

SUPERIOR COURT OF THE STATE OF CALIFORNIA
FOR THE COUNTY OF ORANGE

ADALBERTO DUBON, as an individual and
on behalf of all others similarly situated,

Plaintiff,

vs.

VENTURA FOODS, I.L.C, a Delaware
Limited Liability Company; and DOES 1
through 100,

Defendants.

Case No.: 30-2024-01377979-CU-OE-CXC

*[Assigned for all purposes to the Honorable
Melissa R. McCormick, Dept. CX105]*

ORDER GRANTING PRELIMINARY
APPROVAL OF CLASS ACTION AND
PAGA SETTLEMENT

Complaint Filed: February 7, 2024

1 The Motion of Plaintiff Adalberto Dubon ("Plaintiff") for Preliminary Approval of Class
2 Action and PAGA Settlement came on regularly for hearing before this Court on August 20,
3 2026 at 2:00 p.m. This Court, having considered the Class Action and PAGA Settlement
4 Agreement (attached as Exhibit 1 hereto) and the Amendments to the Class Action and PAGA
5 Settlement Agreement (attached hereto as Exhibits 2 and 3) (the settlement agreement and the
6 amendments thereto are referred to collectively herein as the "Settlement" or the "Settlement
7 Agreement"); having considered Plaintiff's Motion for Preliminary Approval of Class Action
8 Settlement, and all declarations and documents filed in support of the motion; orders as follows:

9 1. The Court grants preliminary approval of the Settlement and finds its terms to be
10 within the range of reasonableness of a settlement that ultimately could be granted approval by
11 the Court at a Final Approval Hearing. The Court preliminarily finds that the Settlement is fair,
12 reasonable, and adequate and in the best interests of the Settlement Class members. For
13 purposes of the Settlement, the Court finds that the proposed Settlement Class is ascertainable
14 and that there is a sufficiently well-defined community of interest among the members of the
15 Settlement Class in questions of law and fact. Therefore, for settlement purposes only, the Court
16 grants preliminary certification of the following Settlement Class:

17 All persons who are or were employed by Defendant Ventura
18 Foods, LLC as hourly paid, non-exempt employees in the State of
19 California at any time from February 7, 2020 through April 20,
20 2025 ("Class Period"), excluding any employees employed at
21 Defendant's recently acquired Visalia California facility ("Class
22 Period").

23 2. For purposes of the Settlement, the Court preliminarily designates named
24 Plaintiff Adalberto Dubon as Class Representative, and preliminarily designates Paul K. Haines,
25 Sean M. Blakely, and Alexandra R. McIntosh of Haines Law Group, APC as Class Counsel.

26 3. The Court preliminarily designates ILYM Group, Inc. as the third-party
27 Settlement Administrator for mailing notices.

28 4. The Court approves, as to form and content, the Class Notice, and its attached
forms (collectively, the "Notice Packet"), attached hereto as Exhibit 4 in English and Spanish.

5. The Maximum Settlement Amount is \$770,000. The court preliminarily

1 approves the following deductions from the Maximum Settlement Amount: (i) attorneys' fees
2 not to exceed \$231,000; (ii) attorneys' costs not to exceed \$40,000; (iii) an enhancement award
3 to plaintiff not to exceed \$5,000; (iv) settlement administration fees not to exceed \$13,850; and
4 (v) total PAGA penalties of \$50,000 (\$37,500 to the LWDA)).

5 6. The Court finds that the form of notice to the Settlement Class regarding the
6 pendency of the action and of the Settlement, and the methods of giving notice to members of
7 the Settlement Class, constitute the best notice practicable under the circumstances, and
8 constitute valid, due, and sufficient notice to all of the Class Members.

9 7. All persons who are or were employed by Defendant Ventura Foods, LLC as
10 hourly paid, non-exempt employees in the State of California at any time from February 7, 2023
11 through April 20, 2025 ("PAGA Period"), excluding any employees employed at Defendant's
12 recently acquired Visalia California facility, are considered "Aggrieved Employees."

13 8. The Court orders the Parties, their counsel and the Settlement Administrator to
14 carry out their duties and obligations in accordance with terms of the Settlement Agreement.

15 9. The Final Approval Hearing on the question of whether the Settlement should be
16 finally approved as fair, reasonable, and adequate is scheduled in Department CX-105 of this
17 Court, located at 751 West Santa Ana Boulevard, Santa Ana, California 92701 on February 11,
18 2027 at 2:00 p.m. At the Final Approval Hearing, the Court will consider whether the
19 Settlement should be finally approved as fair, reasonable, and adequate for the Settlement Class.

20 10. Counsel for the parties shall file and serve memoranda, declarations, or other
21 statements and materials in support of their request for final approval of the Settlement,
22 attorneys' fees, litigation expenses, Plaintiff's service payment, and settlement administration
23 costs, at least sixteen (16) court days prior to the Final Approval Hearing.

24 11. An implementation schedule is below:

Event	Date
Defendant to provide Class Data to the Settlement Administrator no later than [21 calendar days after preliminary approval]:	September 10, 2026

Settlement Administrator to mail the Notice Packet to Settlement Class members no later than [35 calendar days after receiving Class Data]:	October 15, 2026
Deadline for Class Members to request exclusion from, or object to, the Settlement, or submit a dispute [60 calendar days after mailing]:	December 14, 2026
Deadline for Plaintiff to file his Motion for Final Approval of Class Action Settlement:	January 14, 2027
Final Approval Hearing:	February 11, 2027 at 2:00 p.m.

12. Pending the Final Approval Hearing, all proceedings in this action, other than proceedings necessary to carry out or enforce the terms and conditions of the Settlement and this Order, are stayed.

13. The Court retains jurisdiction to enforce the Settlement pursuant to California Code of Civil Procedure section 664.6.

IT IS SO ORDERED.



Dated: August 31, 2026

Melissa R. McCormick
Judge of the Superior Court

EXHIBIT 1

CLASS ACTION AND PAGA SETTLEMENT AGREEMENT

This Class Action and PAGA Settlement Agreement (the “Agreement”) is made by and between Plaintiff **Adalberto Dubon** (“Plaintiff”) and Defendant **Ventura Foods, LLC** (“Defendant”). The Agreement refers to Plaintiff and Defendant collectively as “Parties,” or individually as “Party.”

1. **DEFINITIONS**

1.1. “**Action**” means the Plaintiff’s lawsuit alleging wage and hour violations against Defendant, captioned *Adalberto Dubon v. Ventura Foods, LLC*, Case No. 30-2024-01377979-CU-OE-CXC, initiated on or about February 7, 2024, and pending in the Superior Court of the State of California, County of Orange.

1.2. “**Administrator**” means ILYM Group, Inc. the neutral entity the Parties have agreed to appoint to administer the Settlement.

1.3. “**Administration Expenses Payment**” means the amount the Administrator will be paid from the Maximum Settlement Amount to reimburse its reasonable fees and expenses in accordance with the Administrator’s “not to exceed” bid submitted to the Court in connection with Preliminary Approval of the Settlement.

1.4. “**Class**” means all persons who are or were employed by Defendant as hourly paid, non-exempt employees in the State of California at any time during the Class Period, excluding any employees employed at Defendant’s recently acquired Visalia California facility.

1.5. “**Class Counsel**” means Paul K. Haines, Sean M. Blakely, and Alexandra R. McIntosh of Haines Law Group, APC.

1.6. “**Class Counsel Fees Payment**” and “**Class Counsel Litigation Expenses Payment**” mean the amounts allocated to Class Counsel for reimbursement of reasonable attorneys’ fees and expenses, respectively, incurred to prosecute the Action.

1.7. “**Class Data**” means Class Member identifying information in Defendant’s possession including the Class Member’s (1) name; (2) last-known mailing address; (3) social security number(s); and (4) number of Class Period Workweeks and PAGA Pay Periods.

1.8. “**Class Member**” or “**Settlement Class Member**” means a member of the Class, as either a Participating Class Member or Non-Participating Class Member (including a Non-Participating Class

Member who qualifies as an Aggrieved Employee).

1.9. “Class Member Address Search” means the Administrator’s investigation and search for current Class Member mailing addresses using all reasonably available sources, methods and means including, but not limited to, the National Change of Address database, skip traces, and direct contact by the Administrator with Class Members.

1.10. “Class Notice” means the COURT APPROVED NOTICE OF CLASS ACTION SETTLEMENT AND HEARING DATE FOR FINAL COURT APPROVAL, to be mailed to Class Members in English and Spanish in the form, without material variation, attached as Exhibit A and incorporated by reference into this Agreement.

1.11. “Class Period” means the period from February 7, 2020 through the date of Preliminary Approval.

1.12. “Class Representative” means the named Plaintiff Adalberto Dubon in the Action seeking Court approval to serve as Class Representative.

1.13. “Class Representative Service Payment” means the payment to the Class Representative for initiating the Action and providing services in support of the Action.

1.14. “Court” means the Superior Court of California, County of Orange.

1.15. “Defendant” means named Defendant Ventura Foods, LLC.

1.16. “Defense Counsel” means Nicky Jatana, Trevor R. Witt, and Buck N. Haddix of Jackson Lewis P.C.

1.17. “Effective Date” means the date by when all of the following events have occurred: (1) the Stipulation of Settlement has been executed by all Parties, Class Counsel and Defendant’s Counsel; (2) the Court has given preliminary approval to the Stipulation of Settlement; (3) the Notice of Class Action Settlement has been given to the putative members of the Settlement Class, providing them with an opportunity to object to the terms of this Stipulation of Settlement or opt out of the settlement; (4) the Court has held a formal fairness hearing and entered a final Order and Judgment certifying the Settlement Class, and approving the Stipulation of Settlement; (5) 65 calendar days have passed since the Court has entered a final Order and Judgment certifying the Settlement Class, dismissing the Action with prejudice, and approving the Stipulation of Settlement; and (6) five business days after the period for filing any

appeal, writ or other appellate proceeding opposing the Court's Entry of Judgment has elapsed without any appeal, writ or other appellate proceeding having been filed; or, if any appeal, writ or other appellate proceeding opposing or seeking to vacate the Court's Judgment or final Order approving the Stipulation of Settlement has been filed, five business days after any appeal, writ or other appellate proceedings opposing the Stipulation of Settlement or seeking to vacate the Judgment has been finally and conclusively dismissed with no right to pursue further remedies or relief.

1.18. "Final Approval" means the Court's order granting final approval of the Settlement.

1.19. "Final Approval Hearing" means the Court's hearing on the Motion for Final Approval of the Settlement.

1.20. "Final Judgment" means the Judgment Entered by the Court upon Granting Final Approval of the Settlement.

1.21. "Maximum Settlement Amount" means Seven Hundred Seventy Thousand Dollars and Zero Cents (\$770,000.00) which is the total amount Defendant agrees to pay under the Settlement except as provided in Paragraph 8 below. The Maximum Settlement Amount will be used to pay Individual Settlement Awards, Individual PAGA Payments, the LWDA PAGA Payment, Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment, Class Representative Service Payments and the Administrator's Expenses. Defendant's share of payroll taxes owed on the wage portions of the Individual Settlement Awards shall be paid by Defendant separately from, and in addition to, the Maximum Settlement Amount

1.22. "Individual Settlement Awards" means the Participating Class Member's pro rata share of the Net Settlement Amount calculated according to the number of Workweeks worked during the Class Period.

1.23. "Judgment" means the judgment entered by the Court based upon the Final Approval.

1.24. "LWDA" means the California Labor and Workforce Development Agency, the agency entitled, under Labor Code section 2699, subd. (i).

1.25. "LWDA PAGA Payment" means the 75% of the PAGA Penalties paid to the LWDA under Labor Code section 2699, subd. (i).

1.26. "Net Settlement Amount" means the Maximum Settlement Amount, less the following

payments in the amounts approved by the Court: Individual PAGA Payments, the LWDA PAGA Payment, Class Representative Service Payment, Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment, and the Administration Expenses Payment. The remainder is to be paid to Participating Class Members as Individual Settlement Awards.

1.27. “Non-Participating Class Member” means any Class Member who opts out of the Settlement by sending the Administrator a valid and timely Request for Exclusion.

1.28. “Notice Packet” means the Class Notice, Request for Exclusion Form, and the Objection Form. The Class Notice is attached as Exhibit A. The Request for Exclusion Form is attached as Exhibit B. The Objection Form is attached as Exhibit C.

1.29. “PAGA Pay Period” means any Pay Period during which an Aggrieved Employee worked for Defendant for at least one day during the PAGA Period.

1.30. “PAGA Period” means the period from February 7, 2023 through the end of the Class Period.

1.31. “PAGA” means the Private Attorneys General Act (Labor Code §§ 2698. et seq.).

1.32. “PAGA Notice” means Plaintiff Adalberto Dubon’s February 7, 2024 letter to Defendant and the LWDA, providing notice pursuant to Labor Code section 2699.3, subd. (a).

1.33. “PAGA Penalties” means the total amount of PAGA civil penalties to be paid from the Maximum Settlement Amount, allocated 25% to the Aggrieved Employees (\$12,500.00) and the 75% to the LWDA (\$37,500.00) in settlement of the PAGA claims.

1.34. “Participating Class Member” means a Class Member who does not submit a valid and timely Request for Exclusion from the Settlement.

1.35. “Plaintiff” means Adalberto Dubon, the named plaintiff in the Action.

1.36. “Preliminary Approval” means the Court’s Order Granting Preliminary Approval of the Settlement.

1.37. “Preliminary Approval Order” means the proposed Order Granting Preliminary Approval of Class and PAGA Action Settlement.

1.38. “Released Class Claims” means the claims being released as described in Paragraph 5.2 below.

1.39. “Released PAGA Claims” means the claims being released as described in Paragraph 5.3 below.

1.40. “Released Parties” means Defendant and all of its present and former parent companies, direct and indirect subsidiaries, members, joint ventures, owners, principals, agents, divisions, and their respective related or affiliated companies, shareholders, officers, directors, employees, agents, attorneys, insurers, successors and assigns, and any individual or entity which could be liable for any of the Released Claims, and Defendant’s counsel of record in the Action.

1.41. “Request for Exclusion” means a Class Member’s submission of a signed Request for Exclusion Form, attached to this Agreement as Exhibit B.

1.42. “Response Deadline” means 60 days after the Administrator mails Notice to Class Members and Aggrieved Employees, and shall be the last date on which Class Members may: (a) fax, email, or mail Requests for Exclusion from the Settlement, or (b) fax, email, or mail his, her, or their Objection to the Settlement. Class Members to whom Notice Packets are resent after having been returned undeliverable to the Administrator shall have an additional 14 calendar days beyond the Response Deadline expiration date.

1.43. “Settlement” means the disposition of the Action effected by this Agreement and the Judgment.

1.44. “Workweek” means any week during which a Class Member worked for Defendant for at least one day, during the Class Period.

2. RECITALS

2.1. Pursuant to Labor Code section 2699.3, subd. (a), Plaintiff gave timely written notice to Defendant and the LWDA by sending the PAGA Notice.

