

## SECTION 4(a)(1) LEGEND REMOVAL PACKET

Send your legend removal request to ClearTrust, LLC by one of the following methods:

Mail certificates: 2420 Brunello Trace, Lutz, FL 33558

Upload: [www.cleartrustonline.com/secure](http://www.cleartrustonline.com/secure)



Please provide payment by going to [www.cleartrustonline.com/payments](http://www.cleartrustonline.com/payments).

### SECURITY DETAILS: Tell us what security you want to remove the restrictions from.

|                        |                            |                              |                                                                              |
|------------------------|----------------------------|------------------------------|------------------------------------------------------------------------------|
| Issuer name or symbol: | Stock class/security type: | Number of shares/securities: | <input type="checkbox"/> Book Entry<br><input type="checkbox"/> Certificated |
|------------------------|----------------------------|------------------------------|------------------------------------------------------------------------------|

### CURRENT OWNER'S INFORMATION: Must match exactly what appears on the certificate or account statement.

|                            |                  |
|----------------------------|------------------|
| Current registration name: | Primary EIN/SSN: |
| Email:                     | Phone:           |

### INSTRUCTIONS\*: If this section is not completed, we will email an account statement. Statements will be emailed to the address on file.

- ☐ Please **RUSH** process this request. (The request will be moved to the top of the queue. The legend removal fees plus a rush fee will be assessed.)
- ☐ Email an account statement. ☐ The broker will initiate a DRS deposit. ☐ See special instructions below:

NOTE: DRS and DWAC are only available for eligible securities. Please confirm with your broker which option should be used.

\*For DWAC deposits and transfers into another name, select email an account statement and mail in an original Medallion Guaranteed [letter of instructions](#).

### SECTION 4(a)(1) SELLER'S REPRESENTATION LETTER:

I represent to ClearTrust, LLC, the Issuer, my broker, and legal counsel and warrant as follows:

- I understand that under Section 5 of the Securities Act, all offers and sales of securities must be registered with the United States Securities Exchange Commission ("SEC"), unless an exemption under the Securities Act applies. I intend to sell the securities listed in the Securities Details section above (the "Securities") pursuant to Section 4(a)(1) of the Securities Act.
- I have no sell orders open in the Securities, or in any security convertible into the Securities, with any other broker or bank and will not place any such sell orders pending the complete execution of this order. I have no present intention of selling any additional securities of the same class, or any securities convertible into such class.
- I am not acting in concert with any person in selling the Securities, and I have not agreed to so act. I am not engaged in a plan with anyone else to dispose of the Securities. I am not aware of any facts or circumstances indicating that I am or may be deemed an underwriter within the meaning of the Act with respect to the Securities, or that the sale of the Securities is part of a distribution of any securities.
- I have not made and do not propose to make any payment in connection with the offer or sale of the Securities to any person or entity except any customary broker's commission or dealer's charges. I have not solicited or arranged for the solicitation of orders to buy in anticipation of or in connection with the proposed sale pursuant to such order, and I will not do so.
- I am not the Issuer, as defined by Section 2(a)(4), of the Securities. I am not and have not been an affiliate, as defined by Rule 144, of the Issuer for the three-month period immediately preceding the proposed sale. I am not aware of any material adverse information about the Issuer which has not been publicly disclosed.
- I am not an underwriter, as defined by Section 2(a)(11), with respect to the Securities, nor will the proposed transaction be part of a distribution of securities of the Issuer.
- I am not a dealer, as defined by Section 2(a)(12).
- The Issuer was given full consideration for the Securities over one (1) year ago and the Securities are deemed to have come to rest.

By signing below, I agree that, in connection with the matters described above, ClearTrust, the Issuer, my broker, and legal counsel are relying on the statements made herein.

### SIGNATURE(S): This section must be signed by all current owners.

|                                         |                                               |
|-----------------------------------------|-----------------------------------------------|
| Date:                                   |                                               |
| Current owner signature:                | Joint owner signature:                        |
|                                         |                                               |
| Printed name (and title if applicable): | Printed joint name (and title if applicable): |