234 Bky, FL, Cont, GP, Ent, RE, PI, N, Gu

Newark

**Lvnn Anne Anderson** 

Sills Cummis One Riverside Plaza 07102 973/643-5686 Em, La

Roseland

**Beth Hinsdale** 

Grotta, Glassman & Hoffman, 175 Livingston Ave. 07068 973/994-7523 Em, La

Geralyn G. Humphrey

Orloff, Lowenbach, Stifelman & Sieael 101 Eisenhower Pky 07068 973/622-6200 Bky, Cont Co, Com

#### **NEW YORK**

New York

Lauren S. Albert

1633 Broadway, 46th Floor 10019 Li, At

Leona Beane

Rm 1100, 11 Park Pl, 10007 212/608-0919 Gu, W, DR

Robert L. Geltzer

Tendler, Biggins & Geltzer 1556 3rd Av, Ste 505, 10022 212/410-0100 App, Ba, Bky, Bu, Ch, Co, Com, Cs, Cont, DR, GP, IP, Li, M, Me, N, PI, Pr, Pro, RE, T, W

Gloria S. Neuwirth

Davidson, Dawson & Clark 330 Madison Av, 35th Fl, 10017 212/557-7720 W, Tx, Gu

**NORTH CAROLINA** 

Raleigh

Lynn E. Barber P.O. Box 6450

OHIO

Columbus

Beatrice K. Sowald Ste 101, 400 S Fifth St, 43215

614/464-1877 FL. Pro

Elizabeth M. Stanton

Moots Cope & Stanton 3600 Olentangy River Rd. Bldg 501, 43214-3913 614/459-4140 Em, Ad, Dis

Hamilton

Barbara L. Morgenstern

Morgenstern & Gates Co. 604 First National Bank Bldg, 45011 513/893-6122 GP

Lancaster

Andrea G. Woods

Dagger, Johnston, Miller, Ogilvie & Hampson LLP PO Box 667, 43130-0667 Li, Em, Bu

#### <u>PENNSYLVANIA</u>

Bala Cynwyd

Nancy O'Mara Ezold 401 City Av. Ste 904, 19004 610/660-5585 Cont, Dis, Li,

N, PI, Sex, T, WC, WD

Norristown

Grace C. Kennedy

540 Swede St, 19401 610/279-8700 GP, Pro, W

Philadelphia

Jeanne Schubert **Barnum** 

Pelino & Lentz, PC One Liberty PI, 32nd FI, 19103 215/665-1540 Li

Doris J. Dabrowski 1308 Spruce St, 19107 215/790-1115 Ad, App. Cont, Em, FL, Pro, Cors, Li **Mary Alice Duffy** 

612 One E Penn Sq, 19107 215/568-2576 GP

Cecelia L. Fanelli

Duane, Morris & Heckscher 1 Liberty Pl. 19103 215/979-1126 Com

Miriam N. Jacobson

1528 Walnut St, 5th Fl, 19102 215/546-2400 RE, Bu, W, Com (also Collingswood, NJ)

**Leslie Anne Miller** 

McKissock & Hoffman, PC 1700 Market St, Ste 3000, 19103 215/246-2106 MeMa, Li, App

**Kathleen Mock** 

Mylotte David & Fitzpatrick, 1645 Market St, 9th Flr, 19103 215/751-9450 MeM

**Beatrice O'Donnell** 

Duane, Morris & Heckscher One Liberty PI, Ste 4200, 19103 215/979-1113 MeMa, T, Com. Ins. Pr

Victoria Page-Wooten

Pelino & Lentz One Liberty PI, 32nd FI, 19103 215/246-3151 Bu, RE, Com, Cont, Bd, Fi

Mary F. Platt

Montgomery McCracken Walker & Rhoads 123 S. Broad St, 19109 215/772-7280 Li. Env

Linda Richenderfer

Saul, Ewing, Remick & Saul 3800 Centre Sq W, 19102 215/972-7116 Li, WC, Env

Mary A. Scherf

123 S. Broad St, Ste 1710,19109-1098 215/790-9077 Ch, W, FL, EI

Elise E. Singer

Duane Morris Heckscher One Liberty PL 19103

Pittsburgh

Marlene J. Bernstein

1133 Penn Av, 5th FI, 15222 412/456-8105 Bkv

Wayne

Susan F. Dubow

Kalogredis Tsoules & Sweenev 995 Old Eagle School Rd, Ste 315, 19087 610/687-8314 H, Bu

#### **RHODE ISLAND**

Providence

Kimberly A. Simpson

Vetter & White 20 Washington Place 02903 401/421-3060

#### **SOUTH CAROLINA**

Columbia

**Zoe Sanders Nettles** 

Nelson, Mullins, Riley & Scarborough, LLP 1330 Lady Street, Third Floor 29211

Greenville

**Linda Byars McKenzie** PO Box 2547, 29602

Mt. Pleasant

Kathleen McMahon HareIston

864/271-2270 SS, Wo

The Harelston Law Firm 909 Tall Pine Road 29464 843/971-9453

#### **TENNESSEE**

Nashville

**Nancy Krider Corley** 

20th Flr., 1st American Center PO Box 198525, 37219 615/244-5432 Em. Ins. T.