2.2. On February 7, 2024, Plaintiff Dubon commenced this putative class action by filing a complaint against Defendant in the Superior Court of California, County of Orange, asserting causes of action against Defendant for: (1) failure to pay minimum wages; (2) failure to pay overtime; (3) failure to provide lawful meal periods; (4) failure to provide lawful rest periods; (5) failure to reimburse necessary expenses; (6) failure to provide accurate itemized wage statements; and (7) unfair competition (hereinafter, “Original Complaint”). On May 16, 2024, Plaintiff filed a First Amended Class and Representative Action Complaint, adding a cause of action under PAGA (hereinafter, “FAC”). Defendant denies the allegations

in the Original Complaint and FAC.

2.3. On January 7, 2025, Plaintiff and Defendant, by and through Class Counsel and Defense Counsel respectively, participated in an all-day mediation presided over by Steven G. Metha, Esq., which led to this Agreement to settle the Action.

2.4. Prior to mediation, Plaintiff obtained, through informal discovery, time records, pay records, and other information relating to the size and scope of the Class, as well as data permitting Plaintiff to fully understand the nature and scope of the allegations. Plaintiff's investigation was sufficient to satisfy the criteria for court approval set forth in *Dunk v. Foot Locker Retail, Inc.* (1996) 48 Cal.App.4th 1794, 1801 and *Kullar v. Foot Locker Retail, Inc.* (2008) 168 Cal.App.4th 116, 129-130 ("*Dunk/Kullar*").

2.5. The Court has not granted class certification.

2.6. The Parties, Class Counsel and Defense Counsel represent that they are not aware of any other pending matter or action asserting claims that will be extinguished or affected by the Settlement.

3. **MONETARY TERMS**

3.1. Maximum Settlement Amount. Except as otherwise provided by Paragraph 8 below, Defendant promises to pay Seven Hundred Seventy Thousand Dollars and Zero Cents (\$770,000.00) and no more as the Maximum Settlement Amount. Defendant has no obligation to pay the Maximum Settlement Amount (or any payroll taxes) prior to the deadline stated in Paragraph 4.3 of this Agreement. The Administrator will disburse the entire Maximum Settlement Amount without asking or requiring Participating Class Members or Aggrieved Employees to submit any claim as a condition of payment. The settlement is non-reversionary and none of the Maximum Settlement Amount will revert to Defendant.

3.2. Payments from the Maximum Settlement Amount. The Administrator will make and deduct the following payments from the Maximum Settlement Amount, in the amounts specified by the Court in the Final Approval:

3.2.1. To Plaintiff: Class Representative Service Payment to the Class Representative of not more than Five Thousand Dollars and Zero Cents (\$5,000.00), in addition to any Individual Settlement Award and any Individual PAGA Payment the Class Representative is entitled to receive as a Participating Class Member. Defendant will not oppose Plaintiff's request for Class Representative Service Payment that does not exceed this amount. As part of the Motion for Final Approval, Plaintiff will seek Court approval for any

Class Representative Service Payment no later than 16 court days prior to the Final Approval Hearing. If the Court approves the Class Representative Service Payment for less than the amounts requested, the Administrator will retain the remainder in the Net Settlement Amount. The Administrator will pay the Class Representative Service Payment using IRS Form 1099. Plaintiff assumes full responsibility and liability for employee taxes owed on his individual Class Representative Service Payment.

3.2.2. To Class Counsel: A Class Counsel Fees Payment of not more than one-third (1/3) of the Maximum Settlement Amount, which is currently estimated to be Two Hundred Fifty-Six Thousand Six Hundred Sixty-Six Dollars and Sixty-Six Cents (\$256,666.66) and a Class Counsel Litigation Expenses Payment of not more than Forty Thousand Dollars and Zero Cents (\$40,000.00). Defendant will not oppose requests for these payments provided that they do not exceed these amounts. As part of the Motion for Final Approval, Class Counsel will seek court approval of the requested Class Counsel Fees Payment and Litigation Expenses Payment. If the Court approves a Class Counsel Fees Payment and/or a Class Counsel Litigation Expenses Payment less than the amounts requested, the Administrator will allocate the remainder to the Net Settlement Amount. The Administrator will pay the Class Counsel Fees Payment and Class Counsel Expenses Payment using one or more IRS 1099 Forms. Class Counsel assumes full responsibility and liability for taxes owed on the Class Counsel Fees Payment and the Class Counsel Litigation Expenses Payment.

3.2.3. To the Administrator: An Administrator Expenses Payment not to exceed \$13,850.00 except for a showing of good cause and as approved by the Court. To the extent the Administration Expenses are less or the Court approves payment less than \$13,850.00, the Administrator will retain the remainder in the Net Settlement Amount.

3.2.4. To Each Participating Class Member: An Individual Settlement Award calculated by (a) dividing the Net Settlement Amount by the total number of Workweeks worked by all Participating Class Members during the Class Period and (b) multiplying the result by each Participating Class Member's Workweeks.

3.2.4.1. Tax Allocation of Individual Settlement Awards: 20% of each Participating Class Member's Individual Settlement Award will be allocated to settlement of wage claims (the "Wage Portion"). The Wage Portions are subject to tax

withholding and will be reported on an IRS W-2 Form. The other 80% of each Participating Class Member's Individual Settlement Award will be allocated to settlement of claims for interest and penalties (the "Non-Wage Portion"). The Non-Wage Portions are not subject to wage withholdings and will be reported on IRS 1099 Forms. Participating Class Members assume full responsibility and liability for any employee taxes owed on their Individual Settlement Award.

3.2.4.2. Effect of Non-Participating Class Members on Calculation of Individual Settlement Awards: Non-Participating Class Members will not receive any Individual Settlement Awards. The Administrator will retain amounts equal to their Individual Settlement Awards in the Net Settlement Amount for distribution to Participating Class Members on a pro rata basis.

3.2.5. To the LWDA and Aggrieved Employees: PAGA Penalties in the amount of Fifty Thousand Dollars And Zero Cents (\$50,000.00) to be paid from the Maximum Settlement Amount, with 75% (\$37,500.00) allocated to the LWDA PAGA Payment and 25% (\$12,500.00) allocated to the Individual PAGA Payments.

3.2.5.1. The Administrator will calculate each Individual PAGA Payment by (a) dividing the amount of the Aggrieved Employees' 25% share of PAGA Penalties (\$12,500.00) by the total number of PAGA Pay Periods worked by all Aggrieved Employees during the PAGA Period and (b) multiplying the result by each Aggrieved Employee's PAGA Pay Periods. Aggrieved Employees assume full responsibility and liability for any taxes owed on their Individual PAGA Payment.

3.2.5.2. If the Court approves PAGA Penalties of less than the amount requested, the Administrator will allocate the remainder to the Net Settlement Amount. All penalties paid under PAGA shall be allocated as 100% penalties. The Administrator will report the Individual PAGA Payments on IRS 1099 Forms.

- 3.2.5.3.** Class Members may not object or request exclusion from the PAGA Release and all Aggrieved Employees will automatically receive an Individual PAGA Payment regardless if they submit a Request for Exclusion or Objection.

4. SETTLEMENT FUNDING AND PAYMENTS

4.1. Class Workweeks. Based on a review of its records to date, Defendant estimates Class Members collectively worked a total of 116,003 Workweeks during the Class Period.

4.2. Class Data. Not later than 21 calendar days after the Court grants Preliminary Approval of the Settlement, Defendant will deliver the Class Data to the Administrator, in the form of a Microsoft Excel spreadsheet. To protect Class Members' privacy rights, the Administrator must maintain the Class Data in confidence, use the Class Data only for purposes of this Settlement and for no other purpose, and restrict access to the Class Data to Administrator employees who need access to the Class Data to effect and perform under this Agreement. Defendant has a continuing duty to immediately notify Class Counsel if it discovers that the Class Data omitted class member identifying information and to provide corrected or updated Class Data as soon as reasonably feasible. Without any extension of the deadline by which Defendant must send the Class Data to the Administrator, the Parties and their counsel will expeditiously use best efforts, in good faith, to reconstruct or otherwise resolve any issues related to missing or omitted Class Data.

4.3. Funding of Maximum Settlement Amount. Defendant shall fully fund the Maximum Settlement Amount, and also fund the amounts necessary to fully pay Defendant's share of payroll taxes by transmitting the funds to the Administrator no later than 21 calendar days after the Effective Date.

4.4. Payments from the Maximum Settlement Amount. Within 7 calendar days after Defendant funds the Maximum Settlement Amount, the Administrator will mail checks for all Individual Settlement Awards, all Individual PAGA Payments, the LWDA PAGA Payment, the Administration Expenses Payment, the Class Counsel Fees Payment, the Class Counsel Litigation Expenses Payment, and the Class Representative Service Payment. Disbursement of the Class Counsel Fees Payment, the Class Counsel Litigation Expenses Payment and the Class Representative Service Payment shall not precede disbursement of Individual Settlement Awards and Individual PAGA Payments.

4.4.1. The Administrator will issue checks for the Individual Settlement Awards and/or Individual PAGA Payments and send them to the Class Members via First Class U.S. Mail, postage prepaid. The face of each check shall prominently state the date (not less than 180 days after the date of mailing) when the check will be voided. The Administrator will cancel all checks not cashed by the void date. The Administrator will send checks for Individual Settlement Awards to all Participating Class Members (including those for whom Class Notice was returned undelivered). The Administrator will send checks for Individual PAGA Payments to all Aggrieved Employees including Non-Participating Class Members who qualify as Aggrieved Employees (including those for whom Class Notice was returned undelivered). The Administrator may send Participating Class Members a single check combining the Individual Settlement Award and the Individual PAGA Payment. Before mailing any checks, the Settlement Administrator must update the recipients' mailing addresses using the National Change of Address Database.

4.4.2. The Administrator must conduct a Class Member Address Search for all other Class Members whose checks are returned undelivered without United States Postal Service ("USPS") forwarding address. Within 5 days of receiving a returned check the Administrator must re-mail checks to the USPS forwarding address provided or to an address ascertained through the Class Member Address Search. The Administrator need not take further steps to deliver checks to Class Members whose re-mailed checks are returned as undelivered. The Administrator shall promptly send a replacement check to any Class Member whose original check was lost or misplaced, requested by the Class Member prior to the void date.

4.4.3. For any Class Member whose Individual Settlement Award check or Individual PAGA Payment check is uncashed and cancelled after the void date, the Administrator shall transmit the funds to the Feeding America, a 501(c)(3) organization dedicated to providing resources to a nationwide network of food banks, food pantries, and meal programs so people facing hunger can put food on the table, including many programs to help children and teens have food cost free.

4.4.4. All Individual Settlement Awards to Class Members and all Individual PAGA Payments to Aggrieved Employees shall be deemed to be paid to such Class Members and Aggrieved Employees solely in the year in which such payments actually are received by the Class Members and Aggrieved Employees.

It is expressly understood and agreed that the receipt of such Individual Settlement Awards and Individual PAGA Payments will not entitle any Class Member or Aggrieved Employee to additional compensation or additional benefits under any compensation or benefit plans to which any Class Member or Aggrieved Employee may be eligible, including, but not limited to: bonus, contest or commissions plans, profit-sharing plans, bonus plans, 401(k) plans, stock purchase plans, deferred compensation plans, vacation plans, sick leave plans, PTO plans, and any other benefit plan. Rather, it is the intent of this Settlement that the Individual Settlement Awards and the Individual PAGA Payments provided for in this Settlement are the sole payments to be made by Defendant to the Class Members and Aggrieved Employees, will not affect any rights, contributions, or amounts to which any Class Members or Aggrieved Employees may be entitled under any compensation or benefit plans, and that the Class Members and Aggrieved Employees are not entitled to any new or additional compensation or benefits as a result of having received the Individual Settlement Awards and the Individual PAGA Payments (notwithstanding any contrary language or agreement in any benefit or compensation plan document that might have been in effect during the period covered by this Settlement). For those Class Members or Aggrieved Employees that are also union members, whose terms and conditions of employment are covered by a collective bargaining agreement ("CBA"), it is agreed that any Individual Settlement Awards and Individual PAGA Payments shall not be considered wages under the terms of the CBAs, particularly with respect to overtime, vacation accrual, sick leave accrual, and the payment of union dues and initiation fees.

5. RELEASE OF CLAIMS. Effective on the date when Defendant fully funds the entire Maximum Settlement Amount, Plaintiff, Class Members, and Class Counsel will release claims against all Released Parties as follows:

5.1. Plaintiff's Release. Plaintiff and his former and present spouses, representatives, agents, attorneys, heirs, administrators, successors, and assigns generally, release and discharge Released Parties from all claims, transactions, or occurrences, including, but not limited to: any and all claims, known and unknown, asserted and not asserted, which the Plaintiff has or may have against the Released Parties as of the date of execution of this Agreement ("Plaintiff's Released Claims"). The Plaintiff's Released Claims include, but are not limited to, all of the Released Class Claims, the Released PAGA Claims and any other claims arising under the California Labor Code; any claim arising out of the California common law of

contract; the Fair Labor Standards Act, 29 U.S.C. § 201 *et seq.*, and federal common law; all claims for lost wages and benefits, emotional distress, retaliation, punitive damages, and attorneys' fees and costs arising under federal, state, or local laws for discrimination, harassment, and wrongful termination, including but not limited to, 42 U.S.C. section 1981, Title VII of the Civil Rights Act of 1964, the Americans with Disabilities Act, the Age Discrimination in Employment Act, the California Fair Employment and Housing Act, the California Labor Code, and the law of contract and tort. This release excludes the release of claims not permitted by law. Plaintiff's Release does not extend to any claims or actions to enforce this Agreement, or to any claims for vested benefits, unemployment benefits, disability benefits, social security benefits, workers' compensation benefits (except as to claims under Labor Code §§ 132a and 4553) that arose at any time, or any claims based on occurrences outside the Class Period. Plaintiff acknowledges that Plaintiff may discover facts or law different from, or in addition to, the facts or law that Plaintiff now knows or believes to be true but agrees, nonetheless, that Plaintiff's Release shall be and remain effective in all respects, notwithstanding such different or additional facts or Plaintiff's discovery of them.

5.1.1. Plaintiff's Waiver of Rights Under Civil Code Section 1542. For purposes of Plaintiff's Release, Plaintiff expressly waives and relinquishes the provisions, rights, and benefits, if any, of section 1542 of the California Civil Code, which reads:

A general release does not extend to claims that the creditor or releasing party does not know or suspect to exist in his or her favor at the time of executing the release, and that if known by him or her would have materially affected his or her settlement with the debtor or Released Party.

5.2. Release by Participating Class Members: All Participating Class Members, on behalf of themselves and their respective former and present representatives, agents, attorneys, heirs, administrators, successors, and assigns, release Released Parties from all claims alleged, or reasonably could be alleged, in the Action and ascertained in the course of the Action, including without limitation, California Labor Code sections 201, 202, 203, 204, 210, 216, 218.6, 226, 226.3, 226.7, 510, 512, 516, 558, 1174, 1182.12, 1194, 1194.2, 1197, 1197.1, 1198, 2802, 2804, California Industrial Commission Wage Orders, and Business and Professions Code sections 17200, *et seq.*, and including all claims for or related to alleged failure to provide meal periods, failure to provide rest periods, failure to pay overtime wages, failure to pay minimum wage, failure to pay all wages due to discharged and quitting employees, failure to maintain required records,

failure to furnish accurate itemized wage statements, failure to indemnify employees for necessary expenditures incurred in discharge of duties, failure to produce employee records, and unfair and unlawful business practices, including, but not limited to, claims for injunctive relief, liquidated damages, penalties, interest, fees, costs, and all other claims and allegations made or which could have been made in the Action based on the facts and allegations pled in the Action during the Class Period (“Released Class Claims”).

