1	DAVID G. SPIVAK (SBN 179684)		
2	david@spivaklaw.com CAROLINE TAHMASSIAN (SBN 285680)		
3	caroline@spivaklaw.com THE SPIVAK LAW FIRM		
4	8605 Santa Monica Bl PMB 42554		
5	West Hollywood, CA 90069		
6	Telephone: (213) 725-9094 Facsimile: (213) 634-2485		
7	Attorneys for Plaintiff(s),		
8	JOSE FRAUSTO VILLEGAS, JOSE MANUEL F (Additional attorneys for parties on following page	·	d all others similarly situated
9	SUPERIOR COURT OF THE		LIFORNIA
10			
11	FOR THE COUNTY OF	SANTA BARB	ARA
12	(UNLIMITED JUL	RISDICTION)	
13	JOSE FRAUSTO VILLEGAS, on behalf of	Lead Case No.	· 21CV04500
14	himself, all others similarly situated, and the	Consolidated v	vith: 22CV01392
15	general public,	_	P. Anderle, Department 3]
16	Plaintiff,		CTION SETTLEMENT T AND CLASS NOTICE
17	VS.	Action filed:	November 12, 2021
18	DLP MANAGEMENT CO., INC., a California	Dept:	4, The Honorable Thomas
19	corporation d/b/a DLP MANAGEMENT CO and DLP MANAGEMENT INC.; and DOES 1–50,		P. Anderle
20	inclusive,		
21	Defendants.		
22			
23			
24			
25			
26			
27	_		
28	Frausto Villegas v. DLP Management Co., Inc.,	Class A	ction Settlement Agreement
	et al.		C

1 2	JOSE MANUEL BARRAGAN, on behalf of himself, all others similarly situated, and the general public,	Case No.: 22CV01392
3	Plaintiff,	
4		
5	VS.	
6	DLP MANAGEMENT CO., INC., a California corporation doing business in	
7	California as DLP MANAGEMENT CO and as DLP MANAGEMENT INC. and DLP	
8	MANAGEMENT INC; DARIO L. PINI, an	
9	individual; and DOES 1-50, inclusive,	
10	Defendants.	
11		
12		
13		
14		
15		
16		
17		
18		
19		
20		
21		
22		
23		
24		
25		
26		
27		
28	Frausto Villegas v. DLP Management Co., Inc.,	Class Action Settlement Agreement
	ot al	, Class Action Schiement Agreement

et al.

1	ADDITIONAL ATTORNEYS FOR PLAINTIFF(S)
2	LOUIS M. BENOWITZ (SBN 262300)
3	louis@benowitzlaw.com BENOWITZ LAW CORPORATION
4	8605 Santa Monica Boulevard PMB 97638
5	West Hollywood, CA 90069
6	Telephone: (747) 233-2600 Work Facsimile: (818) 839-9610
7	
8	
9	ATTORNEYS FOR DEFENDANT(S)
10	PAUL R. BURNS (SBN 230509) paulburnslaw@gmail.com
11	LAW OFFICES OF PAUL R. BURNS, P.C.
12	1114 State Street Ste 213
13	Santa Barbara CA 93105 Telephone: (805) 708-7144
14	Facsimile: (805) 708-7144
15	Attorneys for Defendant(s), DLP MANAGEMENT CO., INC. and DARIO L. PINI
16	DEI WANAGEMENT CO., INC. and DANIO E. I INI
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	3
	Frausto Villegas v. DLP Management Co., Inc., Class Action Settlement Agreement et al.

This Class Action Settlement Agreement ("Agreement") is made by and between plaintiffs Jose Frausto Villegas and Jose Manuel Barragan (collectively "Plaintiffs") and defendants DLP Management Co., Inc. and Dario L. Pini (collectively "Defendants"). The Agreement refers to Plaintiffs and Defendants collectively as "Parties," or individually as "Party."

1. <u>DEFINITIONS</u>.

- 1.1. "Action" means the Plaintiffs' consolidated lawsuits alleging wage and hour violations against DLP Management Co., Inc. and Dario L. Pini captioned "Jose Frausto Villegas, on behalf of himself, and all others similarly situated, *Plaintiff(s)*, vs. DLP Management Co., Inc., a California corporation, and DOES 1 through 50, inclusive, *Defendant(s)*," Case No. 21CV04500 and Jose Manuel Barragan, on behalf of himself, and all others similarly situated, *Plaintiff(s)*, vs. DLP Management Co., Inc., a California corporation, Dario L. Pini, a natural person, and DOES 1 through 50, inclusive, *Defendant(s)*," Case No. 22CV01392 initiated on November 12, 2021 and pending in Superior Court of the State of California, County of Santa Barbara.
- 1.2. "Administrator" means ILYM Group, Inc. the neutral entity the Parties have agreed to appoint to administer the Settlement.
- 1.3. "Administration Expenses Payment" means the amount the Administrator will be paid from the Gross Settlement Amount to reimburse its reasonable fees and expenses in accordance with the Administrator's "not to exceed" bid submitted to the Court in connection with Preliminary Approval of the Settlement.
- 1.4. "Class" means all persons employed by Defendants in California and classified as a non-exempt employees paid on an hourly basis or by salary during the Class Period. The Parties agree that the Class only consists of the 81 employees Defendants disclosed to the Administrator as part of the *Belaire-West* process and the two individuals the Parties identified in the Memorandum of Understanding that the Parties signed after the mediation.
- 1.5. "Class Counsel" means David G. Spivak of The Spivak Law Firm and Louis M. Benowitz of Benowitz Law Corporation.
- 1.6. "Class Counsel Fees Payment" and "Class Counsel Litigation Expenses Payment" mean the amounts allocated to Class Counsel for reimbursement of reasonable attorneys' fees and expenses, respectively, incurred to prosecute the Action.

- 1.7. "Class Data" means Class Member identifying information in Defendants' possession including the Class Member's name, last-known mailing address, Social Security number, dates worked during the Class Period and number of Class Period Paychecks.
- 1.8. "Class Member" or "Settlement Class Member" means a member of the Class, as either a Participating Class Member or Non-Participating Class Member.
- 1.9. "Class Member Address Search" means the Administrator's investigation and search for current Class Member mailing addresses using all reasonably available sources, methods and means including, but not limited to, the National Change of Address database, skip traces, and direct contact by the Administrator with Class Members.
- 1.10. "Class Notice" means the COURT APPROVED NOTICE OF CLASS ACTION SETTLEMENT AND HEARING DATE FOR FINAL COURT APPROVAL, to be mailed to Class Members in English with a Spanish translation in the form, without material variation, attached as Exhibit A and incorporated by reference into this Agreement.
- 1.11. "Class Period" means the period from November 12, 2017 to the date of preliminary Court approval of the Settlement.
- 1.12. "Class representatives" for the purposes of this Settlement only, means the named Plaintiffs in the operative complaints in the Action seeking Court approval to serve as Class Representatives.
- 1.13. "Class Representative Service Payments" means the payments to the Class Representatives for initiating the Action and providing services in support of the Action.
 - 1.14. "Court" means the Superior Court of California, County of Santa Barbara.
- 1.15. "Defendants" means named Defendants DLP Management Co., Inc., and Dario L. Pini.
 - 1.16. "Defense Counsel" means Paul R. Burns of Law Offices of Paul R. Burns, P.C.
- 1.17. "Effective Date" means the date by when both of the following have occurred: (a) the Court enters a Judgment on its Order Granting Final Approval of the Settlement; and (b) the Judgment is final. The Judgment is final as of the latest of the following occurrences: (a) if no Participating Class Member objects to the Settlement, the day the Court enters Judgment; (b) if one or more Participating Class Members objects to the Settlement, the day after the deadline for filing a notice of appeal from the Judgment; or if a timely appeal from the Judgment is filed, the day after the appellate court affirms the Judgment and issues a remittitur.

- 1.18. "Final Approval" means the Court's order granting final approval of the Settlement.
- 1.19. "Final Approval Hearing" means the Court's hearing on the Motion for Final Approval of the Settlement.
- 1.20. "Final Judgment" means the Judgment Entered by the Court upon Granting Final Approval of the Settlement. A proposed Final Judgment form is attached as Exhibit F.
- 1.21. "Gross Settlement Amount" means \$750,000.00 which is the total amount Defendants agree to pay under the Settlement except as provided in Paragraph 9 below. The Gross Settlement Amount will be used to pay Individual Class Payments, Class Counsel Fees, Class Counsel Expenses, Class Representative Service Payments and the Administrator's Expenses. Defendants' share of any employer payroll taxes to be paid in connection with the Settlement (e.g., FICA, FUTA, payroll taxes, and/or any similar tax or charge collectively "Employer Taxes") shall be paid by Defendants from the Gross Settlement Amount.
- 1.22. "Individual Class Payment" means the Participating Class Member's pro rata share of the Net Settlement Amount calculated according to the number of Paychecks received during the Class Period.
- 1.23. "Judgment" means the judgment entered by the Court based upon the Final Approval.
- 1.24. "Net Settlement Amount" means the Gross Settlement Amount, less the following payments in the amounts approved by the Court: Class Representative Service Payments, Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment, and the Administration Expenses Payment. The remainder is to be paid to Participating Class Members as Individual Class Payments.
- 1.25. "Non-Participating Class Member" means any Class Member who opts out of the Settlement by sending the Administrator a valid and timely Request for Exclusion.
- 1.26. "Notice Packet" means the Class Notice (Exhibit A), the Election Not to Participate in Settlement form (Exhibit B), the Paycheck Dispute form (Exhibit C), and the Objection form (Exhibit D) in English with Spanish translations.
- 1.27. "Participating Class Member" means a Class Member who does not submit a valid and timely Request for Exclusion from the Settlement.
 - 1.28. "Plaintiffs" means Jose Frausto Villegas, Jose Manuel Barragan, and the named

Plaintiffs in the Action.

- 1.29. "Preliminary Approval" means the Court's Order Granting Preliminary Approval of the Settlement.
- 1.30. "Preliminary Approval Order" means the proposed Order Granting Preliminary Approval. A proposed Preliminary Approval Order form is attached as Exhibit E.
- 1.31. "Released Class Claims" means the claims being released as described in Paragraph 6.2 below.
- 1.32. "Released Parties" means: Defendants and any of their present and former parent companies, subsidiaries, divisions, concepts, related or affiliated companies and its shareholders, officers, directors, employees, agents, attorneys, insurers, successors and assigns, and any individual or entity that Defendant Dario Pini has any ownership interest in that could be liable for any of the Released Claims, and Defendants' counsel of record in the Action.
- 1.33. "Request for Exclusion" means a Class Member's submission of a written request to be excluded from the Class Settlement signed by the Class Member. An Election Not to Participate in Settlement form is attached as Exhibit B.
- 1.34. "Response Deadline" means 60 days after the Administrator mails Notice to Class Members, and shall be the last date on which Class Members may: (a) fax, email, or mail Requests for Exclusion from the Settlement, or (b) fax, email, or mail his or her Objection to the Settlement. Class Members to whom Notice Packets are resent after having been returned undeliverable to the Administrator shall have an additional 14 calendar days beyond the Response Deadline has expired.
- 1.35. "Settlement" means the disposition of the Action effected by this Agreement and the Judgment.
 - 1.36. A Paycheck Dispute form is attached as Exhibit C.

2. RECITALS.

2.1. On November 12, 2021, Plaintiff Jose Frausto Villegas commenced this Action by filing a Complaint alleging causes of action against Defendant DLP Management Co., Inc. for failure to pay wages, failure to provide meal periods, failure to authorize and permit rest periods, failure to indemnify for business expenses, failure to timely pay wages, and related claims. On April 11, 2022, Plaintiff Jose Manuel Barragan filed a class action complaint alleging the same

violations against both Defendants. The two cases were consolidated on or about June 8, 2022. The operative complaints in the Action are referred to as the "Operative Complaint." Defendants deny the allegations in the Operative Complaint, deny any failure to comply with the laws identified in in the Operative Complaint and deny any and all liability for the causes of action alleged.

2.2. On September 26, 2023, the Parties participated in an all-day mediation presided

- 2.2. On September 26, 2023, the Parties participated in an all-day mediation presided over by Henry J Bongiovi, Esq. which led to this Agreement to settle the Action (describe alternative means of negotiation.
- 2.3. Prior to mediation, Plaintiffs obtained, through formal and informal discovery, the number of comparable employees, sample payroll records, and related information. Plaintiffs' investigation was sufficient to satisfy the criteria for court approval set forth in *Dunk v. Foot Locker Retail, Inc.* (1996) 48 Cal.App.4th 1794, 1801 and *Kullar v. Foot Locker Retail, Inc.* (2008) 168 Cal.App.4th 116, 129-130 ("*Dunk/Kullar*").
 - 2.4. The Court has not granted class certification.
- 2.5. The Plaintiffs and Class Counsel represent that they are not aware of any other pending matter or action asserting claims that will be extinguished or affected by the Settlement other than workers' compensation claims.