Kathryn Reed Edge

Miller & Martin 424 Church, Ste 2325, 37219 615/244-3119 Ba, Co

**Marlene Eskind Moses** One Church St Bldg, Ste 500, 37201-1607 615/242-2521 Fl. Gu

#### **TEXAS**

Austin

**Amie Rodnick** Cox & Rodnick 507 W 7th St, 78701 512/477-2226 FL

Dallas

75202

App, DR, F

Jeanne M. Huey
Donohoe Jameson & Carroll
1201 Elm St, Ste3400,
75270
BuLi, EmLi, Co, DeLi, Ins
Retta A. Miller
Jackson Walker
901 Main St, Ste 6000,

214/953-6035 Li, Sec. Pr.

San Antonio Cynthia Eva Hujar Orr 310 S St. Mary's, Ste 2900, 78205 210/226-1463 Cr, App

#### **UTAH**

Salt Lake City
Patricia A. O'Rorke
6965 Union Park Center, Ste
450, 84047
801/569-3131 Bu, Em

#### **VIRGINIA**

McLean
William Thomas Welch
Barton Mountain & Tolle
1320 Old Chain Bridge Rd,
22101
703/448-1810 GovCont

#### WASHINGTON, D.C.

Washington, D.C.
Camilla C. McKinney
Cooper & Associates PC
1050 17th St, Ste 400
20036

#### **WISCONSIN**

Marinette
Dorothy Nelson Topel
PO Box 463
1851 Riverside Av, 54143
715/735-6633 Pro, RE

#### **WYOMING**

Powell
Jessica Loeper
P0 Box 1152, 82435
307/754-3900 Ch, GP, Cr,
FL, W, Bu, RE, PI

Riverton NettaBell Girard 513 E Main St, PO Box 687, 82501 307/856-9339 Bky, Bu, FL, W, RE

#### CANADA

Toronto
Lori Duffy
Weir & Foulds
1600 Exchange Tower, 130
King St West, M5X 1J5
416/947-5009 ComRE, W

#### **MEXICO**

Estela Rodriguez
Botello
Legarreta Y Asociados
Carrpicacho Ajusco 130-503
Col Jardines en la

Montana CP 14210



Don't miss NAWL's program at the London portion of the Annual Meeting. NAWL treasurer-elect Linda Bernard has assembled a panel of international analysts to look at an issue that is already transforming society and the law.

Government, Inc.?

A Comparison of Public Service Megatrends in the United States and Great Britain

10:00 am - 12:00 pm Church House Conference Center Harvey Goodwin Room

Panelists:

Patricia Ireland, President of NOW, the National Association of Women John Blundell, General Director of the Institute of Economic Affairs Henry Gibbon, Editor of Privatisation International magazine

Katherine Hagen, Deputy Director General of ILO, the International

Labour Organization

Linda Bernard, CEO of Wayne County Neighborhood Legal Services

Privatization is in vogue in Great Britain and the U.S.A. This seminar explores the argument that the private sector can provide public services more effectively than government. Panelists will address the ramifications of the issue and how this trend may affect us from community, trade union, private business, government and legal perspectives.

#### THE YEAR 2000 NAWL

#### OUTSTANDING LAW STUDENTS

hese women have been selected by their law schools I for the NAWL Outstanding Law Student Award as being the best and brightest. The criteria for the award is not merely academic excellence, but evidence of a personal commitment to improve the position of women in society and in the legal profession. They have earned the respect of their deans and inspired their peers by their motivation, tenacity and enthusiasm. NAWL is for women who want to change the world and for men who want to help them. By honoring their dedication to excellence, we encourage them to continue making a difference.