5.3. Release by Aggrieved Employees: All Aggrieved Employees are deemed to release, on behalf of themselves and their respective former and present representatives, agents, attorneys, heirs, administrators, successors, and assigns, the Released Parties from all claims for PAGA penalties alleged, or reasonably could be alleged, in the Action and the PAGA Notices and ascertained in the course of the Action, including civil penalties pursuant to PAGA for or related to claims for failure to provide meal periods, failure to provide rest periods, failure to pay overtime wages, failure to pay minimum wage, failure to pay all wages due to discharged and quitting employees, failure to maintain required records, failure to furnish accurate itemized wage statements, failure to indemnify employees for necessary expenditures incurred in discharge of duties, and failure to produce employee records, as well as all other claims and allegations alleged in the Action, throughout the PAGA Period (“Released PAGA Claims”).

6. MOTION FOR PRELIMINARY APPROVAL. The Parties agree to jointly prepare and file a motion for preliminary approval (“Motion for Preliminary Approval”) that complies with the Court’s current checklist for Preliminary Approvals.

6.1. Plaintiff’s Responsibilities. Plaintiff will prepare and deliver to Defense Counsel all documents necessary for obtaining Preliminary Approval.

6.2. Responsibilities of Counsel. Class Counsel and Defense Counsel are jointly responsible for expeditiously finalizing and filing the Motion for Preliminary Approval no later than 30 days after the full execution of this Agreement; and obtaining a prompt hearing date for the Motion for Preliminary Approval. Class Counsel is responsible for delivering the Court’s Preliminary Approval to the Administrator.

6.3. Duty to Cooperate. If the Parties disagree on any aspect of the proposed Motion for Preliminary Approval and/or the supporting declarations and documents, Class Counsel and Defense Counsel will expeditiously work together on behalf of the Parties by meeting in person or by telephone,

and in good faith, to resolve the disagreement. If the Court does not grant Preliminary Approval or conditions Preliminary Approval on any material change to this Agreement, Class Counsel and Defense Counsel will expeditiously work together on behalf of the Parties by meeting in person or by telephone, and in good faith, to modify the Agreement and otherwise satisfy the Court's concerns.

7. SETTLEMENT ADMINISTRATION.

7.1. Selection of Administrator. The Parties have jointly selected ILYM Group, Inc. to serve as the Administrator and verified that, as a condition of appointment, ILYM Group, Inc. agrees to be bound by this Agreement and to perform, as a fiduciary, all duties specified in this Agreement in exchange for payment of Administration Expenses. The Parties and their Counsel represent that they have no interest or relationship, financial or otherwise, with the Administrator other than a professional relationship arising out of prior experiences administering settlements.

7.2. Employer Identification Number. The Administrator shall have and use its own Employer Identification Number for purposes of calculating payroll tax withholdings and providing reports state and federal tax authorities.

7.3. Qualified Settlement Fund. The Administrator shall establish a settlement fund that meets the requirements of a Qualified Settlement Fund ("QSF") under US Treasury Regulation section 468B-1.

7.4. Notice to Class Members.

7.4.1. No later than three (3) business days after receipt of the Class Data, the Administrator shall notify Class Counsel that the list has been received and state the number of Class Members, PAGA Members, Workweeks, and Pay Periods in the Class Data.

7.4.2. Using best efforts to perform as soon as possible, and in no event later than 35 days after the Court grants Preliminary Approval of the Settlement, the Administrator will send to all Class Members identified in the Class Data, via first-class USPS mail, the Class Notice with Spanish translation substantially in the form attached to this Agreement as Exhibit A. The first page of the Class Notice shall prominently estimate the dollar amounts of any Individual Settlement Award and/or Individual PAGA Payment payable to the Class Member, and the number of Workweeks and PAGA Pay Periods (if applicable) used to calculate these amounts. Before mailing Class Notices, the Administrator shall update Class Member addresses using the National Change of Address database.

7.4.3. Not later than 3 business days after the Administrator's receipt of any Class Notice returned by USPS as undelivered, the Administrator shall re-mail the Class Notice using any forwarding address provided by USPS. If USPS does not provide a forwarding address, the Administrator shall conduct a Class Member Address Search, and re-mail the Class Notice to the most current address obtained. The Administrator has no obligation to make further attempts to locate or send Class Notice to Class Members whose Class Notice is returned by USPS a second time.

7.4.4. The deadlines for Class Members' written objections, Challenges to Workweeks and/or Pay Periods, and Requests for Exclusion will be extended an additional 14 days beyond the 60 days otherwise provided in the Class Notice for all Class Members whose notice is re-mailed. The Administrator will inform the Class Member of the extended deadline with the re-mailed Class Notice.

7.4.5. If the Administrator, Defendant or Defense Counsel or Class Counsel is contacted by or otherwise discovers any persons who believe they should have been included in the Class Data and should have received Class Notice, the Parties will expeditiously meet and confer in person or by telephone, and in good faith in an effort to agree on whether to include them as Class Members. If the Parties agree, such persons will be Class Members entitled to the same rights as other Class Members, and the Administrator will send, via email or overnight delivery, a Class Notice requiring them to exercise options under this Agreement not later than 14 days after receipt of Class Notice, or the deadline dates in the Class Notice, which ever are later.

7.5. Requests for Exclusion (Opt-Outs).

7.5.1. Class Members who wish to exclude themselves (opt-out of) the Class Settlement must send the Administrator, by fax, email, or mail, a signed written Request for Exclusion not later than 60 days after the Administrator mails the Class Notice (plus an additional fourteen (14) days for Class Members whose Class Notice is re-mailed). To Request Exclusion, Class Members can use the Request for Exclusion Form included as Exhibit B or they can send a signed letter that reasonably communicates the Class Member's election to be excluded from the Settlement and includes the Class Member's name, address and email address or telephone number. To be valid, a Request for Exclusion must be timely faxed, emailed, or postmarked by the Response Deadline. Aggrieved Employees are prohibited from requesting exclusion from the Released PAGA Claims.

7.5.2. The Administrator may not reject a Request for Exclusion as invalid because it fails to contain all the information specified in the Class Notice. The Administrator shall accept any Request for Exclusion as valid if the Administrator can reasonably ascertain the identity of the person as a Class Member and the Class Member's desire to be excluded. The Administrator's determination shall be final and not appealable or otherwise susceptible to challenge. If the Administrator has reason to question the authenticity of a Request for Exclusion, the Administrator may demand additional proof of the Class Member's identity. The Administrator's determination of authenticity shall be final and not appealable or otherwise susceptible to challenge.

7.5.3. Every Class Member who does not submit a timely and valid Request for Exclusion is deemed to be a Participating Class Member under this Agreement, entitled to all benefits and bound by all terms and conditions of the Settlement, including the Participating Class Members' Releases under Paragraphs 5.2 and 5.3 of this Agreement, regardless whether the Participating Class Member actually receives the Class Notice or objects to the Settlement.

7.5.4. Every Class Member who submits a valid and timely Request for Exclusion is a Non-Participating Class Member and shall not receive an Individual Settlement Agreement or have the right to object to the class action components of the Settlement. Because future PAGA claims are subject to claim preclusion upon entry of the Judgment, Non-Participating Class Members who are Aggrieved Employees are deemed to release the claims identified in Paragraph 5.3 of this Agreement and are eligible for an Individual PAGA Payment.

7.6. Challenges to Calculation of Workweeks. Each Class Member shall have 60 days after the Administrator mails the Class Notice (plus an additional 14 days for Class Members whose Class Notice is re-mailed) to challenge the number of Class Workweeks and PAGA Pay Periods (if any) allocated to the Class Member in the Class Notice. The Class Member may challenge the allocation by communicating with the Administrator via fax, email or mail. The Administrator must encourage the challenging Class Member to submit supporting documentation. In the absence of any contrary documentation, the Administrator is entitled to presume that the Workweeks contained in the Class Notice are correct so long as they are consistent with the Class Data. The Administrator's determination of each Class Member's allocation of Workweeks and/or Pay Periods shall be final and not appealable or otherwise susceptible to

challenge. The Administrator shall promptly provide copies of all challenges to calculation of Workweeks and/or Pay Periods to Defense Counsel and Class Counsel and the Administrator's determination the challenges.

7.7. Objections to Settlement.

7.7.1. Only Participating Class Members may object to the class action components of the Settlement and/or this Agreement, including contesting the fairness of the Settlement, and/or amounts requested for the Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment and/or Class Representative Service Payment.

7.7.2. Participating Class Members may use the Objection form included as Exhibit C or they may send written objections to the Administrator, by fax, email, or mail. In the alternative, Participating Class Members may appear in Court (or hire an attorney to appear in Court) to present verbal objections at the Final Approval Hearing. A Participating Class Member who elects to send a written objection to the Administrator must do so not later than 60 days after the Administrator's mailing of the Class Notice (plus an additional fourteen (14) days for Class Members whose Class Notice was re-mailed).

7.7.3. Non-Participating Class Members have no right to object to any of the class action components of the Settlement.

7.7.4. Aggrieved Employees are not permitted to object to any of the PAGA components of the Settlement.

7.8. Administrator Duties. The Administrator has a duty to perform or observe all tasks to be performed or observed by the Administrator contained in this Agreement or otherwise.

7.8.1. Email Address, Settlement Website, and Toll-Free Number. The Administrator will maintain and monitor an email address and a toll-free telephone number to receive Class Member calls, faxes and emails. The Settlement Administrator will upload the Complaint, Settlement Agreement, and any related settlement documents to a settlement website maintained by the Settlement Administrator.

7.8.2. Requests for Exclusion (Opt-Outs) and Exclusion List. The Administrator will promptly review on a rolling basis Requests for Exclusion to ascertain their validity. Not later than 5 days after the expiration of the deadline for submitting Requests for Exclusion, the Administrator shall email a list to Class Counsel and Defense Counsel containing (a) the names and other identifying information of Class

Members who have timely submitted valid Requests for Exclusion (“Exclusion List”); (b) the names and other identifying information of Class Members who have submitted invalid Requests for Exclusion; (c) copies of all Requests for Exclusion from Settlement submitted (whether valid or invalid).

7.8.3. Weekly Reports. The Administrator must, on a weekly basis, provide written reports to Class Counsel and Defense Counsel that, among other things, tally the number of: Class Notices mailed or re-mailed, Class Notices returned undelivered, Requests for Exclusion (whether valid or invalid) received, objections received, challenges to Workweeks and/or Pay Periods received and/or resolved, and checks mailed for Individual Settlement Awards (“Weekly Report”). The Weekly Reports must provide the Administrator’s assessment of the validity of Requests for Exclusion and objections received.

7.8.4. Workweek and/or Pay Period Challenges. The Administrator has the authority to address and make final decisions consistent with the terms of this Agreement on all Class Member challenges over the calculation of Workweeks and/or Pay Periods. The Administrator’s decision shall be final and not appealable or otherwise susceptible to challenge.

7.8.5. Administrator’s Declaration. Not later than 14 days before the date by which Plaintiff is required to file the Motion for Final Approval of the Settlement, the Administrator will provide to Class Counsel and Defense Counsel, a signed declaration suitable for filing in Court attesting to its due diligence and compliance with all of its obligations under this Agreement, including, but not limited to, its mailing of Class Notice, the Class Notices returned as undelivered, the re-mailing of Class Notices, attempts to locate Class Members, the total number of Requests for Exclusion from Settlement it received (both valid or invalid), the number of written objections and attach the Exclusion List. The Administrator will supplement its declaration as needed or requested by the Parties and/or the Court. Class Counsel is responsible for filing the Administrator’s declaration(s) in Court.

7.8.6. Final Report by Settlement Administrator. Within 10 days after the Administrator disburses all funds in the Maximum Settlement Amount, the Administrator will provide Class Counsel and Defense Counsel with a final report detailing its disbursements by employee identification number only of all payments made under this Agreement. At least 15 days before any deadline set by the Court, the Administrator will prepare, and submit to Class Counsel and Defense Counsel, a signed declaration suitable for filing in Court attesting to its disbursement of all payments required under this Agreement.

Class Counsel is responsible for filing the Administrator's declaration in Court.

8. CLASS SIZE ESTIMATE. Based on its records, Defendant represents that, as of the date of the mediation, Class Members collectively worked a total of 116,003 Workweeks during the Class Period. To the extent that the actual number of Workweeks exceeds 116,003 by more than 5% (i.e., if the actual workweeks are greater than 121,803), Defendant will have the option to either increase the Maximum Settlement Amount proportionately for each additional week worked above 121,803 (*e.g.*, if the number of workweeks increase by 6%, Defendant shall increase the Maximum Settlement Amount by 1%) or Defendant can choose to end the Class Period as of the accrual of 121,803 workweeks (116,003 plus 5%), at Defendant's sole discretion.

9. DEFENDANT'S RIGHT TO WITHDRAW. If the number of valid Requests for Exclusion identified in the Exclusion List exceeds 5% of the total of all Class Members and/or if the combined workweeks worked by Class Members who timely exclude themselves amounts to more than 5% of the total workweeks worked by all Class Members, Defendant may, but is not obligated to, elect to withdraw from the Settlement. The Parties agree that, if Defendant withdraws, the Settlement shall be void ab initio, have no force or effect whatsoever, and that neither Party will have any further obligation to perform under this Agreement; provided, however, Defendant will remain responsible for paying all Settlement Administration Expenses incurred to that point. Defendant must notify Class Counsel and the Court of its election to withdraw not later than thirty (30) calendar days after the expiration of the opt-out period.

10. MOTION FOR FINAL APPROVAL. Not later than 16 court days before the calendared Final Approval Hearing, Plaintiff will file in Court, a motion for final approval of the Settlement that includes a request for approval of the PAGA settlement under Labor Code section 2699, subd. (l), a Proposed Final Approval Order and a proposed Judgment (collectively "Motion for Final Approval"). Plaintiff shall provide drafts of these documents to Defense Counsel prior to filing the Motion for Final Approval. Class Counsel and Defense Counsel will expeditiously meet and confer in good faith to resolve any disagreements concerning the Motion for Final Approval.

10.1. Response to Objections. Each Party retains the right to respond to any objection raised by a Participating Class Member, including the right to file responsive documents in Court no later than 5 court days prior to the Final Approval Hearing, or as otherwise ordered or accepted by the Court.

10.2. Duty to Cooperate. If the Court does not grant Final Approval or conditions Final Approval on any material change to the Settlement (including, but not limited to, the scope of release to be granted by Class Members), the Parties will expeditiously work together in good faith to address the Court's concerns by revising the Agreement as necessary to obtain Final Approval. The Court's decision to award less than the amounts requested for the Class Representative Service Payments, Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment and/or Administrator Expenses Payment shall not constitute a material modification to the Agreement within the meaning of this paragraph.

10.3. Continuing Jurisdiction of the Court. The Parties agree that, after entry of Judgment, the Court will retain jurisdiction over the Parties, the Action, and the Settlement solely for purposes of (i) enforcing this Agreement and/or Judgment, (ii) addressing settlement administration matters, and (iii) addressing such post-Judgment matters as are permitted by law.