3. MONETARY TERMS.

- 3.1. <u>Gross Settlement Amount</u>. Except as otherwise provided by Paragraph 9 below, Defendants promise to pay \$750,000.00 and no more as the Gross Settlement Amount. Defendants have no obligation to pay the Gross Settlement Amount prior to the deadline stated in Paragraph 6.1 of this Agreement. The Administrator will disburse the entire Gross Settlement Amount without asking or requiring Participating Class Members to submit any claim as a condition of payment. None of the Gross Settlement Amount will revert to Defendants.
- 3.2. <u>Payments from the Gross Settlement Amount</u>. The Administrator will make and deduct the following payments from the Gross Settlement Amount, in the amounts specified by the Court in the Final Approval:
- 3.2.1. <u>To Plaintiffs</u>: Subject to approval by the Court, Class Representative Service Payments to the Class Representatives of not more than \$15,000.00 each (in addition to any Individual Class Payments the Class Representatives are entitled to receive as Participating

Class Members). For the purposes of this Settlement only, the Parties agree to the designation of Plaintiffs as "Class Representatives." In consideration therefor, Plaintiffs give their general release pursuant to California Civil Code section 1542 as discussed in paragraph 6.2 that includes a release of all claims arising out of and relating to their employment with Defendants, as well as Plaintiffs' release of all known and unknown claims pursuant to California Civil Code section 1542 of all claims arising out of and relating to their employment with Defendants. Defendants will not oppose Plaintiffs' request for a Class Representative Service Payments that do not exceed this amount. As part of the motion for Class Counsel Fees Payment and Class Litigation Expenses Payment, Plaintiffs will seek Court approval for any Class Representative Service Payments no later than 16 court days prior to the Final Approval Hearing. If the Court approves a Class Representative Service Payment less than the amount requested, the Administrator will retain the remainder in the Net Settlement Amount. The enhancement awards are to be part of, and to be deducted from, the Gross Settlement Amount. The Administrator will pay the Class Representative Service Payments using IRS Form 1099. Plaintiffs assume full responsibility and liability for employee taxes owed on the Class Representative Service Payments.

3.2.2. To Class Counsel: A Class Counsel Fees Payment of not more than 33.33%, which is currently estimated to be \$250,000.00 and a Class Counsel Litigation Expenses Payment of not more than \$20,000.00. Defendants will not oppose requests for these payments provided that do not exceed these amounts. Plaintiffs and/or Class Counsel will file a motion for Class Counsel Fees Payment and Class Litigation Expenses Payment no later than 16 court days prior to the Final Approval Hearing. If the Court approves a Class Counsel Fees Payment and/or a Class Counsel Litigation Expenses Payment less than the amounts requested, the Administrator will allocate the remainder to the Net Settlement Amount. Released Parties shall have no liability to Class Counsel or any other Plaintiffs' Counsel arising from any claim to any portion any Class Counsel Fee Payment and/or Class Counsel Litigation Expenses Payment. The Administrator will pay the Class Counsel Fees Payment and Class Counsel Expenses Payment using one or more IRS 1099 Forms. Class Counsel assumes full responsibility and liability for taxes owed on the Class Counsel Fees Payment and the Class Counsel Litigation Expenses Payment and holds Defendants harmless, and indemnifies Defendants, from any dispute or controversy regarding any division or sharing of any of these Payments.

3.2.3. To the Administrator: An Administrator Expenses Payment not to exceed

\$10,000.00 except for a showing of good cause and as approved by the Court. To the extent the Administration Expenses are less or the Court approves payment less than \$10,000.00, the Administrator will retain the remainder in the Net Settlement Amount.

- 3.2.4. <u>To Each Participating Class Member</u>: An Individual Class Payment calculated by (a) dividing the Net Settlement Amount by the total number of Paychecks received by all Participating Class Members during the Class Period and (b) multiplying the result by each Participating Class Member's Paychecks.
- 3.2.4.1. <u>Tax Allocation of Individual Class Payments.</u> 33.33% of each Participating Class Member's Individual Class Payment will be allocated to settlement of wage claims (the "Wage Portion"). The Wage Portions are subject to tax withholding and will be reported on an IRS W-2 Form. The 66.67% of each Participating Class Member's Individual Class Payment will be allocated to settlement of claims for interest and penalties (the "Non-Wage Portion"). The Non-Wage Portions are not subject to wage withholdings and will be reported on IRS 1099 Forms. Participating Class Members assume full responsibility and liability for any employee taxes owed on their Individual Class Payment.
- 3.2.4.2. <u>Employer-Side Payroll Taxes</u>. Defendants' share of any employer payroll taxes to be paid in connection with the Settlement (e.g., FICA, FUTA, payroll taxes, and/or any similar tax or charge collectively "Employer Taxes") shall be paid by Defendants from the Gross Settlement Amount.
- 3.2.4.3. <u>Effect of Non-Participating Class Members on Calculation of Individual Class Payments</u>. Non-Participating Class Members will not receive any Individual Class Payments. The Administrator will retain amounts equal to their Individual Class Payments in the Net Settlement Amount for distribution to Participating Class Members on a pro rata basis.

4. SETTLEMENT FUNDING AND PAYMENTS.

- 4.1. <u>Class Paychecks</u>. Based on a review of their records to date, Defendants estimate there are 83 Class Members who collectively worked a total of 9,163 pay periods.
- 4.2. <u>Class Data</u>. Not later than 21days after the Court grants Preliminary Approval of the Settlement, Defendants will simultaneously deliver the Class Data to the Administrator, in the form of a Microsoft Excel spreadsheet. To protect Class Members' privacy rights, the Administrator must maintain the Class Data in confidence, use the Class Data only for purposes

of this Settlement and for no other purpose, and restrict access to the Class Data to Administrator employees who need access to the Class Data to effect and perform under this Agreement. Defendants have a continuing duty to immediately notify Class Counsel if they discover that the Class Data omitted class member identifying information and to provide corrected or updated Class Data as soon as reasonably feasible. Without any extension of the deadline by which Defendants must send the Class Data to the Administrator, the Parties and their counsel will expeditiously use best efforts, in good faith, to reconstruct or otherwise resolve any issues related to missing or omitted Class Data.

- 4.3. <u>Funding of Gross Settlement Amount</u>. Defendants shall fully fund the Gross Settlement Amount by transmitting the funds to the Administrator no later than 60 days after the Effective Date.
- 4.4. <u>Payments from the Gross Settlement Amount</u>. Within 14 days after Defendants fund the Gross Settlement Amount, the Administrator will mail checks for all Individual Class Payments, all the Administration Expenses Payment, the Class Counsel Fees Payment, the Class Counsel Litigation Expenses Payment, and the Class Representative Service Payments. Disbursement of the Class Counsel Fees Payment, the Class Counsel Litigation Expenses Payment and the Class Representative Service Payments shall not precede disbursement of Individual Class Payments.
- 4.4.1. The Administrator will issue checks for the Individual Class Payments and send them to the Class Members via First Class U.S. Mail, postage prepaid. The face of each check shall prominently state the date (not less than 180 days after the date of mailing) when the check will be voided. The Administrator will cancel all checks not cashed by the void date. The Administrator will send checks for Individual Settlement Payments to all Participating Class Members (including those for whom Notice Packet was returned undelivered). Before mailing any checks, the Administrator must update the recipients' mailing addresses using the National Change of Address Database.
- 4.4.2. The Administrator must conduct a Class Member Address Search for all other Class Members whose checks are retuned undelivered without USPS forwarding address. Within 7 days of receiving a returned check the Administrator must re-mail checks to the USPS forwarding address provided or to an address ascertained through the Class Member Address Search. The Administrator need not take further steps to deliver checks to Class Members whose

re-mailed checks are returned as undelivered. The Administrator shall promptly send a replacement check to any Class Member whose original check was lost or misplaced, requested by the Class Member prior to the void date.

- 4.4.3. For any Class Member whose Individual Class Payment check is uncashed and cancelled after the void date, the Administrator shall transmit the funds represented by such checks to the California Controller's Unclaimed Property Fund in the name of the Class Member thereby leaving no "unpaid residue" subject to the requirements of California Code of Civil Procedure Section 384, subd. (b).
- 4.4.4. The payment of Individual Class Payments shall not obligate Defendants to confer any additional benefits or make any additional payments to Class Members (such as 401(k) contributions or bonuses) beyond those specified in this Agreement.

5. [OMITTED]

- **6. RELEASES OF CLAIMS**. Effective on the date when Defendants fully fund the entire Gross Settlement Amount, Plaintiffs, Class Members, and Class Counsel will release claims against all Released Parties as follows:
- 6.1. Release by Participating Class Members. The claims to be released by the Participating Class Members are limited to any and all claims under state, federal, or local law, whether statutory or common law arising out of the claims expressly pleaded the Actions and all other claims, such as those under California Labor Code sections 201, 202, 203, 226.7, 510, 512, 558.1, 1182.12, 1194, 1197, 1198, and 2802, the Wage Orders, regulations, and/or other provisions of law, that could have been pleaded based on the facts pleaded in the Actions for: failure to pay employees all earned wages, including but not limited to overtime at one and one half times regular wages and/ or overtime at two times regular wages if applicable, failure to provide meal periods, failure to authorize and permit rest periods, failure to indemnify for business expenses, failure to timely pay final wages, and unfair competition under Business & Professions Code sections 17200, et seq.
- 6.2. <u>Plaintiffs' Waiver of Rights Under California Civil Code Section 1542</u> against the Released Parties. The Released Parties are those persons described in section 1.32 above that includes a release of all claims arising out of and relating to their employment with Defendants, as well as Plaintiffs' release of all known and unknown claims pursuant to California Civil Code section 1542 of all claims arising out of and relating to their employment with

Defendants. Plaintiffs expressly waive and relinquish the provisions, rights, and benefits, if any, of section 1542 of the California Civil Code against the Released Parties. Section 1542 reads:

A general release does not extend to claims that the creditor or releasing party does not know or suspect to exist in his or her favor at the time of executing the release, and that if known by him or her would have materially affected his or her settlement with the debtor or Released Party.

Plaintiff Jose Frausto Villegas will not be seeking a double recovery in his pending workers' compensation claim.

- 7. MOTION FOR PRELIMINARY APPROVAL. The Parties agree to jointly prepare and file a motion for preliminary approval ("Motion for Preliminary Approval") that complies with the Court's current checklist for Preliminary Approvals. A Preliminary Approval Order form is attached as Exhibit E.
- 7.1 <u>Defendants' Declaration in Support of Preliminary Approval</u>. Within ten (10) days of the full execution of this Agreement, Defendants will prepare and deliver to Class Counsel a signed Declaration from Defendants and Defense Counsel disclosing all facts relevant to any actual or potential conflicts of interest with the Administrator.
- Plaintiffs' Responsibilities. Plaintiffs will prepare and deliver to Defense Counsel all documents necessary for obtaining Preliminary Approval, including: (i) a draft of the notice, and memorandum in support, of the Motion for Preliminary Approval that includes an analysis of the Settlement under *Dunk/Kullar*; (ii) a draft proposed Order Granting Preliminary Approval; (iii) a draft proposed Notice Packet; (iv) a signed declaration from the Administrator attaching its "not to exceed" bid for administering the Settlement and attesting to its willingness to serve; competency; operative procedures for protecting the security of Class Data; amounts of insurance coverage for any data breach, defalcation of funds or other misfeasance; all facts relevant to any actual or potential conflicts of interest with Class Members; and the nature and extent of any financial relationship with Plaintiffs, Class Counsel or Defense Counsel; (v) a signed declaration from Plaintiffs confirming willingness and competency to serve and disclosing all facts relevant to any actual or potential conflicts of interest with Class Members, and/or the Administrator; (v) a signed declaration from each Class Counsel firm attesting to its competency to represent the Class Members; (vi) a redlined version of the parties' Agreement showing all

affected by the Settlement.

7.3

7.4

9 10

11

1213

1415

16

17

18

19

20

21

22

23

24

25

26

27

28

8. SETTLEMENT ADMINISTRATION.

executed on September 27th, 2023 by all parties.

8.1 <u>Selection of Administrator</u>. The Parties have jointly selected ILYM Group, Inc. to serve as the Administrator and verified that, as a condition of appointment, ILYM Group, Inc. agrees to be bound by this Agreement and to perform, as a fiduciary, all duties specified in this Agreement in exchange for payment of Administration Expenses. The Parties and their Counsel represent that they have no interest or relationship, financial or otherwise, with the Administrator other than a professional relationship arising out of prior experiences administering settlements.

modifications made to the Model Agreement ready for filing with the Court; and (vii) all facts

relevant to any actual or potential conflict of interest with Class Members or the Administrator.

In their Declarations, Plaintiffs and Class Counsel Declaration shall aver that they are not aware

of any other pending matter or action asserting claims that will be extinguished or adversely

responsible for expeditiously finalizing and filing the Motion for Preliminary Approval no later

than 45 days after the full execution of this Agreement; obtaining a prompt hearing date for the

Motion for Preliminary Approval as permitted by the Court's schedule; and for appearing in Court

to advocate in favor of the Motion for Preliminary Approval. Class Counsel is responsible for

for Preliminary Approval and/or the supporting declarations and documents, Class Counsel and

Defense Counsel will expeditiously work together on behalf of the Parties by meeting in person

or by telephone, and in good faith, to resolve the disagreement. If the Court does not grant

Preliminary Approval or conditions Preliminary Approval on any material change to this

Agreement, Class Counsel and Defense Counsel will expeditiously work together on behalf of

the Parties by meeting in person or by telephone, and in good faith, to modify the Agreement and

otherwise satisfy the Court's concerns to the extent such modification is consistent with the MOU

delivering the Court's Preliminary Approval to the Administrator.

Responsibilities of Counsel. Class Counsel and Defense Counsel are jointly

<u>Duty to Cooperate</u>. If the Parties disagree on any aspect of the proposed Motion

8.2 <u>Employer Identification Number</u>. The Administrator shall have and use its own Employer Identification Number for purposes of calculating payroll tax withholdings and providing reports state and federal tax authorities.