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Jennifer Corinis

**Boston University School of Law** 

Adrienne Toomey
University of California
School of Law, Berkeley

Rachel Glitz

University of California, Davis School of Law

Gina Bertolini
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Hastings College of Law

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Campbell University
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Susan Elizabeth Thompson Capital University Law School

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School of Law

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School of Law

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Seattle University School of Law

Patricia Shumaker
University of South Carolina
School of Law

Paula McInerney-Hall
University of South Dakota
School of Law

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Southern University Law Center

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University of Southern California
Law School

Michele Miller
Southern Illinois University
School of Law

Elizabeth Bayless
Southwestern University School
of Law

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College of Law
University of Tennessee-Knoxville

Gladys Osagiede
ood Marshall School of

Thurgood Marshall School of Law Texas Southern University

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Nancy B. Pridgen
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University of Missouri School of Law

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University of Washington
School of Law

Aphrodite T. Kavyas
Tulane Law School, Tulane University

Charla Hausler

Valparaiso University School of Law

Erin Naftali

Benjamin Cardozo School of Law

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Quinniipac College School of Law

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Allison R. Librett
University of Utah School of Law

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Margaret O. Darby Vermont Law School

Tammy Lynne Grimm
Willamette University College of Law

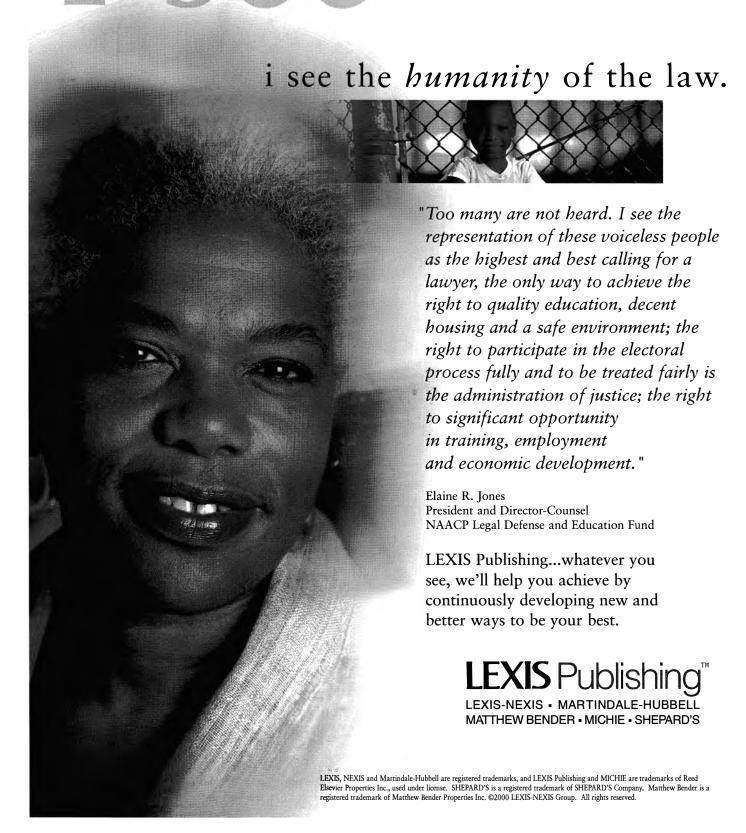
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University of Wisconsin Madison

Devon O'Connell Coleman
University of Wyoming College of Law

Margaret Randolph Duval
University of Virginia School of Law

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University at Buffalo Law School

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#### New York Annual Meeting REGISTRATION

July 6 – 9, 2000

Name:	Telephone: ( )			
Address:	With a second se			
NAWL member registration for Annual Meeting (includ	\$100.00			
Non-member registration fees for individual programs (w/MCLE credit):				
Beyond the Glass Ceiling for Women & Other	\$ 50.00			
Privacy and the Internet - July 7, 2:00-4:30 pm	\$ 75.00			
Arabella Babb Mansfield Awards Luncheon - July 8, 11:30-2:15				
(Price reflects New York costs) Member:	\$70.00			
(Price reflects New York costs) Member:  Non-member:  Commission on Warran in the Profession's Marray of the Profession	1892	\$75.00		
Commission on Women in the Profession's Margaret I	\$100.00			
NASD Alternative Dispute Resolution training -: Thursday July 6, 1:00-5:00				
Member	\$75.00			
Non-member	\$100.00			
TOTAL:	S			
NAWL registration fee includes Luncheon, General Assembly and program materials, refreshments, name tags and conference administrative expenses.				
You may charge your registration and luncheon reservations or you may register on-line at our web site: www.abanet.org/NAWL. VISA/MC or American Express are accepted. You may fax registration to: (312) 988-6281.				
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Checks should be made payable to the National Association of	Alternative Dispute Resolution training fees	should be sent to:		
Women Lawyers® and sent to: NASD Regulation, Inc.				
NAWL® American Bar Center, 12.4	Attn: Joyce Philius 125 Broad Street, 36th Floor			
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Registration & reservations must be received no later than June 26, 2000.

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