10.4. Waiver of Right to Appeal. Provided the Judgment is consistent with the terms and conditions of this Agreement, specifically including the Class Counsel Fees Payment and Class Counsel Litigation Expenses Payment reflected set forth in this Settlement, the Parties, their respective counsel, and all Participating Class Members who did not object to the Settlement as provided in this Agreement, waive all rights to appeal from the Judgment, including all rights to post-Judgment and appellate proceedings, the right to file motions to vacate judgment, motions for new trial, extraordinary writs, and appeals. The waiver of appeal does not include any waiver of the right to oppose such motions, writs or appeals. If an objector appeals the Judgment, the Parties' obligations to perform under this Agreement will be suspended until such time as the appeal is finally resolved and the Judgment becomes final, except as to matters that do not affect the amount of the Net Settlement Amount.

10.5. Appellate Court Orders to Vacate, Reverse or Materially Modify Judgment. If the reviewing Court vacates, reverses, or modifies the Judgment in a manner that requires a material modification of this Agreement (including, but not limited to, the scope of release to be granted by Class Members), this Agreement shall be null and void. The Parties shall nevertheless expeditiously work together in good faith to address the appellate court's concerns and to obtain Final Approval and entry of Judgment, sharing, on a 50-50 basis, any additional Administration Expenses reasonably incurred after remittitur. An appellate decision to vacate, reverse, or modify the Court's award of the Class

Representative Service Payment or any payments to Class Counsel shall not constitute a material modification of the Judgment within the meaning of this paragraph, as long as the Maximum Settlement Amount remains unchanged.

11. AMENDED JUDGMENT. If any amended judgment is required under Code of Civil Procedure section 384, the Parties will work together in good faith to jointly submit and a proposed amended judgment.

12. ADDITIONAL PROVISIONS.

12.1. No Admission of Liability, Class Certification or Representative Manageability for Other Purposes. This Agreement represents a compromise and settlement of highly disputed claims. Nothing in this Agreement is intended or should be construed as an admission by Defendant that any of the allegations in this Action have merit or that Defendant has any liability for any claims asserted; nor should it be intended or construed as an admission by Plaintiff that Defendant's defenses in the Action have merit. The Parties agree that class certification and representative treatment is for purposes of this Settlement only. If, for any reason the Court does not grant Preliminary Approval, Final Approval or enter Judgment, Defendant reserves the right to contest certification of any class for any reasons, and Defendant reserves all available defenses to the claims in the Action, and Plaintiff reserves the right to move for class certification on any grounds available and to contest Defendant's defenses. The Settlement, this Agreement and Parties' willingness to settle the Action will have no bearing on, and will not be admissible in connection with, any litigation (except for proceedings to enforce or effectuate the Settlement and this Agreement).

12.2. Confidentiality Prior to Preliminary Approval. Prior to the Motion for Preliminary Approval being filed, the Class Representative and Class Counsel will not make any public disclosures of any kind regarding the Settlement, including but not limited to postings on Class Counsel's website and postings on any social media sites/outlets. Class Counsel will take all steps necessary to ensure the Class Representative is aware of, and will encourage him to adhere to, the restriction against any public disclosures regarding the Settlement. Class Counsel will not include or use the Settlement for any marketing or promotional purposes, or for attempting to influence Defendant's business relationships, either before or after the Motion for Preliminary Approval is filed. Following Preliminary Approval of

the Settlement, the Class Representative and Class Counsel will not initiate any communications with the media. If contacted by the media, the Class Representative and Class Counsel will only discuss information publicly available. Class Counsel will take all steps necessary to ensure the Class Representative is aware of, and will encourage him to adhere to, the restriction against any media comment. Class Counsel further agree not to use the Settlement or any of its terms for any marketing or promotional purposes.

12.3. No Solicitation. The Parties separately agree that they and their respective counsel and employees will not solicit any Class Member to opt out of or object to the Settlement, or appeal from the Judgment. Nothing in this paragraph shall be construed to restrict Class Counsel's ability to communicate with Class Members in accordance with Class Counsel's ethical obligations owed to Class Members.

12.4. Integrated Agreement. Upon execution by all Parties and their counsel, this Agreement together with its attached exhibits shall constitute the entire agreement between the Parties relating to the Settlement, superseding any and all oral representations, warranties, covenants, or inducements made to or by any Party.

12.5. Attorney Authorization. Class Counsel and Defense Counsel separately warrant and represent that they are authorized by Plaintiff and Defendant, respectively, to take all appropriate action required or permitted to be taken by such Parties pursuant to this Agreement to effectuate its terms, and to execute any other documents reasonably required to effectuate the terms of this Agreement including any amendments to this Agreement.

12.6. Cooperation. The Parties and their counsel will cooperate with each other and use their best efforts, in good faith, to implement the Settlement by, among other things, modifying the Settlement Agreement, submitting supplemental evidence and supplementing points and authorities as requested by the Court. In the event the Parties are unable to agree upon the form or content of any document necessary to implement the Settlement, or on any modification of the Agreement that may become necessary to implement the Settlement, the Parties will seek the assistance of a mediator and/or the Court for resolution.

12.7. No Prior Assignments. The Parties separately represent and warrant that they have not directly or indirectly assigned, transferred, encumbered, or purported to assign, transfer, or encumber to any person or entity and portion of any liability, claim, demand, action, cause of action, or right released

and discharged by the Party in this Settlement.

12.8. No Tax Advice. Neither Plaintiff, Class Counsel, Defendant nor Defense Counsel are providing any advice regarding taxes or taxability, nor shall anything in this Settlement be relied upon as such within the meaning of United States Treasury Department Circular 230 (31 CFR Part 10, as amended) or otherwise.

12.9. Modification of Agreement. This Agreement, and all parts of it, may be amended, modified, changed, or waived only by an express written instrument signed by all Parties or their representatives, and approved by the Court.

12.10. Agreement Binding on Successors. This Agreement will be binding upon, and inure to the benefit of, the successors of each of the Parties.

12.11. Released Parties are Third-Party Beneficiaries. All Released Parties are third party beneficiaries of this Agreement for purposes of the protections offered by this Agreement, and they shall be entitled to enforce the provisions of this Agreement applicable to any such Released Party as against Plaintiff, Participating Class Members, or any party acting on Plaintiff and/or Participating Class Member's behalf.

12.12. Plaintiff's Waiver of Right to Be Excluded. Plaintiff agrees that by signing this Agreement, he will be bound by the terms herein, including the general release described in Paragraph 5.1 above. Plaintiff further agrees that, upon signing this Agreement, he will not request to be excluded from this Settlement and that any such request for exclusion by Plaintiff will be void and of no force or effect.

12.13. Applicable Law. All terms and conditions of this Agreement and its exhibit(s) will be governed by and interpreted according to the internal laws of the state of California, without regard to conflict of law principles.

12.14. Cooperation in Drafting. The Parties have cooperated in the drafting and preparation of this Agreement. This Agreement will not be construed against any Party on the basis that the Party was the drafter or participated in the drafting.

12.15. Confidentiality. To the extent permitted by law, all agreements made, and orders entered during Action and in this Agreement relating to the confidentiality of information shall survive the execution of this Agreement.

12.16. Use and Return of Class Data. Information provided to Class Counsel pursuant to Evid.

Code section 1152, and all copies and summaries of the Class Data provided to Class Counsel by Defendant in connection with the mediation, other settlement negotiations, or in connection with the Settlement, may be used only with respect to this Settlement, and no other purpose, and may not be used in any way that violates any existing contractual agreement, statute, or California rules of court. Not later than 90 days after the date when the Court discharges the Administrator's obligation to provide a Declaration confirming the final pay out of all Settlement funds, the Class Representative and Class Counsel shall destroy, all paper and electronic versions of Class Data received from Defendant unless, prior to the Court's discharge of the Administrator's obligation, Defendant makes a written request to Class Counsel for the return, rather than the destructions, of Class Data.

12.17. Headings. The descriptive heading of any section or paragraph of this Agreement is inserted for convenience of reference only and does not constitute a part of this Agreement.

12.18. Calendar Days. Unless otherwise noted, all reference to "days" in this Agreement shall be to calendar days. In the event any date or deadline set forth in this Agreement falls on a weekend or federal legal holiday, such date or deadline shall be on the first business day thereafter.

12.19. Notice. All notices, demands or other communications between the Parties in connection with this Agreement will be in writing and deemed to have been duly given as of the third business day after mailing by United States mail, or the day sent by email or messenger, to the other Party's respective counsel of record.

12.20. Execution in Counterparts. This Agreement may be executed in one or more counterparts by facsimile, electronically (i.e., DocuSign), or email which for purposes of this Agreement shall be accepted as an original. All executed counterparts and each of them will be deemed to be one and the same instrument if counsel for the Parties will exchange between themselves signed counterparts. Any executed counterpart will be admissible in evidence to prove the existence and contents of this Agreement.

12.21. Stay of Litigation. The Parties agree that upon the execution of this Agreement the litigation shall be stayed, except to effectuate the terms of this Agreement. The Parties further agree that upon the signing of this Agreement that pursuant to CCP section 583.330 to extend the date to bring a case to trial under CCP section 583.310 for the entire period of this settlement process.

12.22. Enforcement and Continuing Jurisdiction of the Court. To the extent consistent with class

action procedure, this Settlement Agreement shall be enforceable by the Court pursuant to California Code of Civil Procedure section 664.6. The Court shall retain continuing jurisdiction over this Lawsuit and over all Parties and Class Members, to the fullest extent to enforce and effectuate the terms and intent of this Settlement Agreement, and to adjudicate any claimed breaches of this Settlement Agreement. In the event that one more of the Parties institutes any legal action or other proceeding against any other Party or Parties to enforce the provisions of this settlement or to declare rights and/or obligations under this settlement, the successful Party or Parties will be entitled to recover from the unsuccessful Party or Parties reasonable attorneys' fees and costs, including expert witness fees incurred in connection with any enforcement actions.

DATED: Jan 28, 2026

ADALBERTO DUBON


Adalberto Dubon (Jan 28, 2026 11:28:33 PST)

DATED: _____, 2026

VENTURA FOODS, LLC

By: _____

Title: _____

Approved as to form and content:

Dated: January 28, 2026

HAINES LAW GROUP, APC



Paul K. Haines, Esq.

Sean M. Blakely, Esq.

Alexandra R. McIntosh, Esq.

Attorneys for Plaintiff Adalberto Dubon

Dated: _____, 2026

JACKSON LEWIS P.C.

Nicky Jatana, Esq.

Trevor R. Witt, Esq.

Attorneys for Defendant

Ventura Foods, LLC

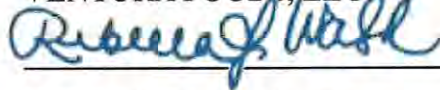
action procedure, this Settlement Agreement shall be enforceable by the Court pursuant to California Code of Civil Procedure section 664.6. The Court shall retain continuing jurisdiction over this Lawsuit and over all Parties and Class Members, to the fullest extent to enforce and effectuate the terms and intent of this Settlement Agreement, and to adjudicate any claimed breaches of this Settlement Agreement. In the event that one more of the Parties institutes any legal action or other proceeding against any other Party or Parties to enforce the provisions of this settlement or to declare rights and/or obligations under this settlement, the successful Party or Parties will be entitled to recover from the unsuccessful Party or Parties reasonable attorneys' fees and costs, including expert witness fees incurred in connection with any enforcement actions.

DATED: _____, 2026

ADALBERTO DUBON

DATED: January 27, 2026

VENTURA FOODS, LLC



By: Rebecca J. Walsh

Title: Executive Vice President, Chief Legal and Compliance Officer

Approved as to form and content:

Dated: _____, 2026

HAINES LAW GROUP, APC

Paul K. Haines, Esq.
Sean M. Blakely, Esq.
Alexandra R. McIntosh, Esq.
Attorneys for Plaintiff Adalberto Dubon

Dated: January 28, 2026

JACKSON LEWIS P.C.



Nicky Jatana, Esq.
Trevor R. Witt, Esq.

Attorneys for Defendant
Ventura Foods, LLC

EXHIBIT 2

1 **AMENDMENT TO CLASS ACTION AND PAGA SETTLEMENT AGREEMENT**

2 This Amendment to Class Action and PAGA Settlement Agreement is entered into between
3 Plaintiff Adalberto Dubon (“Plaintiff”) and Defendant Ventura Foods, LLC (“Defendant”)
4 (collectively, the “Parties”) pursuant to Section 12.9 of the Class Action and PAGA Settlement
5 Agreement (“Original Agreement”) entered into between the Parties on January 28, 2026.

6 **A. Section 1.11 of the Original Agreement is hereby amended to state as follows:**

7 “Class Period” means the period from February 7, 2020 through April 20, 2025.”

8 **B. Section 1.40 of the Original Agreement is hereby amended to state as follows:**

9 “Released Parties” means Defendant and all of its present and former parent companies,
10 shareholders, officers, directors, employees, attorneys, insurers, and successors and assigns.”

11 **C. Section 1.42 of the Original Agreement is hereby amended to state as follows:**

12 **“Response Deadline”** means 60 days after the Administrator mails Notice to Class Members
13 and Aggrieved Employees, and shall be the last date on which Class Members may: (a) fax, email, or
14 mail Requests for Exclusion from the Settlement, or (b) fax, email, or mail his, her, or their Objection
15 to the Settlement, or (c) fax, email, or mail a Challenge to Calculation of Workweeks. Class Members
16 to whom Notice Packets are resent after having been returned undeliverable to the Administrator shall
17 have an additional 14 calendar days beyond the Response Deadline expiration date.”

18 **D. Section 5 of the Original Agreement is hereby amended to states as follows:**

19 **“RELEASE OF CLAIMS.** Effective on the date when Defendant fully funds the entire
20 Maximum Settlement Amount, Plaintiff, Class Members, Aggrieved Employees, and Class Counsel
21 will release claims against all Released Parties as follows:”

22 **E. Section 5.2 of the Original Agreement is hereby amended to state as follows:**

23 **“Release by Participating Class Members:** All Participating Class Members, on behalf of
24 themselves and their respective former and present representatives, agents, attorneys, heirs,
25 administrators, successors, and assigns, release Released Parties from all claims alleged, or reasonably
26 could have been alleged in the Action based on the facts alleged in the operative complaint, including
27 without limitation, California Labor Code sections 201, 202, 203, 204, 210, 216, 218.6, 226, 226.3,
28 226.7, 510, 512, 516, 558, 1174, 1182.12, 1194, 1194.2, 1197, 1197.1, 1198, 2802, 2804, California Industrial

1 Commission Wage Orders, and Business and Professions Code sections 17200, et seq., and including
2 all claims for or related to alleged failure to provide meal periods, failure to provide rest periods, failure
3 to pay overtime wages, failure to pay minimum wage, failure to pay all wages due to discharged and
4 quitting employees, failure to maintain required records, failure to furnish accurate itemized wage
5 statements, failure to indemnify employees for necessary expenditures incurred in discharge of duties,
6 failure to produce employee records, and unfair and unlawful business practices, including, but not
7 limited to, claims for injunctive relief, liquidated damages, penalties, interest, fees, costs, and all other
8 claims and allegations made or which could have been made in the Action based on the facts and
9 allegations pled in the Action during the Class Period (“Released Class Claims”).”