8.3 <u>Qualified Settlement Fund</u>. The Administrator shall establish a settlement fund that meets the requirements of a Qualified Settlement Fund ("QSF") under US Treasury Regulation section 468B-1.

8.4 Notice to Class Members.

- 8.4.1 No later than three (3) business days after receipt of the Class Data, the Administrator shall notify Class Counsel that the list has been received and state the number of Class Members, and Paychecks in the Class Data.
- 8.4.2 Using best efforts to perform as soon as possible, and in no event later than 14 days after receiving the Class Data, the Administrator will send to all Class Members identified in the Class Data, via first-class United States Postal Service ("USPS") mail, the Notice Packet with Spanish translation, if applicable substantially in the forms attached to this Agreement as **Exhibits A, B, C, and D**. The first page of the Class Notice shall prominently estimate the dollar amounts of any Individual Class Payment payable to the Class Member, and the number of Paychecks used to calculate these amounts. Before mailing Notice Packets, the Administrator shall update Class Member addresses using the National Change of Address database.
- 8.4.3 Not later than 3 business days after the Administrator's receipt of any Notice Packet returned by the USPS as undelivered, the Administrator shall re-mail the Notice Packet using any forwarding address provided by the USPS. If the USPS does not provide a forwarding address, the Administrator shall conduct a Class Member Address Search, and re-mail the Notice Packet to the most current address obtained. The Administrator has no obligation to make further attempts to locate or send Notice Packet to Class Members whose Notice Packet is returned by the USPS a second time.
- 8.4.4 The deadlines for Class Members' written objections, Challenges to Paychecks (disputes), and Requests for Exclusion will be extended an additional 14 days beyond the 60 days otherwise provided in the Class Notice for all Class Members whose notice is remailed. The Administrator will inform the Class Member of the extended deadline with the remailed Notice Packet.
- 8.4.5 If the Administrator, Defendants or Class Counsel is contacted by or otherwise discovers any persons who believe they should have been included in the Class Data and should have received Notice Packet, the Parties will expeditiously meet and confer in person or by telephone, and in good faith. In an effort to agree on whether to include them as Class

Members. If the Parties agree, such persons will be Class Members entitled to the same rights as other Class Members, and the Administrator will send, via email or overnight delivery, a Class Notice requiring them to exercise options under this Agreement not later than 14 days after receipt of Class Notice, or the deadline dates in the Class Notice, which ever are later.

8.5 Requests for Exclusion (Opt-Outs).

8.5.1 Class Members who wish to exclude themselves (opt-out of) the Class Settlement must send the Administrator, by fax, email, or mail, a signed written Request for Exclusion not later than 60 days after the Administrator mails the Notice Packet (plus an additional 14 days for Class Members whose Notice Packet is re-mailed). A Request for Exclusion is a letter from a Class Member or his/her representative that reasonably communicates the Class Member's election to be excluded from the Settlement and includes the Class Member's name, address and email address or telephone number. To be valid, a Request for Exclusion must be timely faxed, emailed, or postmarked by the Response Deadline. An Election Not to Participate in Settlement form, attached as Exhibit B, may be used for this purpose but is not required.

8.5.2 The Administrator may not reject a Request for Exclusion as invalid because it fails to contain all the information specified in the Class Notice. The Administrator shall accept any Request for Exclusion as valid if the Administrator can reasonably ascertain the identity of the person as a Class Member and the Class Member's desire to be excluded. The Administrator's determination shall be final and not appealable or otherwise susceptible to challenge. If the Administrator has reason to question the authenticity of a Request for Exclusion, the Administrator may demand additional proof of the Class Member's identity. The Administrator's determination of authenticity shall be final and not appealable or otherwise susceptible to challenge.

- 8.5.3 Every Class Member who does not submit a timely and valid Request for Exclusion is deemed to be a Participating Class Member under this Agreement, entitled to all benefits and bound by all terms and conditions of the Settlement, including the Participating Class Members' Releases under Paragraphs 6.2 and 6.3 of this Agreement, regardless of whether the Participating Class Member actually receives the Class Notice or objects to the Settlement.
- 8.5.4 Every Class Member who submits a valid and timely Request for Exclusion is a Non-Participating Class Member and shall not receive an Individual Class Payment or have the right to object to the class action components of the Settlement.

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

8.6

Defense Counsel and Class Counsel and the Administrator's determination the challenges.

8.7 Objections to Settlement.

8.7.1 Only Participating Class Members may object to the class action components of the Settlement and/or this Agreement, including contesting the fairness of the Settlement, and/or amounts requested for the Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment and/or Class Representative Service Payments.

Challenges to Calculation of Paychecks. Each Class Member shall have 60 days

after the Administrator mails the Notice Packet (plus an additional 14 days for Class Members

whose Notice Packet is re-mailed) to challenge the number of Class Paychecks allocated to the

Class Member in the Class Notice. This is also known as a dispute. A Paycheck Dispute form,

attached as Exhibit C, may be used for this purpose but is not required. The Class Member may

challenge the allocation by communicating with the Administrator via fax, email or mail. The

Administrator must encourage the challenging Class Member to submit supporting

documentation. In the absence of any contrary documentation, the Administrator is entitled to

presume that the Paychecks contained in the Class Notice are correct so long as they are consistent

with the Class Data. The Administrator's determination of each Class Member's allocation of

Paychecks shall be final and not appealable or otherwise susceptible to challenge. The

Administrator shall promptly provide copies of all challenges to calculation of Paychecks to

Participating Class Members may send written objections to the 8.7.2 Administrator, by fax, email, or mail. In the alternative, Participating Class Members may appear in Court (or hire an attorney to appear in Court) to present verbal objections at the Final Approval Hearing. A Participating Class Member who elects to send a written objection to the Administrator must do so not later than 60 days after the Administrator's mailing of the Notice Packet (plus an additional 14 days for Class Members whose Notice Packet was re-mailed). An The Objection form attached as Exhibit D may be used for this purpose but is not required.

- 8.7.3 Non-Participating Class Members have no right to object to any of the class action components of the Settlement.
- 8.8 Administrator Duties. The Administrator has a duty to perform or observe all tasks to be performed or observed by the Administrator contained in this Agreement or otherwise.
- Website, Email Address and Toll-Free Number. The Administrator will 8.8.1 establish and maintain and use an internet website to post information of interest to Class

Members including the date, time and location for the Final Approval Hearing and copies of the Settlement Agreement, Motion for Preliminary Approval, the Preliminary Approval, the Notice Packet, the Motion for Final Approval, the Motion for Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment and Class Representative Service Payments, the Final Approval and the Judgment. The Administrator will also maintain and monitor an email address and a toll-free telephone number to receive Class Member calls, faxes and emails.

8.8.2 Requests for Exclusion (Opt-outs) and Exclusion List. The Administrator will promptly review on a rolling basis Requests for Exclusion to ascertain their validity. Not later than 5 days after the expiration of the deadline for submitting Requests for Exclusion, the Administrator shall email a list to Class Counsel and Defense Counsel containing (a) the names and other identifying information of Class Members who have timely submitted valid Requests for Exclusion ("Exclusion List"); (b) the names and other identifying information of Class Members who have submitted invalid Requests for Exclusion; (c) copies of all Requests for Exclusion from Settlement submitted (whether valid or invalid).

8.8.3 <u>Weekly Reports</u>. The Administrator must, on a weekly basis, provide written reports to Class Counsel and Defense Counsel that, among other things, tally the number of: Notice Packets mailed or re-mailed, Notice Packets returned undelivered, Requests for Exclusion (whether valid or invalid) received, objections received, challenges to Paychecks received and/or resolved, and checks mailed for Individual Class Payments ("Weekly Report"). The Weekly Reports must include provide the Administrator's assessment of the validity of Requests for Exclusion and attach copies of all Requests for Exclusion and objections received.

- 8.8.4 <u>Pay Period Challenges</u>. The Administrator has the authority to address and make final decisions consistent with the terms of this Agreement on all Class Member challenges over the calculation of Paychecks. The Administrator's decision shall be final and not appealable or otherwise susceptible to challenge.
- 8.8.5 <u>Administrator's Declaration</u>. Not later than 14 days before the date by which Plaintiffs are required to file the Motion for Final Approval of the Settlement, the Administrator will provide to Class Counsel and Defense Counsel, a signed declaration suitable for filing in Court attesting to its due diligence and compliance with all of its obligations under this Agreement, including, but not limited to, its mailing of the Notice Packets, the Notice Packets returned as undelivered, the re-mailing of Notice Packets, attempts to locate Class Members, the

total number of Requests for Exclusion from Settlement it received (both valid or invalid), the number of written objections and attach the Exclusion List. The Administrator will supplement its declaration as needed or requested by the Parties and/or the Court. Class Counsel is responsible for filing the Administrator's declaration(s) in Court.

8.8.6 <u>Final Report by Administrator</u>. Within 10 days after the Administrator disburses all funds in the Gross Settlement Amount, the Administrator will provide Class Counsel and Defense Counsel with a final report detailing its disbursements by employee identification number only of all payments made under this Agreement. At least 15 days before any deadline set by the Court, the Administrator will prepare, and submit to Class Counsel and Defense Counsel, a signed declaration suitable for filing in Court attesting to its disbursement of all payments required under this Agreement. Class Counsel is responsible for filing the Administrator's declaration in Court.

- 9. CLASS SIZE ESTIMATES and ESCALATOR CLAUSE. Based on their records, Defendants estimate that, as of the date of this Settlement Agreement, (1) there are 83 Class Members and 9,163 Total Paychecks during the Class period. If the Paychecks and/or Class Members as of the date the Court approves the settlement exceeds the referenced 9,163 Paychecks and/or 83 Class Members by more than 10.00%, the Gross Settlement Amount, including the Class Counsel Fees Payment, and the Class Representative Service Payments, will increase proportionally according to the number of additional Paychecks or Class Members, whichever results in a higher increase in the Gross Settlement Amount.
- 10. DEFENDANTS' RIGHT TO WITHDRAW. If the number of valid Requests for Exclusion identified in the Exclusion List exceeds 20.00% of the total of all Class Members, Defendants may, but are not obligated, elect to withdraw from the Settlement. The Parties agree that, if Defendants withdraw, the Settlement shall be void ab initio, have no force or effect whatsoever, and that neither Party will have any further obligation to perform under this Agreement; provided, however, Defendants will remain responsible for paying all Settlement Administration Expenses incurred to that point. Defendants must notify Class Counsel and the Court of their election to withdraw not later than 7 days after the Administrator sends the final Exclusion List to Defense Counsel; late elections will have no effect.
- 11. MOTION FOR FINAL APPROVAL. Not later than 16 court days before the

12

11

13 14

15 16

17

18

19 20

21

22

23 24

25

26

27 28 calendared Final Approval Hearing, Plaintiffs will file in Court, a motion for final approval of the Settlement, a Proposed Final Approval Order and a proposed Judgment (collectively "Motion for Final Approval"). Plaintiffs shall provide drafts of these documents to Defense Counsel not later than 7 days prior to filing the Motion for Final Approval. Class Counsel and Defense Counsel will expeditiously meet and confer in person or by telephone, and in good faith, to resolve any disagreements concerning the Motion for Final Approval.

- Response to Objections. Each Party retains the right to respond to any objection raised by a Participating Class Member, including the right to file responsive documents in Court no later than 5 court days prior to the Final Approval Hearing, or as otherwise ordered or accepted by the Court.
- 11.2 Duty to Cooperate. If the Court does not grant Final Approval or conditions Final Approval on any material change to the Settlement (including, but not limited to, the scope of release to be granted by Class Members), the Parties will expeditiously work together in good faith to address the Court's concerns by revising the Agreement as necessary to obtain Final Approval. The Court's decision to award less than the amounts requested for the Class Representative Service Payments, Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment and/or Administrator Expenses Payment shall not constitute a material modification to the Agreement within the meaning of this paragraph.
- 11.3 Continuing Jurisdiction of the Court. The Parties agree that, after entry of Judgment, the Court will retain jurisdiction over the Parties, Action, and the Settlement solely for purposes of (i) enforcing this Agreement and/or Judgment, (ii) addressing settlement administration matters, and (iii) addressing such post-Judgment matters as are permitted by law.
- 11.4 Waiver of Right to Appeal. Provided the Judgment is consistent with the terms and conditions of this Agreement, specifically including the Class Counsel Fees Payment and Class Counsel Litigation Expenses Payment reflected set forth in this Settlement, the Parties, their respective counsel, and all Participating Class Members who did not object to the Settlement as provided in this Agreement, waive all rights to appeal from the Judgment, including all rights to post-judgment and appellate proceedings, the right to file motions to vacate judgment, motions for new trial, extraordinary writs, and appeals. The waiver of appeal does not include any waiver of the right to oppose such motions, writs or appeals. If an objector appeals the Judgment, the Parties' obligations to perform under this Agreement will be suspended until such time as the

appeal is finally resolved and the Judgment becomes final, except as to matters that do not affect the amount of the Net Settlement Amount.

11.5 Appellate Court Orders to Vacate, Reverse, or Materially Modify Judgment. If the reviewing Court vacates, reverses, or modifies the Judgment in a manner that requires a material modification of this Agreement (including, but not limited to, the scope of release to be granted by Class Members), this Agreement shall be null and void. The Parties shall nevertheless expeditiously work together in good faith to address the appellate court's concerns and to obtain Final Approval and entry of Judgment, sharing, on a 50-50 basis, any additional Administration Expenses reasonably incurred after remittitur. An appellate decision to vacate, reverse, or modify the Court's award of the Class Representative Service Payments or any payments to Class Counsel shall not constitute a material modification of the Judgment within the meaning of this paragraph, as long as the Gross Settlement Amount remains unchanged.

12. AMENDED JUDGMENT. If any amended judgment is required under Code of Civil Procedure section 384, the Parties will work together in good faith to jointly submit and a proposed amended judgment.

13. ADDITIONAL PROVISIONS.

13.1 No Admission of Liability, Class Certification or Representative Manageability for Other Purposes. This Agreement represents a compromise and settlement of highly disputed claims. Nothing in this Agreement is intended or should be construed as an admission by Defendants that any of the allegations in the Operative Complaint have merit or that Defendants have any liability for any claims asserted; nor should it be intended or construed as an admission by Plaintiffs that Defendants' defenses in the Action have merit. The Parties agree that class certification and representative treatment is for purposes of this Settlement only. If, for any reason the Court does not grant Preliminary Approval, Final Approval or enter Judgment, Defendants reserve the right to contest certification of any class for any reasons, and Defendants reserve all available defenses to the claims in the Action, and Plaintiffs reserve the right to move for class certification on any grounds available and to contest Defendants' defenses. The Settlement, this Agreement and Parties' willingness to settle the Action will have no bearing on, and will not be admissible in connection with, any litigation (except for proceedings to enforce or effectuate the

Oefendants and Defense Counsel separately agree that, until the Motion for Preliminary Approval of Settlement is filed, they and each of them will not disclose, disseminate and/or publicize, or cause or permit another person to disclose, disseminate or publicize, any of the terms of the Agreement directly or indirectly, specifically or generally, to any person, corporation, association, government agency, or other entity except: (1) to the Parties' attorneys, accountants, or spouses, all of whom will be instructed to keep this Agreement confidential; (2) counsel in a related matter; (3) to the extent necessary to report income to appropriate taxing authorities; (4) in response to a court order or subpoena; or (5) in response to an inquiry or subpoena issued by a state or federal government agency.

Each Party agrees to immediately notify each other Party of any judicial or agency order, inquiry, or subpoena seeking such information. Plaintiffs, Class Counsel, Defendants and Defense Counsel separately agree not to, directly or indirectly, initiate any conversation or other communication, before the filing of the Motion for Preliminary Approval, any with third party regarding this Agreement or the matters giving rise to this Agreement except to respond only that "the matter was resolved," or words to that effect. This paragraph does not restrict Class Counsel's communications with Class Members in accordance with Class Counsel's ethical obligations owed to Class Members.

- 13.3 <u>No Solicitation</u>. The Parties separately agree that they and their respective counsel and employees will not solicit any Class Member to opt out of or object to the Settlement, or appeal from the Judgment. Nothing in this paragraph shall be construed to restrict Class Counsel's ability to communicate with Class Members in accordance with Class Counsel's ethical obligations owed to Class Members.
- 13.4 <u>Integrated Agreement</u>. Upon execution by all Parties and their counsel, this Agreement together with its attached exhibits shall constitute the entire agreement between the Parties relating to the Settlement, superseding any and all oral representations, warranties, covenants, or inducements made to or by any Party.
- 13.5 <u>Attorney Authorization</u>. Class Counsel and Defense Counsel separately warrant and represent that they are authorized by Plaintiffs and Defendants, respectively, to take all appropriate action required or permitted to be taken by such Parties pursuant to this Agreement

to effectuate its terms, and to execute any other documents reasonably required to effectuate the terms of this Agreement including any amendments to this Agreement.

- 13.6 <u>Cooperation.</u> The Parties and their counsel will cooperate with each other and use their best efforts, in good faith, to implement the Settlement by, among other things, modifying the Settlement Agreement, submitting supplemental evidence and supplementing points and authorities as requested by the Court. In the event the Parties are unable to agree upon the form or content of any document necessary to implement the Settlement, or on any modification of the Agreement that may become necessary to implement the Settlement, the Parties will seek the assistance of a mediator and/or the Court for resolution.
- 13.7 <u>No Prior Assignments</u>. The Parties separately represent and warrant that they have not directly or indirectly assigned, transferred, encumbered, or purported to assign, transfer, or encumber to any person or entity and portion of any liability, claim, demand, action, cause of action, or right released and discharged by the Party in this Settlement.
- 13.8 <u>No Tax Advice</u>. Neither Plaintiffs, Class Counsel, Defendants nor Defense Counsel are providing any advice regarding taxes or taxability, nor shall anything in this Settlement be relied upon as such within the meaning of United States Treasury Department Circular 230 (31 CFR Part 10, as amended) or otherwise.
- 13.9 <u>Modification of Agreement</u>. This Agreement, and all parts of it, may be amended, modified, changed, or waived only by an express written instrument signed by all Parties or their representatives, and approved by the Court.
- 13.10 <u>Agreement Binding on Successors</u>. This Agreement will be binding upon, and inure to the benefit of, the successors of each of the Parties.
- 13.11 <u>Applicable Law</u>. All terms and conditions of this Agreement and its exhibits will be governed by and interpreted according to the internal laws of the state of California, without regard to conflict of law principles.
- 13.12 <u>Cooperation in Drafting</u>. The Parties have cooperated in the drafting and preparation of this Agreement. This Agreement will not be construed against any Party on the basis that the Party was the drafter or participated in the drafting.
- 13.13 <u>Confidentiality</u>. To the extent permitted by law, all agreements made, and orders entered during Action and in this Agreement relating to the confidentiality of information shall survive the execution of this Agreement.

13.14 <u>Use and Return of Class Data</u>. Information provided to Class Counsel pursuant to Cal. Evid. Code §1152, and all copies and summaries of the Class Data provided to Class Counsel by Defendants in connection with the mediation, other settlement negotiations, or in connection with the Settlement, may be used only with respect to this Settlement, and no other purpose, and may not be used in any way that violates any existing contractual agreement, statute, or rule of court. Not later than 90 days after the date when the Court discharges the Administrator's obligation to provide a Declaration confirming the final pay out of all Settlement funds, Plaintiffs shall destroy, all paper and electronic versions of Class Data received from Defendants unless, prior to the Court's discharge of the Administrator's obligation, Defendants make a written request to Class Counsel for the return, rather than the destructions, of Class Data.

- 13.15 <u>Headings</u>. The descriptive heading of any section or paragraph of this Agreement is inserted for convenience of reference only and does not constitute a part of this Agreement.
- 13.16 <u>Calendar Days</u>. Unless otherwise noted, all reference to "days" in this Agreement shall be to calendar days. In the event any date or deadline set forth in this Agreement falls on a weekend or federal legal holiday, such date or deadline shall be on the first business day thereafter.
- 13.17 <u>Notice</u>. All notices, demands or other communications between the Parties in connection with this Agreement will be in writing and deemed to have been duly given as of the third business day after mailing by United States mail, or the day sent by email or messenger, addressed as follows:

To Plaintiff:

David G. Spivak, Esq. The Spivak Law Firm 8605 Santa Monica Bl PMB 42554 West Hollywood, CA 90069 david@spivaklaw.com

To Defendant:
Paul R. Burns, Esq.
Law Offices of Paul R. Burns, P.C.
2700 Gibraltar Road
Santa Barbara, CA 93105
paulburnslaw@gmail.com

1	1.	3.18 Execution in	1 Counterparts. T	his Agr	reement may be executed in one or more
2	counterparts by facsimile, electronically (i.e. DocuSign), or email which for purposes of this				
3	Agreement shall be accepted as an original. All executed counterparts and each of them will be				
4					sel for the Parties will exchange between
5				counter	part will be admissible in evidence to prove
		ence and contents of	C		
6				•	that upon the execution of this Agreement
7					erms of this Agreement. The Parties further
8	-		_	_	suant to CCP section 583.330 to extend the
9		ring a case to trial	under CCP section	n 583.3	10 for the entire period of this settlement
10	process.				
11		04 / 23 / 2024	J.V.		
12	Dated:		0.0.	Bv:	Jose A Fransta
13		-, -		J	JOSE FRAUSTO VILLEGAS
14					
15	Dated:	October 3, 2023		By:	
16					JOSE MANUEL BARRAGAN
	Dated:	Oatabar 2 2022		D.,,	
17	Dated.	October 3, 2023		By:	Dario L. Pini
18					
19					
20	Dated:	October 3, 2023		By:	
21	Barear	3, 2023		27.	Dario L. Pini for DLP Management
22					Co., Inc.
23					
24				THE	SPIVAK LAW FIRM
25					
26	Dated:	October 3, 2023		By:	
27					DAVID G. SPIVAK, Attorneys for Plaintiff(s), JOSE FRAUSTO
			25		Tidinuli(s), JOSE TRAOSTO
28	Frausto	Villegas v. DLP Me			Class Action Settlement Agreement
	l at al				

et al.

1	1:	3.18 Execution in Counterparts	s. This Agr	eement may be executed in one or more	
2	counterparts by facsimile, electronically (i.e. DocuSign), or email which for purposes of this				
	Agreement shall be accepted as an original. All executed counterparts and each of them will be				
3	deemed to be one and the same instrument if counsel for the Parties will exchange between				
4	themselves signed counterparts. Any executed counterpart will be admissible in evidence to prove				
5	the existe	ence and contents of this Agreeme	ent.	- -	
6	1:	3.19 <u>Stay of Litigation</u> . The Pa	arties agree	that upon the execution of this Agreement	
7	the litiga	tion shall be stayed, except to effect	ctuate the te	rms of this Agreement. The Parties further	
8	agree tha	t upon the signing of this Agreem	ent that purs	suant to CCP section 583.330 to extend the	
9	date to b	ring a case to trial under CCP se	ection 583.3	10 for the entire period of this settlement	
10	process.				
11					
12	Dated:	October 3, 2023	By:		
13		-,	J	JOSE FRAUSTO VILLEGAS	
14		04/19/2024 J.M. [3(1		
15	Dated:	October 3, 2023	By:	Jese Morvel Bonagar	
				JOSE MANUEL BARRAGAN	
16	D 4 1	0 4 1 2 2022	D		
17	Dated:	October 3, 2023	By:	Dario L. Pini	
18					
19					
20	Datade	October 3, 2023	By:		
21	Daicu.	October 3, 2023	Dy.	Dario L. Pini for DLP Management	
22				Co., Inc.	
23					
24			THE	SPIVAK LAW FIRM	
25					
26	Dated:	October 3, 2023	By:		
				DAVID G. SPIVAK, Attorneys for Plaintiff(s), JOSE FRAUSTO	
27			25	Plaintiff(s), JOSE FRAUSTO	
28	Frausto	Villegas v. DLP Management Co		Class Action Settlement Agreement	
	et al.			Č	

1	13.18 <u>Execution in Counterparts</u> . T	his Agr	reement may be executed in one or more
2	counterparts by facsimile, electronically (i.e. DocuSign), or email which for purposes of this		
3	Agreement shall be accepted as an original. All executed counterparts and each of them will be		
	deemed to be one and the same instrument if counsel for the Parties will exchange between		
4	themselves signed counterparts. Any executed counterpart will be admissible in evidence to prove		
5	the existence and contents of this Agreement.		
6	13.19 <u>Stay of Litigation</u> . The Partie	s agree	that upon the execution of this Agreement
7	the litigation shall be stayed, except to effectua	te the te	rms of this Agreement. The Parties further
8	agree that upon the signing of this Agreement	that pur	suant to CCP section 583.330 to extend the
9	date to bring a case to trial under CCP sectio	n 583.3	10 for the entire period of this settlement
10	process.		
11			
12	Dated: October 3, 2023	By:	
13	2	2).	JOSE FRAUSTO VILLEGAS
14			
	Dated: October 3, 2023	By:	
15			JOSE MANUEL BARRAGAN
16			
17	Dated: April 1st, 2024	By:	Dario L. Pini
18			Duito El I ini
19			
20	D 4 1 A 11 1 4 2024	D	$\int \int $
21	Dated: April 1st, 2024	By:	Dario L. Pini for DLP Management
22			Co., Inc.
23			
		тиг	SPIVAK LAW FIRM
24	04 / 24 / 2024	ITE	
25	Dated: October 3, 2023	By:	David Spirak
26	5 and a control 5, 2025	Dy.	DAVID G. SPIVAK, Attorneys for
27			Plaintiff(s), JOSE FRAUSTO
28	English Williams of DLD Management Co. In		Class Antion Could among A
	Frausto Villegas v. DLP Management Co., In et al.	IC.,	Class Action Settlement Agreement

1 2			VILLEGAS, JOSE MANUEL BARRAGAN, and all others similarly situated
3			Situated
4			
5	04 / 24 / 2024	BEN	OWITZ LAW CORPORATION
6	Dated: October 3, 2023	By:	- Son Gury
7			LOUIS M. BENOWITZ, Attorneys for Plaintiff(s), JOSE FRAUSTO
8			VILLEGAS, JOSE MANUEL BARRAGAN, and all others similarly situated
10			Situated
11		LAV	V OFFICES OF PAUL R. BURNS, P.C.
12	Dated: April 1st, 2024	By:	Paul R. Burns, Esq.
13	Dated. April 1st, 2024	By.	PAUL R. BURNS, Attorneys for
14			Defendant(s), DLP MANAGEMENT CO., INC., AND DARIO L. PINI
15			
16			
17			
18			
19			
20			
21			
22			
23			
24			
25			
26			
27			
28	Frausto Villegas v. DLP Management Co., In	ıc.	Class Action Settlement Agreement
	et al.	,	Class Action Settlement Agreement

EXHIBIT A

COURT APPROVED NOTICE OF CLASS ACTION SETTLEMENT AND HEARING DATE FOR FINAL COURT APPROVAL

(case name: *Jose Frausto Villegas*, et al. v. DLP Management Co., Inc. and number 21CV04500, 22CV01392)

The Superior Court for the State of California authorized this Notice. Read it carefully! It's not junk mail, spam, an advertisement, or solicitation by a lawyer. You are not being sued.