10 **F. Section 5.3 of the Original Agreement is hereby amended to state as follows:**

11 “Release by Aggrieved Employees: All Aggrieved Employees are deemed to release the
12 Released Parties from all claims for PAGA civil penalties based on the facts alleged in the Action and
13 the PAGA Notices during the PAGA Period (“Released PAGA Claims”).”

14 **G. Section 7.6 of the Original Agreement is hereby amended to state as follows:**

15 “Challenges to Calculation of Workweeks. Each Class Member shall have 60 days after the
16 Administrator mails the Class Notice (plus an additional 14 days for Class Members whose Class
17 Notice is re-mailed) to challenge the number of Class Workweeks and PAGA Pay Periods (if any)
18 allocated to the Class Member in the Class Notice. The Class Member may challenge the allocation
19 by communicating with the Administrator via fax, email or mail. The Administrator must encourage
20 the challenging Class Member to submit supporting documentation. In the absence of any contrary
21 documentation, the Administrator is entitled to presume that the Workweeks contained in the Class
22 Notice are correct so long as they are consistent with the Class Data. The Parties shall file with the
23 Court all disputes submitted by Class Members, the evidence submitted, and the resolution of the
24 disputes. Although the Settlement Administrator may make the initial decision regarding Challenges
25 to Calculation of Workweeks, the Court may review any such decision made by the Settlement
26 Administrator regarding a Challenge to Calculation of Workweeks. The Administrator shall promptly
27 provide copies of all challenges to calculation of Workweeks and/or Pay Periods to Defense Counsel
28 and Class Counsel and the Administrator’s determination the challenges.”

1 **H. Section 7.8.4 of the Original Agreement is hereby amended to state as follow:**

2 “Workweek and/or Pay Period Challenges. The Administrator has the authority to address and
3 make the initial decisions consistent with the terms of this Agreement on all Class Member challenges
4 over the calculation of Workweeks and/or Pay Periods. Although the Settlement Administrator may
5 make the initial decision regarding Challenges to Calculation of Workweeks, the Court may review
6 any such decision made by the Settlement Administrator regarding a Challenge to Calculation of
7 Workweeks.”

8 **I. Section 8 of the Original Agreement is hereby amended to state as follows:**

9 “CLASS SIZE ESTIMATE. Based on its records, Defendant represents that, as of the date
10 of the mediation, Class Members collectively worked a total of 116,003 Workweeks during the Class
11 Period. To the extent that the actual number of Workweeks exceeds 116,003 by more than 5% (i.e., if
12 the actual workweeks are greater than 121,803), Defendant shall increase the Maximum Settlement
13 Amount proportionately for each additional week worked above 121,803 (*e.g.*, if the number of
14 workweeks increase by 6%, Defendant shall increase the Maximum Settlement Amount by 1%).”

15 **J. Section 12.22 of the Original Agreement is hereby amended to state as follows:**

16 “Enforcement and Continuing Jurisdiction of the Court. To the extent consistent with class
17 action procedure, this Settlement Agreement shall be enforceable by the Court pursuant to California
18 Code of Civil Procedure section 664.6. The Court shall retain continuing jurisdiction over this Lawsuit
19 and over all Parties and Class Members, to the fullest extent to enforce and effectuate the terms and
20 intent of this Settlement Agreement, and to adjudicate any claimed breaches of this Settlement
21 Agreement. In the event that one more of the Parties institutes any legal action or other proceeding
22 against any other Party or Parties to enforce the provisions of this settlement or to declare rights and/or
23 obligations under this settlement, the successful Party or Parties will be entitled to recover from the
24 unsuccessful Party or Parties reasonable attorneys’ fees and costs, including expert witness fees
25 incurred in connection with any enforcement actions. This provision will not be enforced against
26 unnamed Class Members and/or unnamed Aggrieved Employees”

27 In all other respects, the provisions of the Original Agreement entered into between the Parties
28 on January 28, 2026 remain in full force and effect.

1 **IT IS SO AGREED:**

2 Dated: Aug 6, 2026

~~as Paul~~
Adalberto Dubon (Aug 6, 2026 17:16:39 PDT)
3 Plaintiff Adalberto Dubon

4
5 Dated:

6 Defendant Ventura Foods, LLC

7 By: Rebecca J. Walsh
8 Its: Executive Vice President, Chief Legal and
Compliance Office

9 **AGREED AS TO FORM:**

10 **HAINES LAW GROUP, APC**

11 Dated: 8/6/2026

12 By: 
13 Paul Haines
Sean Blakely
Attorneys for Plaintiff Adalberto Dubon

14 **JACKSON LEWIS P.C.**

15 Dated:

16 By: _____
17 Nicky Jatana, Esq.
Trevor Witt, Esq.
Attorneys for Defendant Ventura Foods, LLC

1 **IT IS SO AGREED:**

2 Dated:

3 Plaintiff Adalberto Dubon

4
5 Dated: August 5, 2026

6 
Defendant Ventura Foods, LLC

7 By: Rebecca J. Walsh
8 Its: Executive Vice President, Chief Legal and
9 Compliance Office

10 **AGREED AS TO FORM:**

11 **HAINES LAW GROUP, APC**

12 Dated:

13 By: Paul Haines
14 Sean Blakely
15 Attorneys for Plaintiff Adalberto Dubon

16 **JACKSON LEWIS P.C.**

17 Dated: August 6, 2026

18 By: 
19 Nicky Jatana, Esq.
20 Trevor Witt, Esq.
21 Attorneys for Defendant Ventura Foods, LLC

EXHIBIT 3

1 **SECOND AMENDMENT TO CLASS ACTION AND PAGA SETTLEMENT AGREEMENT**

2 This Second Amendment to Class Action and PAGA Settlement Agreement is entered into
3 between Plaintiff Adalberto Dubon (“Plaintiff”) and Defendant Ventura Foods, LLC (“Defendant”)
4 (collectively, the “Parties”) pursuant to Section 12.9 of the Class Action and PAGA Settlement
5 Agreement (“Original Agreement”) entered into between the Parties on January 28, 2026.

6 **A. Section 1.40 of the Original Agreement is hereby amended to state as follows:**

7 “Released Parties” means Defendant and all of its present and former parent companies,
8 shareholders, officers, directors, employees, and successors and assigns.”

9 **B. Section 4.4.3 of the Original Agreement is hereby amended to state as follows:**

10 “For any Class Member whose Individual Settlement Award check or Individual PAGA
11 Payment check is uncashed and cancelled after the void date, the Administrator shall transmit the funds
12 to the Controller of the State of California to be held pursuant to the Unclaimed Property Law,
13 California Civil Code § 1500 *et seq.*, in the name of the Class Member and/or Aggrieved Employee to
14 whom the check was issued, until such time that they claim their property.”

15 In all other respects, the provisions of the Original Agreement entered into between the Parties
16 on January 28, 2026 remain in full force and effect.

17 **IT IS SO AGREED:**

18 Dated: Aug 28, 2026

~~A. Dubon~~
Adalberto Dubon (Aug 28, 2026 11:36:51 PDT)
Plaintiff Adalberto Dubon

21 Dated:

Defendant Ventura Foods, LLC

23 By: Rebecca J. Walsh
24 Its: Executive Vice President, Chief Legal and
 Compliance Office

This Second Amendment to Class Action and PAGA Settlement Agreement is entered into between Plaintiff Adalberto Dubon (“Plaintiff”) and Defendant Ventura Foods, LLC (“Defendant”) (collectively, the “Parties”) pursuant to Section 12.9 of the Class Action and PAGA Settlement Agreement (“Original Agreement”) entered into between the Parties on January 28, 2026.

“Released Parties” means Defendant and all of its present and former parent companies, shareholders, officers, directors, employees, and successors and assigns.”

“For any Class Member whose Individual Settlement Award check or Individual PAGA Payment check is uncashed and cancelled after the void date, the Administrator shall transmit the funds to the Controller of the State of California to be held pursuant to the Unclaimed Property Law, California Civil Code § 1500 *et seq.*, in the name of the Class Member and/or Aggrieved Employee to whom the check was issued, until such time that they claim their property.”

In all other respects, the provisions of the Original Agreement entered into between the Parties on January 28, 2026 remain in full force and effect.

Dated:

Dated: August 27, 2026

Rebecca Walsh
Defendant Ventura Foods, LLC

By: Rebecca J. Walsh
Its: Executive Vice President, Chief Legal and Compliance Office

1 **AGREED AS TO FORM:**

2 **HAINES LAW GROUP, APC**

3 Dated: 08/28/2026

4 By: 
5 Paul Haines
6 Sean M. Blakely
7 Attorneys for Plaintiff Adalberto Dubon

8 **JACKSON LEWIS P.C.**

9 Dated:

10 By: _____
11 Nicky Jatana, Esq.
12 Trevor Witt, Esq.
13 Attorneys for Defendant Ventura Foods, LLC
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1 **AGREED AS TO FORM:**

2 **HAINES LAW GROUP, APC**

3 Dated:

4 By: _____
5 Paul Haines
6 Sean M. Blakely
7 Attorneys for Plaintiff Adalberto Dubon

8 **JACKSON LEWIS P.C.**

9 Dated: August 27, 2026

10 By:  _____
11 Nicky Jatana, Esq.
12 Trevor Witt, Esq.
13 Attorneys for Defendant Ventura Foods, LLC

EXHIBIT 4

SUPERIOR COURT OF THE STATE OF CALIFORNIA

COUNTY OF ORANGE

ADALBERTO DUBON, on behalf of himself and all
others similarly situated,

Plaintiff,

vs.

VENTURA FOODS, LLC, a Delaware Limited
Liability Company; and DOES 1 through 100,

Defendants.

Case No. 30-2024-01377979-CU-OE-CXC

**COURT APPROVED NOTICE OF
CLASS AND PAGA ACTION
SETTLEMENT AND HEARING DATE
FOR FINAL COURT APPROVAL**

To: All persons who are or were employed by Defendant Ventura Foods, LLC as hourly paid, non-exempt employees in the State of California at any time during the period from February 7, 2020 through April 20, 2025 excluding any employees employed at Defendant Ventura Foods, LLC's recently acquired Visalia California facility.

**PLEASE READ THIS NOTICE CAREFULLY
THIS NOTICE IS BEING SENT IN ENGLISH AND SPANISH
YOUR LEGAL RIGHTS MAY BE AFFECTED WHETHER YOU ACT OR NOT**

Why should you read this notice?

The Orange County Superior Court has granted preliminary approval of a proposed class action settlement (the "Settlement") in the case of *Adalberto Dubon v. Ventura Foods, LLC*, Orange County Superior Court Case No. 30-2024-1377979-CU-OE-CXC (the "Action"). Because your rights may be affected by the Settlement, it is important that you read this notice carefully.

You may be entitled to money from this Settlement. Defendant Ventura Foods, LLC ("Ventura Foods") records show that you were employed by Ventura Foods as an hourly-paid, non-exempt employee in California, between February 7, 2020 and April 20, 2025 (the "Class Period"), and were not employed at Ventura Food's recently acquired Visalia California facility. The Court ordered that this Notice be sent to you because you may be entitled to money under the Settlement and because the Settlement affects your legal rights.

The purpose of this notice is to provide you with a brief description of the Action, to inform you of the terms of the Settlement, to describe your rights in connection with the Settlement, and to explain what steps you may take to participate in, object to, or exclude yourself from the Settlement. If you do not exclude yourself from the Settlement and the Court finally approves the Settlement, you will be bound by the terms of the Settlement and any final judgment.

What is this case about?

Plaintiff Adalberto Dubon ("Plaintiff") brought this Action against Ventura Foods, seeking to assert claims on behalf of a class of current and former hourly-paid, non-exempt employees who worked for Ventura Foods in California during the Class Period. Plaintiff is known as the "Class Representative," and his attorneys, who also represent the interests of all Class Members, are known as "Class Counsel."

Plaintiff alleges that Ventura Foods failed to pay Class Members for all minimum and overtime wages and failed to provide required meal and rest periods to Class Members. The Action also alleges that as a result of these alleged violations, Ventura Foods failed to provide Class Members with accurate and complete wage statements and failed to timely pay all final wages at the time of separation of employment. As a further result

of the alleged violations, Plaintiff alleges that Ventura Foods engaged in unfair business practices and is liable for civil penalties under the Labor Code Private Attorneys General Act ("PAGA").

Ventura Foods denies all liability to Class Members, denies the factual and legal allegations in the case, and believes that it has valid defenses to Plaintiff's claims. Ventura Foods has entered into the Settlement solely for the purposes of resolving this dispute and has agreed to settle the case as part of a compromise with Plaintiff. Accordingly, the Settlement constitutes a compromise of disputed claims and should not be construed as an admission of liability on the part of Ventura Foods, which expressly denies all liability.

The Court has not ruled on the merits of Plaintiff's claims. However, to avoid additional expense, inconvenience, and interference with Ventura Foods' business operations, the Parties concluded that it is in the best interests of Ventura Foods and Class Members to settle the Action on the terms summarized in this Notice. After Ventura Foods provided relevant information to Class Counsel, the Settlement was reached after mediation and arm's-length negotiations between the parties.

The Class Representative and Class Counsel support the Settlement. Among the reasons for support are the defenses to liability potentially available to Ventura Foods, the risk of denial of class certification, the inherent risks of trial on the merits, and the delays and uncertainties associated with litigation.

If you are still employed by Ventura Foods, your decision about whether to participate in the Settlement will not affect your employment. California law and Ventura Foods' policies strictly prohibit unlawful retaliation. Ventura Foods will not take any adverse employment action against or otherwise retaliate, or discriminate, against any Class Member because of the Class Member's decision to either participate or not participate in the Settlement.

Who are the Attorneys?

Attorneys for Plaintiff/Class Members: HAINES LAW GROUP, APC Paul K. Haines, phaines@haineslawgroup.com Sean M. Blakely, sblakely@haineslawgroup.com Alex McIntosh, amcintosh@haineslawgroup.com 2155 Campus Drive, Suite 180 El Segundo, California 90245 Tel: (424) 292-2350 Fax: (424) 292-2355 haineslawgroup.com	Attorneys for Defendant Ventura Foods, LLC: JACKSON LEWIS, P.C. Nicky Jatana, Nicky.Jatana@jacksonlewis.com Trevor R. Witt, Trevor.Witt@jacksonlewis.com 725 South Figueroa Street, Suite 2800 Los Angeles, California 90017-5408 Telephone: (213) 689-0404 Fax: (213) 689-0430 jacksonlewis.com
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What are the terms of the Settlement?

On <<PRELIM APPROVAL DATE>>, the Court preliminarily certified a class, for settlement purposes only, of all persons employed by Ventura Foods as hourly-paid, non-exempt employees in the State of California during the period from February 7, 2020 through April 20, 2025, excluding any employees employed at Ventura Foods' recently acquired Visalia California facility. Class Members who do not opt out of the Settlement pursuant to the procedures set forth in this Notice will be bound by the Settlement and will release their claims against Ventura Foods as described below.

Ventura Foods has agreed to pay \$770,000.00 (the "Maximum Settlement Amount") to fully resolve all claims in the Action, including payments to Class Members, requested attorneys' fees and expenses, settlement administration costs, the amount designated as PAGA civil penalties, and the Class Representative Service Payment.