You may be eligible to receive money from an employee class action lawsuit ("Action") against DLP Management Co., Inc. and Dario L. Pini ("Defendants") for alleged violations of California's labor laws. The Action was filed by Defendants' employees Jose Frausto Villegas and Jose Manuel Barragan ("Plaintiffs") and seek payment of wages and other relief for a class of 83 non-exempt, hourly employees ("Class Members") Defendants employed during the Class Period (November 12, 2017 to the date of preliminary Court approval of the Settlement).

The proposed Settlement isa Class Settlement requiring Defendants to fund Individual Class Payments.

Based on Defendants' records, and the Parties' current assumptions, your Individual Class Payment is estimated to be \$<<IndividualClassPaymentAmount>> (less withholding). The estimated dollar value of a Paycheck is <<\$increment type value>>. The actual amount you may receive likely will be different and will depend on a number of factors. The individual payments amounts will vary. However, the average Individual Class Payment to a Class Member is estimated to be <<\$Average Individual Class Payment Amount>>. The highest Individual Class Payment to a Class Member is estimated to be <<\$Highest Individual Class Payment Amount>> and the lowest is estimated to be <<\$Lowerlands Payment Amount>>.

The above estimates are based on Defendants' records showing that **you received** << ____ >> Paychecks during the Class Period. If you believe that you received more Paychecks during either period, you can submit a challenge by the deadline date. See Section 4 of this Notice.

The Court has already preliminarily approved the proposed Settlement and approved this Notice. The Court has not yet decided whether to grant final approval. The Court has determined only that there is sufficient evidence to suggest that the proposed settlement might be fair, adequate, and reasonable, and that any final determination of those issues will be made at the Final Approval Hearing. Your legal rights are affected whether you act or not act. Read this Notice carefully. You will be deemed to have carefully read and understood it. At the Final Approval Hearing, the Court will decide whether to finally approve the Settlement and how much of the Settlement will be paid to Plaintiffs and Plaintiffs' attorneys ("Class Counsel"). The Court will also decide whether to enter a judgment that requires Defendants to make payments under the Settlement and requires Class Members to give up their rights to assert certain claims against Defendants.

If you worked for Defendants during the Class Period, you have two basic options under the Settlement:

1	You Can Participate in	The Court's Final Approval Hearing is scheduled to take place on
2	the	<>FinalApprovalHearingDate>>. You don't have to attend but you
2	< <finalapprobvalhearin< th=""><th>do have the right to appear (or hire an attorney to appear on your</th></finalapprobvalhearin<>	do have the right to appear (or hire an attorney to appear on your
3	gDate>> Final Approval	behalf at your own cost), in person, by telephone or by using the
3	Hearing	Court's virtual appearance platform. Participating Class Members
4		can verbally object to the Settlement at the Final Approval Hearing
		whether or not they submitted a written objection. See Section 8 of
5		this Notice.
-		
6	You Can Challenge the	The amount of your Individual Class Payment depends on how
7	Calculation of Your	many pay periods in which you worked at least one day during the
/	Paychecks	Class Period. The number Class Period Paychecks you received
8		according to Defendants' records is stated on the first page of this
	Written Challenges	Notice. If you disagree with either of these numbers, you must
9	Must be Submitted by	challenge it by << RESPONSE DEADLINE>>. See Section 4 of this
	< <response< th=""><th>Notice. You can use the enclosed Paychecks Dispute form for this</th></response<>	Notice. You can use the enclosed Paychecks Dispute form for this
10	DEADLINE>>	purpose.
1.1		1 1
11		
12	1. WHAT IS THE A	CTION ABOUT?
12		

Plaintiffs are former employees of Defendants. The Action accuses Defendants of violating California labor laws by failure to pay wages, failure to provide meal periods, failure to authorize and permit rest periods, failure to indemnify for business expenses, failure to timely pay wages, and related violations of the Labor Code. Plaintiffs are represented by attorneys in the Action: David G. Spivak of The Spivak Law Firm and Louis M. Benowitz of Benowitz Law Corporation ("Class Counsel.")

Defendants strongly deny violating any laws or failing to pay any wages and contend they complied with all applicable laws.

2. WHAT DOES IT MEAN THAT THE ACTION HAS SETTLED?

So far, the Court has made no determination whether Defendants or Plaintiffs are correct on the merits. In the meantime, Plaintiffs and Defendants hired an experienced, neutral mediator in an effort to resolve the Action by negotiating an to end the case by agreement (settle the case) rather than continuing the expensive and time-consuming process of litigation. The negotiations were successful. By signing a lengthy written settlement agreement ("Agreement") and agreeing to jointly ask the Court to enter a judgment ending the Action and enforcing the Agreement, Plaintiffs and Defendants have negotiated a proposed Settlement that is subject to the Court's Final Approval. Both sides agree the proposed Settlement is a compromise of disputed claims. By agreeing to settle, Defendants do not admit any violations or concede the merit of any claims.

Plaintiffs and Class Counsel strongly believe the Settlement is a good deal for you because they believe that: (1) Defendants have agreed to pay a fair, reasonable and adequate amount considering the strength of the claims and the risks and uncertainties of continued litigation; and

(2) Settlement is in the best interests of the Class Members. The Court preliminarily approved the proposed Settlement as fair, reasonable and adequate, authorized this Notice, and scheduled a hearing to determine Final Approval.

3. WHAT ARE THE IMPORTANT TERMS OF THE PROPOSED SETTLEMENT?

- A. <u>Gross Settlement Amount.</u> Defendants Will Pay \$750,000.00 as the Gross Settlement Amount (Gross Settlement). Defendants have agreed to deposit the Gross Settlement into an account controlled by the Administrator of the Settlement. The Administrator will use the Gross Settlement to pay the Individual Class Payments, Class Representative Service Payments, Class Counsel's attorney's fees and expenses, and the Administrator's expenses. Assuming the Court grants Final Approval, Defendants will fund the Gross Settlement not more than 14 days after the Judgment entered by the Court become final. The Judgment will be final on the date the Court enters Judgment, or a later date if Participating Class Members object to the proposed Settlement or the Judgment is appealed.
- B. <u>Court Approved Deductions from Gross Settlement.</u> At the Final Approval Hearing, Plaintiffs and/or Class Counsel will ask the Court to approve the following deductions from the Gross Settlement, the amounts of which will be decided by the Court at the Final Approval Hearing:
- 1. <u>Attorney Fees and Costs</u>. Up to \$250,000.00 (33.33% of the Gross Settlement to Class Counsel for attorneys' fees and up to \$20,000.00 for their litigation expenses. To date, Class Counsel have worked and incurred expenses on the Action without payment.
- 2. <u>Class Representative Service Payment</u>. Up to \$15,000.00 as a Class Representative Service Payment to each Plaintiff for filing the Action, working with Class Counsel and representing the Class. A Class Representative Service Payment will be the only monies Plaintiffs will receive other than Plaintiffs' Individual Class Payments.
- 3. <u>Administration Expenses</u>. Up to \$10,000.00 to the Administrator for services administering the Settlement.

Participating Class Members have the right to object to any of these deductions. The Court will consider all objections.

Based on their records, Defendants estimated that, as of the date of the Settlement, (1) there are 83 Class Members and 9,163 Total Paychecks during the Class period. If the Paychecks and/or Class Members as of the date the Court approves the settlement exceeds the referenced 9,163 Paychecks and/or 83 Class Members by more than 10.00%, the Gross Settlement Amount, including the Class Counsel Fees Payment, and the Class Representative Service Payments, will increase proportionally according to the number of additional Paychecks or Class Members, whichever results in a higher increase in the Gross Settlement Amount.

C. <u>Net Settlement Distributed to Class Members</u>. After making the above deductions in amounts approved by the Court, the Administrator will distribute the rest of the Gross

the Court to approve an allocation of 33.33% of each Individual Class Payment to taxable wages ("Wage Portion") and 66.67% to interest and penalties ("Non-Wage Portion.). The Wage Portion is subject to withholdings and will be reported on IRS W-2 Forms. The Administrator will report

the Non-Wage Portions of the Individual Class Payments on IRS 1099 Forms. Defendants' share of any employer payroll taxes to be paid in connection with the Settlement (e.g., FICA, FUTA,

payroll taxes, and/or any similar tax or charge – collectively "Employer Taxes") shall be paid by

you any advice on whether your Payments are taxable or how much you might owe in taxes. You are responsible for paying all taxes (including penalties and interest on back taxes) on any

Payments received from the proposed Settlement. You should consult a tax advisor if you have

for Individual Class Payments will show the date when the check expires (the void date). If you

don't cash it by the void date, your check will be automatically cancelled, and the monies will be

If the monies represented by your check is sent to the Controller's Unclaimed Property Fund, you

should consult the rules of the Fund for instructions on how to retrieve your money. You can

as a Participating Class Member, participating fully in the Class Settlement, unless you notify the

Controller's

Requests for Exclusion from the Class Settlement (Opt-Outs). You will be treated

Although Plaintiffs and Defendants have agreed to these allocations, neither side is giving

Need to Promptly Cash Payment Checks. The front of every check issued

Unclaimed

Property

Fund

Taxes Owed on Payments to Class Members. Plaintiffs and Defendants are asking

2

3

5 6

7

8 9

10

11

12

13

deposited

F.

14

15

16

17

18

19

20 21

22

23 24

25

26

27

28

Administrator in writing, not later than << RESPONSE DEADLINE>>, that you wish to opt-out. The easiest way to notify the Administrator is to email, fax, or mail a written and signed Request for Exclusion by the <<RESPONSE DEADLINE>> Response Deadline. The Request for Exclusion should be a letter from a Class Member or his/her representative setting forth a Class Member's name, present address, telephone number, and a simple statement electing to be

any questions about the tax consequences of the proposed Settlement.

California

excluded from the Settlement. You may use the enclosed Election Not To Participate In Settlement form for this purpose. Excluded Class Members (i.e., Non-Participating Class Members) will not receive Individual Class Payments, but will preserve their rights to personally

pursue claims against Defendants for violations of California's labor laws.

The Proposed Settlement Will be Void if the Court Denies Final Approval. It is possible the Court will decline to grant Final Approval of the Settlement or decline enter a Judgment. It is also possible the Court will enter a Judgment that is reversed on appeal. Plaintiffs and Defendants have agreed that, in either case, the Settlement will be void: Defendants will not pay any money and Class Members will not release any claims against Defendants.

Defendants from the Gross Settlement Amount.

the

(https://www.sco.ca.gov/search_upd.html) in your name.

contact the Unclaimed Property Fund at (800) 992-4647.

E.

with

H. <u>Administrator</u>. The Court has appointed a neutral company, ILYM Group, Inc. (the "Administrator") to send this Notice, calculate and make payments, and process Class Members' Requests for Exclusion. The Administrator will also decide Class Member Challenges over Paychecks, mail and re-mail settlement checks and tax forms, and perform other tasks necessary to administer the Settlement. The Administrator's contact information is contained in Section 9 of this Notice.

I. <u>Participating Class Members' Release.</u> After the Judgment is final and Defendants have fully funded the Gross Settlement, Participating Class Members will be legally barred from asserting any of the claims released under the Settlement. This means that unless you opted out by validly excluding yourself from the Class Settlement, you cannot sue, continue to sue, or be part of any other lawsuit against Defendants or their officers, directors, employees, and agents for wages based on the Class Period facts, as alleged in the Action and resolved by this Settlement.

The Participating Class Members will be bound by the following release:

The claims to be released by the Participating Class Members are limited to any and all claims under state, federal, or local law, whether statutory or common law arising out of the claims expressly pleaded the Actions and all other claims, such as those under California Labor Code sections 201, 202, 203, 226.7, 510, 512, 558.1, 1182.12, 1194, 1197, 1198, and 2802, the Wage Orders, regulations, and/or other provisions of law, that could have been pleaded based on the facts pleaded in the Actions for: failure to pay employees all earned wages, including but not limited to overtime at one and one half times regular wages and/ or overtime at two times regular wages if applicable, failure to provide meal periods, failure to authorize and permit rest periods, failure to indemnify for business expenses, failure to timely pay final wages, and unfair competition under Business & Professions Code sections 17200, et seq.

4. HOW WILL THE ADMINISTRATOR CALCULATE MY PAYMENT?

- A. <u>Individual Class Payments.</u> The Administrator will calculate Individual Class Payments by (a) dividing the Net Settlement Amount by the total number of Paychecks received by all Participating Class Members, and (b) multiplying the result by the number of Paychecks received by each individual Participating Class Member.
- B. <u>Paycheck Challenges</u>. The number of Paychecks you worked during the Class Period, as recorded in Defendants' records, are stated in the first page of this Notice. You have until <<RESPONSE DEADLINE>> to challenge the number of Paychecks credited to you. You can submit your challenge by signing and sending a letter to the Administrator by email, fax or regular U.S. mail. You can use the enclosed Dispute form for this purpose. Section 9 of this Notice has the Administrator's contact information.

You need to support your challenge by submitting copies of pay stubs or other records. The Administrator will accept Defendants' calculation of Paychecks based on Defendants'

 $\begin{bmatrix} 1 \\ 2 \\ 3 \end{bmatrix}$

records as accurate unless you send copies of records containing contrary information. You should send copies rather than originals because the documents will not be returned to you. The Administrator will resolve Paycheck challenges based on your submission and on input from Class Counsel (who will advocate on behalf of Participating Class Members) and Defendants' Counsel. The Administrator's decision is final. You can't appeal or otherwise challenge its final decision.

5. HOW WILL I GET PAID?

A. <u>Participating Class Members.</u> The Administrator will send, by U.S. mail, a single check to every Participating Class Member (i.e., every Class Member who doesn't opt-out).

Your check will be sent to the same address as this Notice. If you change your address, be sure to notify the Administrator as soon as possible. Section 9 of this Notice has the Administrator's contact information.

6. HOW DO I OPT-OUT OF THE CLASS SETTLEMENT?

Email, fax, or mail a written and signed letter with your name, present address, telephone number, and a simple statement that you do not want to participate in the Settlement. You may use the enclosed Election Not To Participate In Settlement form for this purpose The Administrator will exclude you based on any writing communicating your request be excluded. Be sure to personally sign your request, identify the Action as *Jose Frausto Villegas*, et al. vs. DLP Management Co., Inc., et al. Case Nos. 21CV04500, 22CV01392 and include your identifying information (full name, address, telephone number, approximate dates of employment, and social security number for verification purposes). You must make the request yourself. If someone else makes the request for you, it will not be valid. You should send your Request for Exclusion to the Administrator by email, fax, or send by regular U.S. mail. The Administrator must be sent your request to be excluded by <<RESPONSE DEADLINE>>>, or it will be invalid. Section 9 of the Notice has the Administrator's contact information.

7. HOW DO I OBJECT TO THE SETTLEMENT?

Only Participating Class Members have the right to object to the Settlement. Before deciding whether to object, you may wish to see what Plaintiffs and Defendants are asking the Court to approve. At least 16 days before the Final Approval Hearing, Class Counsel and/or Plaintiffs will file in Court (1) a Motion for Final Approval that includes, among other things, the reasons why the proposed Settlement is fair, and (2) a Motion for Fees, Litigation Expenses and Service Award stating (i) the amount Class Counsel is requesting for attorneys' fees and litigation expenses; and (ii) the amount Plaintiffs are requesting as a Class Representative Service Payment. Upon reasonable request, Class Counsel (whose contact information is in Section 9 of this Notice) will send you copies of these documents at no cost to you. You can also view them and the Settlement Agreement on the Administrator's Website <<<< ADMINISTRATOR WEBSITE> or the Court's website <<<< COURT WEBSITE>>.

et al.

A Participating Class Member who disagrees with any aspect of the Agreement, the Motion for Final Approval and/or Motion for Fees, Litigation Expenses and Service Award may wish to object, for example, that the proposed Settlement is unfair, or that the amounts requested by Class Counsel or Plaintiffs are too high or too low. **The deadline for sending written objections to the Administrator is <<RESPONSE DEADLINE>>.** Be sure to tell the Administrator what you object to, why you object, and any facts that support your objection. Make sure you identify the Action, *Jose Frausto Villegas, et al. vs. DLP Management Co., Inc., et al.* Case Nos. 21CV04500, 22CV01392, and include your name, current address, telephone number, and approximate dates of employment for Defendants and sign the objection. Section 9 of this Notice has the Administrator's contact information. You may use the enclosed Objection form for this purpose. You should send your objection to the Administrator by email, fax, or send by regular U.S. mail.

Alternatively, a Participating Class Member can object (or personally retain a lawyer to object at your own cost) by attending the Final Approval Hearing. You (or your attorney) should be ready to tell the Court what you object to, why you object, and any facts that support your objection. See Section 8 of this Notice (immediately below) for specifics regarding the Final Approval Hearing.

8. CAN I ATTEND THE FINAL APPROVAL HEARING?

You can, but don't have to, attend the Final Approval Hearing on <<FINAL APPROVAL HEARING DATE>> at <<FINAL APPROVAL HEARING TIME>> in Department 4 of the Santa Barbara Superior Court, located at Anacapa Division, 1100 Anacapa Street, Santa Barbara, CA 93101. At the Hearing, the judge will decide whether to grant Final Approval of the Settlement and how much of the Gross Settlement will be paid to Class Counsel, Plaintiffs, and the Administrator. The Court will invite comment from objectors, Class Counsel and Defense Counsel before making a decision. You can attend (or hire a lawyer to attend) either personally or virtually by <<CourtConnect/CourtCall/MicrosoftTeams>> (https://www.<<CourtVirtualAppearanceLink>>. Check the Court's website for the most current information.

It's possible the Court will reschedule the Final Approval Hearing. You should check the Administrator's website <<ADMINISTRATOR WEBSITE>>> beforehand or contact Class Counsel to verify the date and time of the Final Approval Hearing.

9. HOW CAN I GET MORE INFORMATION?

The Agreement sets forth everything Defendants and Plaintiffs have promised to do under the proposed Settlement. The easiest way to read the Agreement, the Judgment or any other Settlement documents is to go to the Administrator's website at <<CLERK OF COURT'S PHONE NUMBER>>. You can also telephone or send an email to Class Counsel or the Administrator using the contact information listed below, or consult the Superior Court website by going to (<a href="http://www.<court.englished.englished.">http://www.<court.englished

1	DO NOT TELEBRIONE	THE SUBEDIOD COURT TO OPTAIN INFORMATION
2	ABOUT THE SETTLEM	THE SUPERIOR COURT TO OBTAIN INFORMATION ENT.
3	Class Counsel:	
4	Name of Attorney:	David G. Spivak
5	Email Address:	david@spivaklaw.com
6	Name of Firm: Mailing Address:	The Spivak Law Firm 8605 Santa Monica Bl PMB 42554
7		West Hollywood, CA 90069
8	Telephone:	(213) 725-9094
9	Administrator:	
10	Name of Company:	ILYM Group, Inc.
11	Email Address: Mailing Address:	
12	Telephone:	
13	Fax Number:	
14	10. WHAT IF I LOSI	E MY SETTLEMENT CHECK?
15		r settlement check before cashing it, the Administrator will replace it
16	your check is already	placement before the void date on the face of the original check. It void, you should consult the Unclaimed Property Fund
17		h_upd.html) for instructions on how to retrieve the funds. You can operty Fund at (800) 992-4647.
18	11. WHAT IF I CHA	NGE MY ADDRESS?
19	To receive your check, you	should immediately notify the Administrator if you move or otherwise
20	change your mailing addres	
21		
22		
23		
24		
25		
26		
27		
28		10
20	Frausto Villegas v. DLP Met al.	

EXHIBIT B

Frausto Villegas v. DLP Management Co., Inc., et al.

Jose Frausto Villegas, et al. vs. DLP Management Co., Inc., et al. 1 Superior Court of the State of California, County of Santa Barbara Case Nos. 21CV04500, 22CV01392 2 **ELECTION NOT TO PARTICIPATE IN SETTLEMENT FORM** 3 IF YOU WANT TO BE INCLUDED IN THIS CLASS ACTION SETTLEMENT AND BE ELIGIBLE FOR 4 A SHARE OF THE SETTLEMENT PROCEEDS, DO NOT FILL OUT THIS FORM. 5 IF YOU DO NOT WANT TO BE INCLUDED IN THE SETTLEMENT, YOU MUST COMPLETE AND 6 SIGN THIS DOCUMENT AND MAIL IT TO THE ADDRESS BELOW, EMAILED, FAXED, OR POSTMARKED NOT LATER THAN << RESPONSE DEADLINE>>: 7 Jose Frausto Villegas, et al. vs. DLP Management Co., Inc., et al. Class Action Administrator 8 c/o ____ 9 10 I declare as follows: I have received notice of the proposed settlement in this action and I wish to be excluded from the class and *not* to participate in the proposed settlement. I understand this means that I will not be bound by the Settlement and also will not share in the settlement proceeds. 11 12 13 (Typed or Printed Name) 14 (Address) 15 16 (City, State, Zip Code) 17 (Telephone Number, Including Area Code) 18 19 (Identification Number) 20 I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and was executed on . . 21 22 Dated: ___. (Signature) 23

24

25

26

27

28

EXHIBIT C

Frausto Villegas v. DLP Management Co., Inc., et al.

1 PAYCHECK DISPUTE FORM 2 Superior Court of The State of California For The County of Santa Barbara 3 Jose Frausto Villegas, et al. vs. DLP Management Co., Inc., et al. Case Nos. 21CV04500, 22CV01392 4 Indicate Name/Address Changes, if any: 5 <<Name>> 6 <<Address>> <<City>>, <<State>> <<Zip Code>> 7 XX - XX -TO ALL OF DLP MANAGEMENT CO., INC. AND DARIO PINI'S NON-EXEMPT 9 CALIFORNIA EMPLOYEES PAID BY SALARY OR HOURLY FROM NOVEMBER 12, 2017 THROUGH THE DATE OF PRELIMINARY COURT APPROVAL OF THE 10 SETTLEMENT: 11 The amount of your estimated Settlement Award is based upon the number of Pay periods you worked between November 12, 2017 and the date of preliminary Court approval of the Settlement 12 and Pay periods you worked between and the date of preliminary Court approval of the 13 Settlement. "Individual Class Paychecks" are defined as any Paycheck for a pay period in which you worked at least one (1) day as a non-exempt employees paid on an hourly basis or by salary 14 of DLP Management Co., Inc., and Dario L. Pini ("Defendant") in California during the calendar week. The number of Class Paychecks applicable to your claim are set forth below. 15 **YOUR ELIGIBLE PAYCHECKS** 16 17 Defendants' records indicate that you received << number of Paychecks >> Paychecks between November 12, 2017 and the date of preliminary Court approval of the Settlement and << number 18 of Paychecks >> Paychecks between through the date of preliminary Court approval of the Settlement. 19 20 YOUR ESTIMATED SETTLEMENT AWARD AND DISPUTE PROCEDURE 21 Under the terms of the Class Action Settlement, you are entitled to receive a settlement payment 22 in the approximate estimated amount of << \$Settlement Share Amount>>, minus all applicable payroll and tax deductions, after the Court approves the Settlement and it goes into effect. This 23 process may take six months or more. You will receive a Form W-2 reflecting the payment to you. Your Settlement Share reflected on this Notice is only an estimate. The exact amount of the 24 payment could vary, up or down. 25 If you wish to dispute the number of November 12, 2017 credited to you, or anything else about 26 your employment status, you must complete and return this form by indicating what you believe is incorrect on the blank lines below and return it on or before << RESPONSE DEADLINE>> to 27

Frausto Villegas v. DLP Management Co., Inc., et al.

28

1 2	the Administrator by email, fax, or regular U.S. Mail with proof of the submission date (such as a postmark or delivery service date stamp). You may use this Paychecks Dispute form for this purpose. You must also send any documents or other information that you contend supports your
3	belief that the information set forth above is incorrect. The Administrator will resolve any dispute based upon Defendants' records and any information you provide. Please be advised that the
4	information on this Paychecks Dispute Form is presumed to be correct unless the documents you submit are company records from Defendants.
5	
6	
7	UNLESS YOU ARE FILING A DISPUTE REGARDING THE NUMBER OF
8	PAYCHECKS, RECEIPT OF A SETTLEMENT PAYMENT, OR YOUR EMPLOYMENT STATUS, YOU DO NOT NEED TO TAKE ANY ACTION
10	EMILOTALIA STATES, TOU DO MOI NEED TO TAKE ANT METION
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	2
28	Frausto Villegas v. DLP Management Co., Inc., Class Action Settlement Agreement

EXHIBIT D

et al.

Frausto Villegas v. DLP Management Co., Inc.,

OBJECTION FORM

OBJECTION FORM		
Jose Frausto Villegas, et al. vs. DLP Management Co., Inc., et al. Superior Court of the State California, County of Santa Barbara		
Case Nos. 21CV04500 and 22CV01392		
Please verify and/or complete any mi	ssing identifying information:	
CPT ID: < <cpt id="">></cpt>	CORRECT NAME AND ADDRESS HERE:	
< <name>> <<address1>> <<address2>></address2></address1></name>		
< <city>>, <<state>> <<zip>></zip></state></city>	Telephone Number: () -	
	retephone runneer. ()	
	ONLY IF YOU WANT TO PARTICIPATE IN THI	
	ECT TO THE TERMS OF THE SETTLEMENT. II MENT, YOU SHOULD SIGN AND COMPLETE THIS	
	ITS ENTIRETY (OR ONE LIKE IT), AND YOU	
SHOULD EMAIL IT TO <<	@COM>>, FAX IT TO < <xxx-xxx administrator="" class="" mail="" so<="" td="" the="" to="" u.s.="" γ=""></xxx-xxx>	
	N OR BEFORE < <response deadline="">>. TH</response>	
ADDRESS FOR THE ADMINISTI	RATOR IS NOTED ON PAGE TWO OF THIS FORM	
IF YOU DO NOT OBJECT TO TI	HE SETTLEMENT, DO <u>NOT</u> SUBMIT THIS FORM	
	SEND THIS OBJECTION AND ANY SUPPORTING NEYS FOR THE PARTIES. THE ATTORNEYS FOR	
	OBJECTION WITH THE COURT.	
The Court will are in the court of the court	and the First Assumption is a second in the	
	on at the Final Approval Hearing if you timely submit in apporting papers (including, without limitation, all briefs)	
written evidence, and declarations) the	at you would like the Court to consider. However, you ma	
speak to the Court at the final approve	al hearing whether or not you submit a timely objection.	
	usto Villegas, et al. v. DLP Management Co., Inc., et al. s (if additional space necessary, please include additional sheets of paper):	
Frausto Villegas v. DLP Manageme.	<i>nt Co., Inc.,</i> Class Action Settlement Agreement	
et al.	co., me., class retion settlement rigidement	

ecu	ted on, 2023		
		(Signature)	
		< <name>> (Printed Name)</name>	
		(Printed Name)	
	EMAIL TO THE ADMINISTRATOR	R: <<	COM>>
	EMAIL TO THE ADMINISTRATOR		
		OR: <mark><<(XXX) XXX-X</mark> DMINISTRATOR, BY	XXX>> Y U.S. MAIL
	FAX TO THE ADMINITRAT MAIL TO THE SETTLEMENT A POSTMARKED NOT LATER TH Jose Frausto Villegas, et al. v. 1	COR: <<(XXX) XXX-X ADMINISTRATOR, BY IAN < <response co.,<="" de="" management="" olp="" td=""><td>XXX>> Y U.S. MAIL EADLINE>>:</td></response>	XXX>> Y U.S. MAIL EADLINE>>:
	FAX TO THE ADMINITRAT MAIL TO THE SETTLEMENT A POSTMARKED NOT LATER TH Jose Frausto Villegas, et al. v. I Admin	OR: <mark><<(XXX) XXX-X</mark> DMINISTRATOR, BY IAN < <response de<="" td=""><td>XXX>> Y U.S. MAIL EADLINE>>:</td></response>	XXX>> Y U.S. MAIL EADLINE>>:
	FAX TO THE ADMINITRAT MAIL TO THE SETTLEMENT A POSTMARKED NOT LATER TH Jose Frausto Villegas, et al. v. I Admin	COR: <<(XXX) XXX-X ADMINISTRATOR, BY IAN < <response co.,="" de="" management="" olp="" saistrator<="" td=""><td>XXX>> Y U.S. MAIL EADLINE>>:</td></response>	XXX>> Y U.S. MAIL EADLINE>>:
	FAX TO THE ADMINITRAT MAIL TO THE SETTLEMENT A POSTMARKED NOT LATER TH Jose Frausto Villegas, et al. v. I Admin [ADD]	COR: <<(XXX) XXX-X ADMINISTRATOR, BY IAN < <response 1="" co.,="" de="" dlp="" dressj="" iistrator="" management="">></response>	XXX>> Y U.S. MAIL EADLINE>>:
	FAX TO THE ADMINITRAT MAIL TO THE SETTLEMENT A POSTMARKED NOT LATER TH Jose Frausto Villegas, et al. v. L Admin [ADD]	COR: <<(XXX) XXX-X ADMINISTRATOR, BY IAN < <response 1="" co.,="" de="" dlp="" dressj="" iistrator="" management="">></response>	XXX>> Y U.S. MAIL EADLINE>>:
	FAX TO THE ADMINITRAT MAIL TO THE SETTLEMENT A POSTMARKED NOT LATER TH Jose Frausto Villegas, et al. v. L Admin [ADD]	COR: <<(XXX) XXX-X ADMINISTRATOR, BY IAN < <response 1="" co.,="" de="" dlp="" dressj="" iistrator="" management="">></response>	XXX>> Y U.S. MAIL EADLINE>>:
	FAX TO THE ADMINITRAT MAIL TO THE SETTLEMENT A POSTMARKED NOT LATER TH Jose Frausto Villegas, et al. v. L Admin [ADD]	COR: <<(XXX) XXX-X ADMINISTRATOR, BY IAN < <response 1="" co.,="" de="" dlp="" dressj="" iistrator="" management="">></response>	XXX>> Y U.S. MAIL EADLINE>>:

EXHIBIT E

Frausto Villegas v. DLP Management Co., Inc., et al.

Class Action Settlement Agreement

	SUPERIOR COURT OF THE	STATE OF CA	LIFORNIA
FOR THE COUNTY OF SANTA BARBARA			
(UNLIMITED JURISDICTION)			
IOSE ER AT	ISTO VILLEGAS, on behalf of	Lead Case No.	· 21CV04500
himself, all o	others similarly situated, and the	Consolidated v	with: 22CV01392
general publ	10,		P. Anderle, Department 3
	Plaintiff,	[PROPOSED PRELIMINA] ORDER RILY APPROVING
	VS.		ION SETTLEMENT
	GEMENT CO., INC., a California		
	d/b/a DLP MANAGEMENT CO and GEMENT INC.; and DOES 1–50,	Action filed: Dept:	4, The Honorable Thom
inclusive,			P. Anderle
	Defendants.		

JOSE MANUEL BARRAGAN, on behalf of Case No.: 22CV01392 1 himself, all others similarly situated, and 2 the general public, 3 Plaintiff, 4 VS. 5 DLP MANAGEMENT CO., INC., a 6 California: corporation doing business in California as DLP MANAGEMENT CO and 7 as DLP MANAGEMENT INC. and DLP MANAGEMENT INC; DARIO L. PINI, an 8 individual; and DOES 1-50, inclusive, 9 Defendants. 10 11 12 The Motion of Plaintiffs Jose Frausto Villegas and Jose Manuel Barragan (hereafter 13 referred to as "Plaintiffs") for Preliminary Approval of a Class Action Settlement (the "Motion") 14 15 was considered by the Court, The Honorable Thomas P. Anderle presiding. The Court having 16 considered the Motion, the Class Action Settlement Agreement and Class Notice ("Settlement" 17 or "Settlement Agreement"), and supporting papers, HEREBY ORDERS THE FOLLOWING: 18 1. The Court grants preliminary approval of the Settlement and the Settlement Class 19 based upon the terms set forth in the Settlement filed as an Exhibit to the Motion for Preliminary 20 Approval. All terms herein shall have the same meaning as defined in the Settlement. The Court 21 has determined only that there is sufficient evidence to suggest that the proposed settlement 22 23 might be fair, adequate, and reasonable, and that any final determination of those issues 24 will be made at the final hearing. The Court will make a determination at the hearing on the 25 motion for final approval of class action settlement (the "Final Approval Hearing") as to whether 26 the Settlement is fair, adequate and reasonable to the Settlement Class. 27 28

Class Action Settlement Agreement

Frausto Villegas v. DLP Management Co., Inc.,

- 2. For purposes of this Preliminary Approval Order, the "Settlement Class" means (collectively "Class Members"), who worked anytime during the Class Period. The "Class Period" shall mean the period of time from November 12, 2017, through the date of preliminary Court approval of the Settlement. The Parties agree that the Class only consists of the 81 employees Defendants disclosed to the Administrator as part of the *Belaire-West* process and the two individuals the Parties identified in the Memorandum of Understanding that the Parties signed after the mediation.
- 3. Based on its records, Defendants estimate that, as of the date of the Settlement Agreement, (1) there are 83 Class Members and 9,163 Total Paychecks during the Class period. If the Paychecks and/or Class Members as of the date the Court approves the settlement exceeds the referenced 9,163 Paychecks and/or 83 Class Members by more than 10.00%, the Gross Settlement Amount, including the Class Counsel Fees Payment, and the Class Representative Service Payments, will increase proportionally according to the number of additional Paychecks or Class Members, whichever results in a higher increase in the Gross Settlement Amount.
- 4. "Effective Date" means the date by when both of the following have occurred: (a) the Court enters a Judgment on its Order Granting Final Approval of the Settlement; and (b) the Judgment is final. The Judgment is final as of the latest of the following occurrences: (a) if no Participating Class Member objects to the Settlement, the day the Court enters Judgment; (b) if one or more Participating Class Members objects to the Settlement, the day after the deadline for filing a notice of appeal from the Judgment; or if a timely appeal from the Judgment is filed, the day after the appellate court affirms the Judgment and issues a remittitur.
- 5. This action is provisionally certified pursuant to section 382 of the California Code of Civil Procedure and Rule 3.760, et seq. of the California Rules of Court as a class action for

purposes of settlement only with respect to the proposed Settlement Class.

et al.

Frausto Villegas v. DLP Management Co., Inc.,

Class Action Settlement Agreement

6. Not later than 21 days after the Court grants Preliminary Approval of the Settlement, Defendants will simultaneously deliver the Class Data to the Administrator, in the form of a Microsoft Excel spreadsheet. To protect Class Members' privacy rights, the Administrator must maintain the Class Data in confidence, use the Class Data only for purposes of the Settlement and for no other purpose, and restrict access to the Class Data to Administrator employees who need access to the Class Data to effect and perform under the Settlement Agreement. Defendants have a continuing duty to immediately notify Class Counsel if they discover that the Class Data omitted class member identifying information and to provide corrected or updated Class Data as soon as reasonably feasible. Without any extension of the deadline by which Defendants must send the Class Data to the Administrator, the Parties and their counsel will expeditiously use best efforts, in good faith, to reconstruct or otherwise resolve any issues related to missing or omitted Class Data.

- 7. No later than three (3) business days after receipt of the Class Data, the Administrator shall notify Class Counsel that the list has been received and state the number of Class Members, Paychecks, and Pay Periods in the Class Data.
- 8. Using best efforts to perform as soon as possible, and in no event later than 21 days after receiving the Class Data, the Administrator will send to all Class Members identified in the Class Data, via first-class United States Postal Service ("USPS") mail, the Notice Packet with Spanish translation, if applicable substantially in the forms attached to this order as **Exhibits A, B, C, and D**. The first page of the Class Notice shall prominently estimate the dollar amounts of any Individual Class Payment payable to the Class Member, and the number of Paychecks used to calculate these amounts. Before mailing Notice Packets, the Administrator shall update Class

Member addresses using the National Change of Address database.

- 9. Not later than 3 business days after the Administrator's receipt of any Notice Packet returned by the USPS as undelivered, the Administrator shall re-mail the Notice Packet using any forwarding address provided by the USPS. If the USPS does not provide a forwarding address, the Administrator shall conduct a Class Member Address Search, and re-mail the Notice Packet to the most current address obtained. The Administrator has no obligation to make further attempts to locate or send Notice Packet to Class Members whose Notice Packet is returned by the USPS a second time.
- 10. Class Counsel's contact information is David G. Spivak, Esq., The Spivak Law Firm, 8605 Santa Monica Bl, PMB 42554, West Hollywood, CA 90069. Defense Counsel's contact information is Paul R. Burns, Esq., Law Offices of Paul R. Burns, P.C., 2700 Gibraltar Road, Santa Barbara, CA, 93105.
- 11. The deadlines for Class Members' written objections, Challenges to Paychecks (disputes), and Requests for Exclusion will be extended an additional 14 days beyond the 60 days otherwise provided in the Class Notice for all Class Members whose notice is re-mailed. The Administrator will inform the Class Member of the extended deadline with the re-mailed Notice Packet.
- 12. If the Administrator, Defendants or Class Counsel is contacted by or otherwise discovers any persons who believe they should have been included in the Class Data and should have received Notice Packet, the Parties will expeditiously meet and confer in person or by telephone, and in good faith. in an effort to agree on whether to include them as Class Members. If the Parties agree, such persons will be Class Members entitled to the same rights as other Class Members, and the Administrator will send, via email or overnight delivery, a Notice Packet

requiring them to exercise options under the Settlement Agreement not later than 14 days after receipt of Notice Packet, or the deadline dates in the Notice Packet, which ever are later.

- 13. Requests for Exclusion. Class Members who wish to exclude themselves (opt-out of) the Class Settlement must send the Administrator, by fax, email, or mail, a signed written Request for Exclusion not later than 60 days after the Administrator mails the Notice Packet (plus an additional 14 days for Class Members whose Notice Packet is re-mailed). A Request for Exclusion is a letter from a Class Member or his/her representative that reasonably communicates the Class Member's election to be excluded from the Settlement and includes the Class Member's name, address and email address or telephone number. To be valid, a Request for Exclusion must be timely faxed, emailed, or postmarked by the Response Deadline. An Election Not to Participate in Settlement form, attached as Exhibit B, may be used for this purpose but is not required.
- 14. The Administrator may not reject a Request for Exclusion as invalid because it fails to contain all the information specified in the Class Notice. The Administrator shall accept any Request for Exclusion as valid if the Administrator can reasonably ascertain the identity of the person as a Class Member and the Class Member's desire to be excluded. The Administrator's determination shall be final and not appealable or otherwise susceptible to challenge. If the Administrator has reason to question the authenticity of a Request for Exclusion, the Administrator may demand additional proof of the Class Member's identity. The Administrator's determination of authenticity shall be final and not appealable or otherwise susceptible to challenge.
- 15. Every Class Member who does not submit a timely and valid Request for Exclusion is deemed to be a Participating Class Member under the Settlement Agreement, entitled to all benefits and bound by all terms and conditions of the Settlement, including the Participating Class

Members' Releases under Paragraphs 6.2 and 6.3 of the Settlement, regardless whether the Participating Class Member actually receives the Class Notice or objects to the Settlement.