The following deductions from the Maximum Settlement Amount will be requested by the parties:

Settlement Administration Costs. The Court has approved ILYM Group, Inc. to act as the "Settlement Administrator," who is sending this Notice to you and will perform many other duties relating to the

Settlement. The Court has approved setting aside up to \$13,850.00 from the Maximum Settlement Amount to pay the settlement administration costs.

Attorneys' Fees and Expenses. The Court will determine the actual amount awarded to Class Counsel as attorneys' fees, which will be paid from the Maximum Settlement Amount and will not exceed \$231,000. Class Members are not personally responsible for any of Class Counsel's attorneys' fees or expenses. Class Counsel also will ask for reimbursement of up to \$40,000.00 for verified costs Class Counsel incurred in connection with the Action.

Service Payment to Class Representative. Class Counsel will ask the Court to award a service payment not to exceed \$5,000 to the Class Representative to compensate him for his service and extra work provided on behalf of the Class Members.

PAGA Payment to State of California. \$37,500.00 will be paid to the California Labor & Workforce Development Agency ("LWDA"), representing 75% of the \$50,000.00 the parties have agreed to allocate to the settlement of Plaintiff's claims on behalf of Aggrieved Employees under the PAGA. The remaining \$12,500.00 will be payable to Aggrieved Employees as the "PAGA Amount," as described below.

Calculation of Class Members' Settlement Awards. After deducting the Court-approved amounts above, the balance of the Maximum Settlement Amount will form the Net Settlement Amount ("NSA"), which will be distributed to all Class Members who do not submit a valid and timely Request for Exclusion (described below). The NSA is estimated at approximately \$404,483.34 and will be divided as follows:

- (i) Payments to All Participating Class Members: The NSA will be distributed to all participating Class Members based on each participating Class Member's proportionate Workweeks worked during the Class Period.
- (ii) PAGA Amount: In addition to the Net Settlement Amount, the \$12,500 PAGA Amount will be allocated as follows: Each Class Member who was employed by Ventura Foods at any time from February 7, 2023 through April 20, 2025 ("PAGA Period") (including those who submit a valid and timely Request for Exclusion from the class action settlement) is an "Aggrieved Employee" and shall receive a portion of the PAGA Amount proportionate to the number of pay periods worked during the PAGA Period.

Payments to Class Members. If the Court grants final approval of the Settlement, Settlement Awards will be mailed to all Class Members who did not submit a valid and timely Request for Exclusion. Settlement checks will be valid for 180 days from the date the Settlement Administrator mails it. Any funds payable to Class Members whose checks are not cashed within 180 days after mailing shall be sent to the Controller of the State of California to be held pursuant to the Unclaimed Property Law, California Civil Code § 1500 *et seq.*, in the name of the Class Member and/or Aggrieved Employee, until such time that they claim their property.

Allocation and Taxes. For purposes of calculating applicable taxes and withholdings, each Settlement Award from the NSA shall be allocated as follows: 80% as penalties and interest; and 20% as wages. Any payment from the PAGA Amount shall be allocated as 100% penalties. The Settlement Administrator will be responsible for issuing to participating Class Members IRS Forms W-2 for amounts deemed "wages" and IRS Forms 1099 for the amounts allocated as penalties and interest. Class Members are responsible for the proper income tax treatment of the individual settlement payments. The Settlement Administrator, Ventura Foods and its counsel, and Class Counsel cannot provide tax advice. Accordingly, Class Members should consult with their tax advisors concerning the tax consequences and treatment of payments they receive under the Settlement.

Release: If the Court approves the Settlement, and upon the complete funding of the Maximum Settlement Amount, Plaintiff and every member of the Settlement Class (except those who opt out), on behalf of themselves and their respective former and present representatives, agents, attorneys, heirs, administrators, successors, and assigns, will release Ventura Foods, and all of its present and former parent companies, shareholders, officers, directors, employees, attorneys, insurers, and successors and assigns ("Released

Parties”) from all claims alleged, or reasonably could have been alleged in the Action based on the facts alleged in the operative complaint, including without limitation, California Labor Code sections 201, 202, 203, 204, 210, 216, 218.6, 226, 226.3, 226.7, 510, 512, 516, 558, 1174, 1182.12, 1194, 1194.2, 1197, 1197.1, 1198, 2802, 2804, California Industrial Commission Wage Orders, and Business and Professions Code sections 17200, et seq., and including all claims for or related to alleged failure to provide meal periods, failure to provide rest periods, failure to pay overtime wages, failure to pay minimum wage, failure to pay all wages due to discharged and quitting employees, failure to maintain required records, failure to furnish accurate itemized wage statements, failure to indemnify employees for necessary expenditures incurred in discharge of duties, failure to produce employee records, and unfair and unlawful business practices, including, but not limited to, claims for injunctive relief, liquidated damages, penalties, interest, fees, costs, and all other claims and allegations made or which could have been made in the Action based on the facts and allegations pled in the Action during the Class Period (“Released Class Claims”).

In addition, all Aggrieved Employees (regardless of whether they opt out) are deemed to release the Released Parties from all claims for PAGA civil penalties based on the facts alleged in the Action and the PAGA Notices during the PAGA Period (“Released PAGA Claims”).

Conditions of Settlement. The Settlement is conditioned upon the Court entering an order at or following the Final Approval Hearing finally approving the Settlement as fair, reasonable, adequate and in the best interests of the Settlement Class, and the entry of Judgment.

How can I claim money from the Settlement?

Do Nothing. If you do nothing, you will be entitled to your share of the Settlement based on the proportionate number of workweeks you worked during the Class Period and the proportionate number of pay periods you worked during the PAGA Period, as stated in the accompanying Notice of Estimated Settlement Award. You also will be bound by the Settlement, including the release of claims stated above.

What other options do I have?

Dispute Information in Notice of Estimated Settlement Award. Your award is based on the proportionate number of workweeks you worked during the Class Period, the proportionate number of pay periods you worked during the PAGA Period. The information contained in Ventura Foods’ records regarding each of these factors, along with your estimated Settlement Award, is listed on the accompanying Notice of Estimated Settlement Award. If you disagree with the information in your Notice of Estimated Settlement Award, you may submit a dispute, along with any supporting documentation, in accordance with the procedures stated in the Notice of Estimated Settlement Award. Any disputes, along with supporting documentation, must be sent by mail, email, or fax no later than <<RESPONSE DEADLINE>> to <<INSERT ADMINISTRATOR ADDRESS, FAX NUMBER AND EMAIL ADDRESS>>. **DO NOT SEND ORIGINALS; DOCUMENTATION SENT TO THE SETTLEMENT ADMINISTRATOR WILL NOT BE RETURNED OR PRESERVED.**

The Parties and the Settlement Administrator will evaluate the evidence submitted and discuss in good faith how to resolve any disputes submitted by Settlement Class members. Although the Settlement Administrator may make the initial decision regarding Challenges to Calculation of Workweeks, the Court may review any such decision made by the Settlement Administrator regarding a Challenge to Calculation of Workweeks.

Exclude Yourself from the Settlement. If you **do not** wish to take part in the Settlement, you may exclude yourself by sending to the Settlement Administrator a written Request for Exclusion Form by mail, email, or fax no later than <<RESPONSE DEADLINE>>, with your name, address, telephone number, last four digits of your social security number, a statement that you wish to be excluded from the Settlement, and your signature. A Request for Exclusion Form is included with this Notice.

Send the Request for Exclusion Form directly to the Settlement Administrator at <<INSERT ADMINISTRATOR ADDRESS, FAX NUMBER AND EMAIL ADDRESS>>. Any person who submits a timely Request for Exclusion from the Settlement shall, upon receipt by the Settlement Administrator, no longer be a Class member, shall be barred from participating in any portion of the class action Settlement,

and shall receive no benefits from the class action Settlement. However, all Aggrieved Employees who worked for Ventura Foods during the PAGA Period, including those who opt out, will be bound by the PAGA portion of the Settlement and will receive a portion from the PAGA Amount. **Do not submit both a Dispute and a Request for Exclusion.** If you do, the Request for Exclusion will be invalid, you will be included in the Settlement Class, and you will be bound by the terms of the Settlement.

Objecting to the Settlement. You also have the right to object to the terms of the Settlement. However, if the Court rejects your objection, you will still be bound by the terms of the Settlement. If you wish to object to the Settlement, or any portion of it, you should mail a written objection to the Settlement Administrator. Your written objection should include your name, address, as well as contact information for any attorney representing you regarding your objection, the case name and number, each specific reason in support of your objection, and any legal or factual support for each objection together with any evidence in support of your objection. Objections should be in writing and must be mailed, emailed or sent by fax on or before **<<RESPONSE DEADLINE>>**. Send your Objection directly to the Settlement Administrator at **<<INSERT ADMINISTRATOR ADDRESS, FAX NUMBER AND EMAIL ADDRESS>>**. An Objection Form is included with this Notice.

You may also appear at the Final Approval Hearing scheduled for **<<FINAL APPROVAL HEARING DATE/TIME>>** in Department CX-105 of the Orange County Superior Court, located at 751 West Santa Ana Boulevard, Santa Ana, 92701. You have the right to appear either in person or through your own attorney at this hearing. All objections or other correspondence must state the name and number of the case, which is *Adalberto Dubon v. Ventura Foods, Inc.*, Orange County Superior Court Case No. 30-2024-01377979-CU-OE-CXC. You do not need to object in writing to be heard at the Final Approval Hearing.

If you object to the Settlement, you will remain a member of the Settlement Class, and if the Court approves the Settlement, you will be bound by the terms of the Settlement in the same way as Settlement Class members who do not object.

What is the next step?

The Court will hold a Final Approval Hearing on the adequacy, reasonableness, and fairness of the Settlement on **<<FINAL APPROVAL HEARING DATE/TIME>>**, in Department CX-105 of the Orange County Superior Court, located at 751 West Santa Ana Boulevard, Santa Ana, 92701. The Court also will be asked to rule on Class Counsel's request for attorneys' fees and reimbursement of documented costs and expenses and the Service Payment to the Class Representative. The Final Approval Hearing may be postponed without further notice to the Settlement Class. You may contact Class Counsel using the contact information provided above to confirm the address and time of the hearing. **You are not required to attend the Final Approval Hearing, although any Class Member is welcome to attend the hearing.**

If the Court grants Final Approval of the Settlement and enters Judgment, the Settlement Administrator will post the Judgment for at least 180 days at the following website address: **<<ADMINISTRATOR WEBSITE INFO>>**.

How can I get additional information?

You may also access key documents from the case, including the operative complaint, the Settlement Agreement and any amendments, this Class Notice, Request for Exclusion Form, Notice of Settlement Award, Objection Form, as well as the orders granting preliminary and final settlement approval at the Settlement Administrator's website at **<<SETTLEMENT ADMINISTRATOR WEBSITE>>**. The final judgment shall be posted at **<<SETTLEMENT ADMINISTRATOR WEBSITE>>** for at least 180 days.

This Notice is only a summary of the Action and the Settlement. You may also visit the Orange County Superior Court website at www.occourts.org to inspect the Court's files by going to the "Case Access" tab under "Online Services," selecting "Civil Case & Document Access," and entering the case number information for this case, which is Case No. 30-2024-01377979-CU-OE-CXC. You may also contact Class Counsel using the contact information listed above for more information.

PLEASE DO NOT CALL OR WRITE THE COURT, VENTURA FOODS, OR ITS ATTORNEYS FOR
INFORMATION ABOUT THIS SETTLEMENT OR THE SETTLEMENT PROCESS

REMINDER AS TO TIME LIMITS

The deadline for submitting any Disputes, Requests for Exclusion, or Objections is <<**RESPONSE
DEADLINE**>>. These deadlines will be strictly enforced.

NOTICE OF ESTIMATED SETTLEMENT AWARD

Adalberto Dubon v. Ventura Foods, LLC

ORANGE COUNTY SUPERIOR COURT CASE NO. 30-2024-01377979-CU-OE-CXC

Please complete, sign, date and return this Form to <<ADMINISTRATOR CONTACT ADDRESS, EMAIL AND FAX NUMBER>> **ONLY IF** (1) your personal contact information has changed, and/or (2) you wish to dispute any of the items listed in Section (III), below. It is your responsibility to keep a current address on file with the Settlement Administrator.

(I) Please type or print your name:

(First, Middle, Last)

(II) Please type or print the following identifying information if your contact information has changed:

Former Names (if any)

New Street Address

City

State

Zip Code

(III) Information Used to Calculate Your Settlement Award:

According to Defendant Ventura Foods, LLC's ("Ventura Foods") records:

- (a) you worked for Ventura Foods in California as an hourly-paid, non-exempt from [REDACTED] until [REDACTED];
- (b) you worked [REDACTED] workweeks as an hourly-paid, non-exempt employee for Ventura Foods in California between February 7, 2020 through April 20, 2025; and
- (c) you worked [REDACTED] pay periods as a non-exempt employee for Ventura Foods in California between February 7, 2023 and through April 20, 2025.

Based on the above, your Individual Settlement Award is estimated at \$ [REDACTED].

(IV) If you disagree with items (a), (b), and/or (c) in Section (III) above, please explain why in the space provided below and include copies of any supporting evidence or documentation with this Form:

If you dispute the above information from Ventura Foods' records, Ventura Foods' records will control unless you are able to provide documentation that establishes otherwise, and that Ventura Foods' records are mistaken. If there is a dispute about whether Ventura Foods' information or yours is accurate, and the dispute cannot be resolved informally, the dispute will be resolved as described in the "Court Approved Notice of Class and PAGA Action Settlement and Hearing Date for Final Court Approval" that accompanies this Form.

ANY DISPUTES, ALONG WITH ANY SUPPORTING DOCUMENTATION, MUST BE EMAILED, FAXED, OR POSTMARKED NO LATER THAN <<RESPONSE DEADLINE>>.

Signature: _____

Date: _____

REQUEST FOR EXCLUSION FORM

Adalberto Dubon v. Ventura Foods, LLC
Orange County Superior Court
Case No. 30-2024-01377979-CU-OE-CXC

IF YOU ***DO NOT*** WISH TO BE PART OF THE CLASS ACTION SETTLEMENT, YOU MUST ***COMPLETE, SIGN AND MAIL, EMAIL, OR FAX*** THIS FORM, POSTMARKED ON OR BEFORE **[INSERT DATE]**, ADDRESSED AS FOLLOWS:

ILYM GROUP, INC.
ADALBERTO DUBON V. VENTURA FOODS, LLC
SETTLEMENT ADMINISTRATOR

ADDRESS
ADDRESS
PHONE
FAX
EMAIL

By signing, filling out, and returning this form, I confirm that I ***do not*** want to be included in the Settlement of the lawsuit entitled *Adalberto Dubon v. Ventura Foods, LLC*, Orange County Superior Court Case No. 30-2024-01377979-CU-OE-CXC.

I WISH TO BE EXCLUDED FROM THE SETTLEMENT CLASS IN THE *ADALBERTO DUBON V. VENTURA FOODS, LLC* LAWSUIT. I UNDERSTAND THAT IF I ASK TO BE EXCLUDED FROM THE SETTLEMENT CLASS, I WILL NOT RECEIVE MONEY FROM THE CLASS SETTLEMENT OF THIS LAWSUIT. HOWEVER, I MAY STILL BE ENTITLED TO RECEIVE A PORTION OF THE SETTLEMENT OF THE CLAIMS BROUGHT PURSUANT TO THE LABOR CODE PRIVATE ATTORNEYS GENERAL ACT OF 2004 ("PAGA") AS DESCRIBED IN THE ACCOMPANYING COURT APPROVED NOTICE OF CLASS AND PAGA ACTION SETTLEMENT AND HEARING DATE FOR FINAL COURT APPROVAL.

Name

Telephone Number

Address

Date

Signature

Last Four Digits of Social Security Number: _____

OBJECTION FORM

Adalberto Dubon v. Ventura Foods, LLC
Orange County Superior Court
Case No.: 30-2024-01377979-CU-OE-CXC

All objections must be mailed, emailed, or faxed directly to the Settlement Administrator, ILYM Group, Inc., at <<INSERT ADMINISTRATOR CONTACT INFO, FAX NUMBER, and EMAIL ADDRESS>> on or before <<RESPONSE DEADLINE>>.

Objecting Class Member Information:

Name

Telephone Number

Address

Date

Signature

Describe the nature and basis of each objection and please attach additional pages if necessary:

TRIBUNAL SUPERIOR DEL ESTADO DE CALIFORNIA

CONDADO DE ORANGE

ADALBERTO DUBON, en representación de sí mismo y de otros en situación similar,

Demandante,

vs.

VENTURA FOODS, LLC, una Compañía de Responsabilidad Limitada de Delaware; y OTRAS 1 hasta la 100,

Demandado.

Caso No. 30-2024-01377979-CU-OE-CXC

AVISO DE DEMANDA COLECTIVA Y DE PAGA APROBADO POR EL TRIBUNAL, Y AUDIENCIA DE APROBACIÓN FINAL

Para: Todas las personas que sean o hayan sido empleadas por el Demandada Ventura Foods, LLC como empleados por hora, no exentos, en el estado de California en cualquier momento durante el período comprendido entre el 7 de febrero de 2020 y el 20 de abril de 2025, excluyendo a cualquier empleado contratado en las instalaciones del Demandado Ventura Foods, LLC en Visalia, California, recientemente adquiridas.

**POR FAVOR, LEA ESTE AVISO DETENIDAMENTE
ESTE AVISO ESTÁ SIENDO ENVIADO EN INGLÉS Y ESPAÑOL
SUS DERECHOS LEGALES PUEDEN VERSE AFECTADOS YA SEA QUE ACTUE, O NO**

¿Por qué debería leer este aviso?

El Tribunal Superior del Condado de Orange ha otorgado la aprobación preliminar a un acuerdo propuesto para una demanda colectiva (el "Acuerdo") en el caso de *Adalberto Dubon vs. Ventura Foods, LLC*, Caso no. 30-2024-1377979-CU-OE-CXC del Tribunal Superior del Condado de Orange (la "Demanda"). Dado que sus derechos podrían verse afectados por el Acuerdo, es importante que lea este aviso detenidamente.

Usted podría tener derecho a recibir una compensación económica de este Acuerdo. Los registros del Demandado, Ventura Foods, LLC ("Ventura Foods"), muestran que usted trabajó para Ventura Foods como empleado por hora, no exento, en California, entre el 7 de febrero de 2020 y el 20 de abril de 2025 (el "Período de la Demanda"), y que no trabajó en la planta de Visalia, California, recientemente adquirida por Ventura Foods. El Tribunal ordenó que se le enviara este Aviso porque usted podría tener derecho a una compensación económica en virtud del Acuerdo y porque el Acuerdo afecta sus derechos legales.

El propósito de este aviso es brindarle una breve descripción de la Demanda, informarle sobre los términos del Acuerdo, describir sus derechos en relación con el Acuerdo y explicarle las medidas que puede tomar para participar, oponerse o excluirse del Acuerdo. Si no se excluye del Acuerdo y el tribunal lo aprueba definitivamente, usted quedará sujeto/a a sus términos y a la sentencia definitiva.

¿De qué se trata este caso?

El Demandante, Adalberto Dubon ("el Demandante"), interpuso esta demanda contra Ventura Foods para presentar reclamaciones en nombre de un grupo de empleados actuales y exempleados por hora, no exentos de horas extras, que trabajaron para Ventura Foods en California durante el Período de la Demanda. El Demandante es conocido como el "Representante del Grupo", y sus abogados, quienes también representan los intereses de todos los miembros del Grupo, son conocidos como los "Abogados del Grupo".

El Demandante alega que Ventura Foods no pagó a los Miembros del Grupo la totalidad de los salarios mínimos y las horas extras, ni les proporcionó los períodos de alimentación y descanso obligatorios. La

Demanda también alega que, como consecuencia de estas presuntas infracciones, Ventura Foods no proporcionó a los Miembros del Grupo recibos de nómina precisos y completos, ni les pagó puntualmente el salario final al momento de terminar la relación laboral. Además, como resultado de las presuntas infracciones, el Demandante alega que Ventura Foods incurrió en prácticas comerciales desleales y es responsable de las sanciones civiles previstas en la Ley General de Abogados Privados del Código Laboral (PAGA).

Ventura Foods niega toda responsabilidad ante los Miembros del Grupo, niega los alegatos fácticos y legales del caso y cree que tiene defensas válidas para los reclamos del Demandante. Ventura Foods celebró el Acuerdo únicamente con el propósito de resolver esta disputa y acordó resolver el caso como parte de un acuerdo con el Demandante. En consecuencia, el Acuerdo constituye un compromiso de reclamos en disputa y no debe interpretarse como una admisión de responsabilidad por parte de Ventura Foods, que niega expresamente toda responsabilidad.

El Tribunal no se ha pronunciado sobre el fondo de las reclamaciones del Demandante. Sin embargo, para evitar gastos, inconvenientes e interferencias adicionales con las operaciones comerciales de Ventura Foods, las Partes concluyeron que lo mejor para Ventura Foods y los Miembros del Grupo es resolver la Demanda en los términos resumidos en este Aviso. Después de que Ventura Foods proporcionara información relevante a los Abogados del Grupo, se llegó al Acuerdo después de una mediación y negociaciones en condiciones de igualdad entre las partes.

El Representante del Grupo y los Abogados del Grupo respaldan el Acuerdo. Entre los motivos del apoyo se encuentran las defensas de responsabilidad potencialmente disponibles para Ventura Foods, el riesgo de denegación de la certificación de demanda colectiva, los riesgos inherentes de un juicio sobre el fondo y las demoras e incertidumbres asociadas con el litigio.

Si usted sigue trabajando para Ventura Foods, su decisión de participar o no en el Acuerdo no afectará su empleo. La ley de California y las políticas de Ventura Foods prohíben estrictamente las represalias ilegales. Ventura Foods no tomará ninguna medida laboral adversa, ni ejercerá represalias o discriminación contra ningún Miembro del Grupo debido a su decisión de participar o no en el Acuerdo.

¿Quiénes son los Abogados?

Abogados del Demandante y/o Miembros del Grupo: HAINES LAW GROUP, APC Paul K. Haines, phaines@haineslawgroup.com Sean M. Blakely, sblakely@haineslawgroup.com Alex McIntosh, amcintosh@haineslawgroup.com 2155 Campus Drive, Suite 180 El Segundo, California 90245 Tel: (424) 292-2350 Fax: (424) 292-2355 haineslawgroup.com	Abogados del Demandado, Ventura Foods, LLC: JACKSON LEWIS, P.C. Nicky Jatana, Nicky.Jatana@jacksonlewis.com Trevor R. Witt, Trevor.Witt@jacksonlewis.com 725 South Figueroa Street, Suite 2800 Los Ángeles, California 90017-5408 Teléfono: (213) 689-0404 Fax: (213) 689-0430 jacksonlewis.com
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¿Cuáles son los términos del Acuerdo?

El **<<PRELIM APPROVAL DATE>>**, el Tribunal certificó preliminarmente, únicamente a efectos de conciliación, una demanda colectiva de todas las personas empleadas por Ventura Foods como empleados por hora no exentos en el estado de California durante el período comprendido entre el 7 de febrero de 2020 y el 20 de abril de 2025, excluyendo a los empleados de la planta de Visalia, California, recientemente adquirida por Ventura Foods. Los Miembros del Grupo que no opten por excluirse del Acuerdo conforme a los procedimientos establecidos en este Aviso quedarán sujetos al Acuerdo y renunciarán a sus reclamaciones contra Ventura Foods, según se describe más abajo.

Ventura Foods ha acordado pagar 770.000,00 dólares (el “Monto Máximo del Acuerdo”) para resolver completamente todas las reclamaciones en la Demanda, incluidos los pagos a los Miembros del Grupo, los honorarios y gastos solicitados de los abogados, los costos de administración del Acuerdo, el importe designado como sanciones civiles PAGA y el Pago por Servicios al Representante del Grupo.

Las partes solicitarán las siguientes deducciones del Monto Máximo del Acuerdo:

Costos de Administración del Acuerdo. El Tribunal ha autorizado a ILYM Group, Inc. a actuar como “Administrador del Acuerdo”, quien le envía este Aviso y desempeñará otras funciones relacionadas con el Acuerdo. El Tribunal ha autorizado destinar hasta \$13.850,00 del Monto Máximo del Acuerdo para cubrir los gastos de administración del mismo.

Honorarios y gastos de los Abogados. El Tribunal determinará el monto exacto otorgado a los Abogados del Grupo por concepto de honorarios, los cuales se pagarán con el Monto Máximo de la Indemnización y no excederán los \$231.000. Los Miembros del Grupo no son personalmente responsables de los honorarios, ni de los gastos de los Abogados del Grupo. Los Abogados del Grupo también solicitarán el reembolso de hasta \$40.000,00 por los costos verificados en los que hayan incurrido en relación con la demanda.

Pago por Servicios al Representante del Grupo. Los Abogados del Grupo solicitará al Tribunal que otorgue al Representante del Grupo una compensación económica que no exceda los 5.000 dólares por sus servicios y el trabajo adicional realizado en nombre de los Miembros del Grupo.

Pagos de PAGA para el Estado de California. Se pagarán \$37.500,00 a la Agencia de Desarrollo Laboral y de la Fuerza Laboral de California (“LWDA”), lo que representa el 75% de los \$50.000,00 que las partes acordaron destinar a la resolución de las reclamaciones del Demandante en nombre de los Empleados Agraviados conforme a la PAGA. Los \$12.500,00 restantes se pagarán a los Empleados Agraviados como el “Monto PAGA”, según se describe más abajo.

Cálculo de los Pagos del Acuerdo a los Miembros del Grupo. Tras deducir las cantidades aprobadas por el Tribunal mencionadas anteriormente, el saldo del Monto Máximo de Acuerdo constituirá el Monto Neto del Acuerdo (NSA), que se distribuirá entre todos los Miembros del Grupo que no presenten una Solicitud de Exclusión válida y oportuna (que se describe más abajo). El NSA se estima en aproximadamente \$404.483,34 y se dividirá de la siguiente manera:

- (i) Pagos para todos los Miembros Participantes del Grupo: El NSA se distribuirá a todos los Miembros Participantes del Grupo en función de las Semanas de Trabajo proporcionales que cada Miembro Participante haya trabajado durante el Período de la Demanda.
- (ii) Monto de PAGA: Además del Monto Neto del Acuerdo, el monto PAGA de \$12.500 se distribuirá de la siguiente manera: Cada Miembro del Grupo que haya sido empleado por Ventura Foods en cualquier momento desde el 7 de febrero de 2023 hasta el 20 de abril de 2025 (“Período PAGA”) (incluidos aquellos que presenten una solicitud válida y oportuna de exclusión del Acuerdo) es un “Empleado Agraviado” y recibirá una parte del monto PAGA proporcional al número de Períodos de Pago trabajados durante el Período PAGA.

Pagos a los Miembros del Grupo. Si el Tribunal aprueba finalmente el Acuerdo, los Pagos del Acuerdo se enviarán por correo a todos los Miembros del Grupo que no hayan presentado una Solicitud de Exclusión válida y oportuna. Los cheques del Acuerdo tendrán una validez de 180 días a partir de la fecha de envío por parte del Administrador del Acuerdo. Los fondos adeudados a los Miembros del Grupo cuyos cheques no se cobren en un plazo de 180 días a partir del envío se remitirán al Contralor del Estado de California para su custodia, de conformidad con la Ley de Bienes No Reclamados, Código Civil de California § 1500 y siguientes, a nombre del Miembro del grupo o del Empleado Agraviado, hasta que reclamen su propiedad.

Asignación e impuestos. Para efectos del cálculo de impuestos y retenciones aplicables, cada Pago del Acuerdo del NSA se asignará de la siguiente manera: 80% como multas e intereses; y 20% como salario. Cualquier pago del Monto PAGA se asignará como multas al 100%. El Administrador del Acuerdo será

responsable de emitir a los Miembros Participantes del Grupo los Formularios W-2 del IRS por los montos considerados "salario" y los Formularios 1099 del IRS por los montos asignados como multas e intereses. Los Miembros del Grupo son responsables del tratamiento fiscal adecuado de los Pagos Individuales del Acuerdo. El Administrador del Acuerdo, Ventura Foods y sus abogados, y los Abogados del Grupo no pueden brindar asesoría fiscal. Por lo tanto, los Miembros del Grupo deben consultar con sus asesores fiscales sobre las consecuencias y el tratamiento fiscal de los pagos que reciban bajo el Acuerdo.

Exoneración: Si el Tribunal aprueba el Acuerdo, y una vez financiado en su totalidad el Monto Máximo del Acuerdo, el Demandante y cada Miembro del Grupo (excepto aquellos que opten por excluirse), en nombre de sí mismos y de sus respectivos representantes, agentes, abogados, herederos, administradores, sucesores y cesionarios pasados y presentes, exonerarán a Ventura Foods, y a todas sus empresas matrices, accionistas, funcionarios, directores, empleados, abogados, aseguradoras y sucesores y cesionarios presentes y pasados ("Partes Exoneradas") de todas las reclamaciones alegadas, o que razonablemente podrían haberse alegado en la Demanda con base en los hechos alegados en la demanda operativa, incluyendo sin limitación, las secciones 201, 202, 203, 204, 210, 216, 218.6, 226, 226.3, 226.7, 510, 512, 516, 558, 1174, 1182.12 del Código Laboral de California, 1194, 1194.2, 1197, 1197.1, 1198, 2802, 2804, Órdenes Salariales de la Comisión Industrial de California y secciones 17200 y siguientes del Código de Negocios y Profesiones, e incluyendo todas las reclamaciones por o relacionadas con el presunto incumplimiento de proporcionar períodos de alimentación, incumplimiento de proporcionar períodos de descanso, incumplimiento de pagar salarios por horas extras, incumplimiento de pagar el salario mínimo, incumplimiento de pagar todos los salarios adeudados a los empleados despedidos y que renuncian, incumplimiento de mantener los registros requeridos, incumplimiento de proporcionar estados de cuenta salariales detallados precisos, incumplimiento de indemnizar a los empleados por los gastos necesarios incurridos en el cumplimiento de sus deberes, incumplimiento de presentar registros de empleados y prácticas comerciales injustas e ilegales, incluyendo, pero no limitado a, reclamaciones de medidas cautelares, daños liquidados, multas, intereses, honorarios, costos y todas las demás reclamaciones y alegatos hechos o que podrían haberse hecho en la Demanda con base en los hechos y alegaciones presentados en la Demanda durante el Período de la Demanda ("Reclamaciones Colectivas Exoneradas").