- 16. Every Class Member who submits a valid and timely Request for Exclusion is a Non-Participating Class Member and shall not receive an Individual Class Payment or have the right to object to the class action components of the Settlement.
- after the Administrator mails the Notice Packet (plus an additional 14 days for Class Members whose Notice Packet is re-mailed) to challenge the number of Class Paychecks allocated to the Class Member in the Class Notice. This is also known as a dispute. A Paycheck Dispute form, attached as Exhibit C, may be used for this purpose but is not required. The Class Member may challenge the allocation by communicating with the Administrator via fax, email or mail. The Administrator must encourage the challenging Class Member to submit supporting documentation. In the absence of any contrary documentation, the Administrator is entitled to presume that the Paychecks contained in the Class Notice are correct so long as they are consistent with the Class Data. The Administrator's determination of each Class Member's allocation of Paychecks shall be final and not appealable or otherwise susceptible to challenge. The Administrator shall promptly provide copies of all challenges to calculation of Paychecks to Defense Counsel and Class Counsel and the Administrator's determination the challenges.
- 18. <u>Objections to Settlement.</u> Only Participating Class Members may object to the class action components of the Settlement and/or this Agreement, including contesting the fairness of the Settlement, and/or amounts requested for the Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment and/or Class Representative Service Payments.
 - 19. Participating Class Members may send written objections to the Administrator, by

fax, email, or mail. In the alternative, Participating Class Members may appear in Court (or hire an attorney to appear in Court) to present verbal objections at the Final Approval Hearing. A Participating Class Member who elects to send a written objection to the Administrator must do so not later than 60 days after the Administrator's mailing of the Notice Packet (plus an additional 14 days for Class Members whose Notice Packet was re-mailed). An The Objection form attached as Exhibit D may be used for this purpose but is not required.

- 20. Non-Participating Class Members have no right to object to any of the class action components of the Settlement.
- 21. Not later than 14 days before the date by which Plaintiffs are required to file the Motion for Final Approval of the Settlement, the Administrator will provide to Class Counsel and Defense Counsel, a signed declaration suitable for filing in Court attesting to its due diligence and compliance with all of its obligations under the Settlement Agreement, including, but not limited to, its mailing of the Notice Packets, the Notice Packets returned as undelivered, the re-mailing of Notice Packets, attempts to locate Class Members, the total number of Requests for Exclusion from Settlement it received (both valid or invalid), the number of written objections and attach the Exclusion List. The Administrator will supplement its declaration as needed or requested by the Parties and/or the Court. Class Counsel is responsible for filing the Administrator's declaration(s) in Court.
- 22. The Court approves, as to form and content, the Class Notice in substantially the form attached as Exhibit A to this Order, the Election Not to Participate in Settlement form in substantially the form attached as Exhibit B to this Order, the Paychecks Dispute form in substantially the form attached as Exhibit C to this Order, and the Objection form in substantially the form attached as Exhibit D to this Order.

1	proposed Settlement that is the subject of this order, and all evidence and proceedings had in		
2	connection therewith, shall be without prejudice to the status quo ante rights of the Parties to the		
3	litigation, as more specifically set forth in the Settlement.		
4	31. The Court expressly reserves the right to adjourn or continue the Final Approval		
5	Hearing from time to time without further notice to members of the Class. The Plaintiffs shall		
6	give prompt notice of any continuance to Settlement Class Members who object to the Settlement.		
7	IT IS SO ORDERED.		
8	II IS SO ORDERED.		
9 10			
11	DATE THE HONORABLE THOMAS P.		
12	ANDERLE SUPERIOR COURT JUDGE		
13			
14			
15			
16			
17			
18			
19			
20			
21			
22			
23			
24			
25			
26			
27	10		
28	Frausto Villegas v. DLP Management Co., Inc., Class Action Settlement Agreement		

EXHIBIT F

Frausto Villegas v. DLP Management Co., Inc., et al.

1			
2			
3			
4			
5			
6			
7	SUPERIOR COURT OF THE STATE OF CALIFORNIA		
8	FOR THE COUNTY OF SANTA BARBARA		
9	(UNLIMITED JURISDICTION)		
10	JOSE FRAUSTO VILLEGAS, on behalf of	Lead Case No.:	
11	himself, all others similarly situated, and the general public,		rith: 22CV01392 P. Anderle, Department 3]
12	Plaintiff,	[PROPOSED]	FINAL ORDER AND
13	VS.	JUDGMENT ACTION SET	APPROVING CLASS
14			
15	DLP MANAGEMENT CO., INC., a California corporation d/b/a DLP MANAGEMENT CO and	Action filed: Dept:	November 12, 2021 4, The Honorable Thomas
16	DLP MANAGEMENT INC.; and DOES 1–50, inclusive,		P. Anderle
17	Defendants.		
18	Defendings.		
19			
20			
21			
22			
23			
24			
25			
26			
27			
28	Frausto Villegas v. DLP Management Co., Inc.,	Class Ac	etion Settlement Agreement
	et al.		<u> </u>

1 2	JOSE MANUEL BARRAGAN, on behalf of himself, all others similarly situated, and the general public, Case No.: 22CV01392
3	Plaintiff,
4	VS.
5	DLP MANAGEMENT CO., INC., a
6	California: corporation doing business in
7	California as DLP MANAGEMENT CO and as DLP MANAGEMENT INC. and DLP
8	MANAGEMENT INC; DARIO L. PINI, an individual; and DOES 1-50, inclusive,
9	Defendants.
10	Detendants.
11	This matter came on for hearing on atm. in Department 4 of the above-captioned
12	court on Plaintiffs' Motion for Final Approval of a Class Action Settlement pursuant to California
13	Rules of Court, Rule 3.769, as set forth in the Joint Stipulation of Class Action Settlement and
14	Release of Claims (the "Settlement") filed herewith which provides for a Gross Settlement
15 16	Amount ("GSA") of up to \$750,000.00 in compromise of all disputed claims on behalf of during
17	the period of November 12, 2017 to the date of preliminary Court approval of the Settlement
18	("Settlement Class Period"). All capitalized terms used herein shall have the same meaning as
19	defined in the Settlement.
20	In accordance with the Court's prior Order Granting Preliminary Approval of Class
21	Action Settlement, Class Members have been given notice of the terms of the Settlement and the
22	opportunity to submit a claim, request exclusion, comment upon or object to it or to any of its
23	terms. Having received and considered the Settlement, the supporting papers filed by the Parties,
24	and the evidence and argument received by the Court in conjunction with the motions for
25	
26	preliminary and final approval of the Settlement, the Court grants final approval of the Settlement
27	and HEREBY ORDERS, ADJUDGES, DECREES AND MAKES THE FOLLOWING
28	3

Class Action Settlement Agreement

Frausto Villegas v. DLP Management Co., Inc.,

DETERMINATIONS¹:

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

- 1. The Court has jurisdiction over the subject matter of the Action and over all Parties to the Action, including all Class Members. Pursuant to this Court's Order Granting Preliminary Approval of Class Action Settlement of , the Notice Packet was sent to each Class Member by First Class U.S. mail. The Notice Packet informed Class Members of the terms of the Settlement, their right to receive their proportional share of the Settlement, their right to request exclusion, their right to comment upon or object to the Settlement, and their right to appear in person or by counsel at the final approval hearing and be heard regarding final approval of the Settlement. Adequate periods of time were provided by each of these procedures. No member of the Settlement Class presented written objections to the proposed Settlement as part of this notice process, stated an intention to appear, or actually appeared at the final approval hearing.
- 2. For purposes of this Final Order and Judgment, the Class Members are during the Class Period. at any time during the period of November 12, 2017 to the date of preliminary Court approval of the Settlement ("Settlement Class Period").
- 3. The Court finds and determines that the notice procedure afforded adequate protections to Class Members and provides the basis for the Court to make an informed decision regarding final approval of the Settlement based on the responses of Class Members. The Court finds and determines that the notice provided in this case was the best notice practicable, which satisfied the requirements of law and due process as to all persons entitled to such notice.

Release by Plaintiffs and Class Members. The Parties agree that it is their intent that the

Frausto Villegas v. DLP Management Co., Inc., et al.

Class Action Settlement Agreement

23 24

25

26

27

28

¹ A true and correct copy of the Court's ruling on the Motion for Final Approval of Class Action Settlement entered on is attached hereto as **Exhibit A** and incorporated by reference. A true and correct copy of the Court's Minute Order dated is attached hereto as **Exhibit B** and incorporated by reference.

resolution set forth in this Settlement will release and discharge the Released Claims by way of any further attempt, by lawsuit, administrative claim or action, arbitration, demand, or other action of any kind by each and all of the Settlement Class Members (including participation to any extent in any representative or collective action) against the Released Parties. This release will not take effect until Defendants have paid the Gross Settlement Amount in full per this Settlement Agreement.

"Released Claims" shall mean all claims any and all claims under state, federal, or local law, whether statutory or common law arising out of the claims expressly pleaded the Actions and all other claims, such as those under California Labor Code sections 201, 202, 203, 226.7, 510, 512, 558.1, 1182.12, 1194, 1197, 1198, and 2802, the Wage Orders, regulations, and/or other provisions of law, that could have been pleaded based on the facts pleaded in the Actions for: failure to pay employees all earned wages, including but not limited to overtime at one and one half times regular wages and/ or overtime at two times regular wages if applicable, failure to provide meal periods, failure to authorize and permit rest periods, failure to indemnify for business expenses, failure to timely pay final wages, and unfair competition under Business & Professions Code sections 17200, et seq.

"Released Parties" shall mean Defendants and any of their present and former parent companies, subsidiaries, divisions, concepts, related or affiliated companies and its shareholders, officers, directors, employees, agents, attorneys, insurers, successors and assigns, and any individual or entity that Defendant Dario Pini has any ownership interest in that could be liable for any of the Released Claims, and Defendants' counsel of record in the Actions.

2. The Court further finds and determines that the terms of the Settlement are fair, reasonable and adequate, that the Settlement is ordered finally approved, and that all terms and

1	affecting the finality of this Final Order and Judgment in any way, the Court retains jurisdiction
2	of all matters relating to the interpretation, administration, implementation, effectuation and
3	enforcement of this Final Order and Judgment and the Settlement pursuant to California Rule of
4	Court 3.769(h).
5	8. Within 60 calendar days of the Effective Date of Settlement, Defendants shall
6 7	deposit the Settlement proceeds in an account designated by the Administrator: (i) the total
8	amount of all Individual Class Payments to Participating Class Members, (ii) the Court approved
9	Class Counsel fees & costs, (iii) the Court-approved Class Representative Service Payments, and
10	(iv) the Court-approved costs of the Administrator.
11	9. Defendants' payment of such sums shall be the sole financial obligation of
12	Defendants under the Settlement, and shall be in full satisfaction of all claims released herein,
13	including, without limitation, all claims for wages, penalties, interest, attorneys' fees, costs and
14	
15	expenses.
16	10. Pursuant to CCP 384 and the Settlement, Participating Class Members shall have
17	one hundred and eighty (180) days from the date of the check's issuance to cash their Settlement
18	Share check. After the expiration of the 180-day period, on Defendants' behalf, the Administrator
19	shall remit any amounts from voided settlement checks and otherwise unclaimed, plus interest on
20	the Residue at the legal rate of interest from the date of entry of the initial judgement to the
21	California Unclaimed Property Fund.
22 23	11. The Parties shall file a final accounting report by A non-appearance case
24	review re submission of a final report is scheduled for atm. in Department 4. The
25	Parties shall also prepare and file a stipulation and proposed order and proposed Amended Final
26	Order and Judgment by which includes the amount of distribution of unpaid cash Residue,
27	
28	7
	Frausto Villegas v. DLP Management Co., Inc., Class Action Settlement Agreement et al.

and unclaimed or abandoned funds to the non-party, the accrued interest on that sum. The stipulation shall be signed by counsel for the class and defense counsel in accord with the proposed Amended Final Order and Judgment. If there are objections by any party or non-party, class counsel shall immediately notify the Court and the matter will be set for further hearing. A non-appearance hearing for the lodging of the stipulation and proposed order and separate amended judgment is scheduled for ___ at ___.m. in Department 4.

- 12. Nothing in this Final Order and Judgment shall preclude any action to enforce the Parties' obligations under the Settlement or hereunder, including the requirement that Defendants deposit funds for distribution by the Administrator to Participating Class Members in accordance with the Settlement.
- 13. The Court hereby enters final judgment in this case in accordance with the terms of the Settlement, Order Granting Preliminary Approval of Class Action Settlement, and this Final Order and Judgment.
 - 14. The Parties are hereby ordered to comply with the terms of the Settlement.
- 15. The Parties shall bear their own costs and attorneys' fees except as otherwise provided by the Settlement and this Final Order and Judgment.
- 16. The Settlement is not an admission by Defendants nor is this Final Order and Judgment a finding of the validity of any claims in the Action or of any wrongdoing by Defendants. Furthermore, the Settlement is not a concession by Defendants and shall not be used as an admission of any fault, omission, or wrongdoing by Defendants. Neither this Final Order and Judgment, the Settlement, any document referred to herein, any exhibit to any document referred to herein, any action taken to carry out the Settlement, nor any negotiations or proceedings related to the Settlement are to be construed as, or deemed to be evidence of, or an

Class Action Settlement Agreement

Frausto Villegas v. DLP Management Co., Inc.,