Además, se considera que todos los Empleados Agravados (independientemente de si optan por excluirse) exoneran a las Partes Exoneradas de todas las reclamaciones por sanciones civiles de PAGA basadas en los hechos alegados en la Demanda y los Avisos de PAGA durante el Período de PAGA ("Reclamaciones de PAGA Exoneradas").

Condiciones del Acuerdo. El Acuerdo está condicionado a que el Tribunal emita una orden en o después de la Audiencia de Aprobación Final aprobando finalmente el Acuerdo como justo, razonable, adecuado y en el mejor interés de los Miembros del Grupo, y al registro de la Sentencia.

¿Cómo puedo reclamar dinero del Acuerdo?

Hacer nada. Si no hace nada, tendrá derecho a su parte del Acuerdo según la proporción de Semanas de Trabajo que usted laboró durante el Período de la Demanda y la proporción de Períodos de Pago que trabajó durante el Período PAGA, tal como se indica en el Aviso de Indemnización Estimada del Acuerdo adjunto. Asimismo, usted quedará sujeto/a al Acuerdo, incluida la renuncia a las reclamaciones mencionada anteriormente.

¿Qué otras opciones tengo?

Impugnar la información en el Aviso de Indemnización Estimada del Acuerdo. Su indemnización se basa en la proporción de Semanas de Trabajo que usted laboró durante el Período de la Demanda y la proporción de Períodos de Pago que usted trabajó durante el Período PAGA. La información contenida en los registros de Ventura Foods sobre cada uno de estos factores, junto con su Indemnización Estimada, se detalla en el Aviso de Indemnización Estimada adjunto. Si no está de acuerdo con la información de su Aviso de Indemnización Estimada, puede presentar una impugnación, junto con la documentación de respaldo, de acuerdo con los procedimientos establecidos en dicho Aviso. Cualquier impugnación, junto con la documentación de respaldo, debe enviarse por correo postal, correo electrónico o fax antes del <<RESPONSE DEADLINE>>

a la siguiente dirección: <<INSERT ADMINISTRATOR ADDRESS, FAX NUMBER AND EMAIL ADDRESS>>. **NO ENVÍE ORIGINALES; LA DOCUMENTACIÓN ENVIADA AL ADMINISTRADOR DEL ACUERDO NO SERÁ DEVUELTA NI CONSERVADA.**

Las Partes y el Administrador del Acuerdo evaluarán las pruebas presentadas y dialogarán de buena fe sobre cómo resolver cualquier impugnación planteada por los Miembros del Grupo. Si bien el Administrador del Acuerdo puede tomar la decisión inicial respecto a las impugnaciones del cálculo de las Semanas de Trabajo, el Tribunal podrá revisar cualquier decisión que este tome en relación con dichas impugnaciones.

Excluirse a sí mismo/a del Acuerdo. Si **no** desea participar en el Acuerdo, puede excluirse enviando al Administrador del Acuerdo un Formulario de Solicitud de Exclusión por correo postal, correo electrónico o fax antes del <<RESPONSE DEADLINE>>, incluyendo su nombre, dirección, número de teléfono, los últimos cuatro dígitos de su número de seguro social, una declaración de que desea excluirse del Acuerdo y su firma. Se adjunta un Formulario de Solicitud de Exclusión con este Aviso.

Envíe el Formulario de Solicitud de Exclusión directamente al Administrador del Acuerdo a <<INSERT ADMINISTRATOR ADDRESS, FAX NUMBER AND EMAIL ADDRESS>>. Toda persona que presente una Solicitud de Exclusión del Acuerdo dentro del plazo establecido, una vez recibida por el Administrador del Acuerdo, dejará de ser Miembro del Grupo, no podrá participar en ninguna parte del Acuerdo de la Demanda y no recibirá ningún beneficio del mismo. Sin embargo, todos los Empleados Agravados que trabajaron para Ventura Foods durante el Período PAGA, incluidos aquellos que opten por excluirse, estarán sujetos a la parte del Acuerdo correspondiente a PAGA y recibirán una parte del Monto PAGA. **No presente una Impugnación y una Solicitud de Exclusión simultáneamente.** Si lo hace, la Solicitud de Exclusión será inválida, se le incluirá en el Grupo del Acuerdo y estará sujeto/a a los términos del mismo.

Objetar el Acuerdo. Usted también tiene derecho a objetar los términos del Acuerdo. Sin embargo, si el Tribunal rechaza su objeción, seguirá sujeto/a a los términos del Acuerdo. Si desea objetar el Acuerdo, o cualquier parte del mismo, debe enviar una objeción por escrito al Administrador del Acuerdo. Su objeción por escrito debe incluir su nombre, dirección, así como la información de contacto de cualquier abogado que le represente en relación con su objeción, el nombre y número del caso, cada razón específica que respalda su objeción y cualquier fundamento legal o fáctico para cada objeción junto con cualquier evidencia que la respalde. Las Objeciones deben ser por escrito y deben enviarse por correo postal, correo electrónico o fax antes de <<RESPONSE DEADLINE>>. Envíe su objeción directamente al Administrador del Acuerdo a <<INSERT ADMINISTRATOR ADDRESS, FAX NUMBER AND EMAIL ADDRESS>>. Se incluye un Formulario de Objeción con este aviso.

También puede comparecer en la Audiencia de Aprobación Final programada para el <<FINAL APPROVAL HEARING DATE/TIME>> en el Departamento CX-105 del Tribunal Superior del Condado de Orange, ubicado en 751 West Santa Ana Boulevard, Santa Ana, 92701. Tiene derecho a comparecer en persona o a través de su propio abogado en esta audiencia. Todas las objeciones u otra correspondencia deben indicar el nombre y el número del caso, que es *Adalberto Dubon vs. Ventura Foods, Inc.*, caso No. 30-2024-01377979-CU-OE-CXC del Tribunal Superior del Condado de Orange. No es necesario que presente una objeción por escrito para ser escuchado/a en la Audiencia de Aprobación Final.

Si usted objeta el Acuerdo, seguirá siendo Miembro del Grupo y, si el Tribunal aprueba el Acuerdo, usted quedará sujeto/a a sus términos de la misma manera que los demás Miembros del Grupo que no lo objetan.

¿Cuál es el siguiente paso?

El Tribunal celebrará una Audiencia de Aprobación Final sobre la idoneidad, razonabilidad y equidad del Acuerdo el <<FINAL APPROVAL HEARING DATE/TIME>>, en el Departamento CX-105 del Tribunal Superior del Condado de Orange, ubicado en 751 West Santa Ana Boulevard, Santa Ana, 92701. También se le pedirá al Tribunal que se pronuncie sobre la solicitud de honorarios profesionales y reembolso de costos y gastos documentados de los Abogados del Grupo y del Pago al Representante del Grupo por Servicios. La Audiencia de Aprobación Final podrá posponerse sin previo aviso a los Miembros del Grupo. Usted puede comunicarse con los Abogados del Grupo utilizando la información de contacto proporcionada anteriormente

para confirmar la dirección y la hora de la audiencia. **No es necesario que asista a la Audiencia de Aprobación Final, aunque cualquier Miembro del Grupo puede asistir a ésta.**

Si el Tribunal otorga la Aprobación Final del Acuerdo y emite una sentencia, el Administrador del Acuerdo publicará la Sentencia durante al menos 180 días en la siguiente dirección web: <<ADMINISTRATOR WEBSITE INFO>>.

¿Cómo obtengo información adicional?

Usted también puede acceder a los documentos clave del caso, incluyendo la demanda operativa, el Acuerdo de Conciliación y sus modificaciones, este Aviso de Demanda Colectiva, el Formulario de Solicitud de Exclusión, el Aviso de Indemnización del Acuerdo, el Formulario de Objeción, así como las órdenes que otorgan la aprobación preliminar y final del Acuerdo en el sitio web del Administrador del Acuerdo en <<SETTLEMENT ADMINISTRATOR WEBSITE>>. La Sentencia Final se publicará en <<SETTLEMENT ADMINISTRATOR WEBSITE>> por al menos 180 días.

Este Aviso es solo un resumen de la Demanda y el Acuerdo. También puede visitar el sitio web del Tribunal Superior del Condado de Orange en www.occourts.org para consultar los archivos del Tribunal. Para ello, vaya a la pestaña "Acceso a casos" debajo de "Servicios en línea", seleccione "Acceso a casos civiles y documentos" e ingrese el número de caso 30-2024-01377979-CU-OE-CXC. Si desea obtener más información, puede comunicarse con los Abogados del Grupo utilizando la información de contacto mencionada anteriormente.

POR FAVOR, NO LLAME NI ESCRIBA AL TRIBUNAL, A VENTURA FOODS, NI A SUS ABOGADOS PARA OBTENER MÁS INFORMACIÓN SOBRE ESTE ACUERDO O EL PROCESO DEL ACUERDO

RECORDATORIO DE LA FECHA LÍMITE

La fecha límite para presentar cualquier Impugnación, Solicitud de Exclusión u Objeción es el <<RESPONSE DEADLINE>>. Estas fechas límite se respetarán estrictamente.

AVISO DE INDEMNIZACIÓN ESTIMADA DEL ACUERDO

Adalberto Dubon vs. Ventura Foods, LLC

CASO No. 30-2024-01377979-CU-OE-CXC DEL TRIBUNAL SUPERIOR DEL CONDADO DE ORANGE

Por favor, complete, firme, escriba la fecha y devuelva este Formulario a <<ADMINISTRATOR CONTACT ADDRESS, EMAIL AND FAX NUMBER>> ÚNICAMENTE SI (1) su información de contacto personal ha cambiado y/o (2) desea impugnar alguno de los puntos enumerados en la Sección (III), a continuación. Es su responsabilidad mantener una dirección actualizada registrada con el Administrador del Acuerdo.

(I) Escriba legiblemente o imprima su nombre:

(Primer, Segundo y apellido)

(II) Por favor, escriba o imprima la siguiente información de identificación si su información de contacto ha cambiado:

Nombres anteriores (si los tiene)

Nueva dirección de calle

Ciudad

Estado

Código postal

(III) Información usada para calcular su Indemnización del Acuerdo:

De acuerdo con los registros del Demandado, Ventura Foods, LLC's ("Ventura Foods"), usted:

- (a) trabajó para Ventura Foods en California como empleado pagado por horas, no exento desde el [] hasta el [];
- (b) trabajó [] Semanas de Trabajo como empleado pagado por horas, no exento, para Ventura Foods en California, entre el 7 de febrero de 2020 y el 20 de abril de 2025; y
- (c) trabajó [] Períodos de Pago como empleado no exento para Ventura Foods en California entre el 7 de febrero de 2023 y el 20 de abril de 2025.

Con base en lo anterior, su Indemnización Estimada del Acuerdo es de \$ [].

(IV) Si no está de acuerdo con los puntos (a), (b) y/o (c) de la Sección (III) anterior, explique el motivo en el espacio provisto a continuación e incluya copias de cualquier evidencia o documentación de respaldo con este Formulario:

Si usted impugna información anterior de los registros de Ventura Foods, sepa que prevalecerán los registros de Ventura Foods a menos que usted pueda proporcionar documentación que demuestre lo contrario y que los registros de Ventura Foods son erróneos. Si existe una disputa sobre la exactitud de la información de Ventura Foods o la suya, y la disputa no puede resolverse de manera informal, se resolverá según lo descrito en el "Aviso de Acuerdo de Demanda Colectiva y de PAGA aprobado por el Tribunal y fecha de Audiencia para la Aprobación Final del Tribunal" que acompaña a este formulario.

CUALQUIER DISPUTA, JUNTO CON CUALQUIER DOCUMENTACIÓN DE APOYO, DEBE ENVIARSE
POR CORREO ELECTRÓNICO, FAX O CORREO POSTAL CON MATASEELOS A MÁS TARDAR DEL
<<RESPONSE DEADLINE>>.

Firma: _____

Fecha: _____

FORMULARIO DE SOLICITUD DE EXCLUSIÓN

Adalberto Dubon vs. Ventura Foods, LLC
Tribunal Superior del Condado de Orange
Caso No. 30-2024-01377979-CU-OE-CXC

SI **NO** DESEA FORMAR PARTE DEL ACUERDO DE DEMANDA COLECTIVA, USTED DEBE COMPLETAR, FIRMAR Y ENVIAR POR CORREO POSTAL, CORREO ELECTRÓNICO O FAX ESTE FORMULARIO, CON MATASELLOS DE O ANTES DEL **[INSERT DATE]**, A LA SIGUIENTE DIRECCIÓN:

ILYM GROUP, INC.
ADALBERTO DUBON VS. VENTURA FOODS, LLC
ADMINISTRADOR DEL ACUERDO

ADDRESS
ADDRESS
PHONE
FAX
EMAIL

Al firmar, completar y devolver este formulario, confirmo que **no** deseo ser incluido/a en el Acuerdo de la Demanda titulada *Adalberto Dubon vs. Ventura Foods, LLC*, caso No. 30-2024-01377979-CU-OE-CXC del Tribunal Superior del Condado de Orange.

DESEO SER EXCLUIDO/A DE LOS MIEMBROS DEL GRUPO EN LA DEMANDA ENTRE *ADALBERTO DUBON VS. VENTURA FOODS, LLC*. ENTIENDO QUE, SI SOLICITO MI EXCLUSIÓN, NO RECIBIRÉ COMPENSACIÓN ECONÓMICA DEL ACUERDO COLECTIVO DE ESTA DEMANDA. SIN EMBARGO, AÚN PODRÍA TENER DERECHO A RECIBIR UNA PARTE DE LA INDEMNIZACIÓN POR LAS RECLAMACIONES PRESENTADAS CONFORME A LA LEY GENERAL DE ABOGADOS PRIVADOS DEL CÓDIGO LABORAL DE 2004 ("PAGA"), SEGÚN SE DESCRIBE EN EL AVISO DE ACUERDO COLECTIVO Y DE LA FECHA DE LA AUDIENCIA PARA LA APROBACIÓN FINAL DEL TRIBUNAL, QUE HA SIDO APROBADO POR EL TRIBUNAL.

Nombre

Número telefónico

Dirección

Fecha

Firma

Últimos cuatro dígitos del Número de Seguridad Social: _____

FORMULARIO DE OBJECCIÓN

Adalberto Dubon v. Ventura Foods, LLC
Tribunal Superior del Condado de Orange
Case No.: 30-2024-01377979-CU-OE-CXC

Todas las objeciones deben enviarse por correo postal, correo electrónico o fax directamente al Administrador del Acuerdo, ILYM Group, Inc., a <<INSERT ADMINISTRATOR CONTACT INFO, FAX NUMBER, and EMAIL ADDRESS>> antes del <<RESPONSE DEADLINE>>.

Información del Miembro del Grupo que objeta:

Nombre

Número telefónico

Dirección

Fecha

Firma

Describa la naturaleza y el fundamento de cada objeción y, si es necesario, adjunte páginas adicionales:
